Heterogeneous sulfate aerosol formation mechanisms during wintertime Chinese haze events: air quality model assessment using observations of sulfate oxygen isotopes in Beijing

Jingyuan Shao1,2, Qianjie Chen2,3, Yuxuan Wang4, Xiao Lu1, Pengzhen He5, Yele Sun6, Viral Shah2,7, Randall V. Martin8, Sajeev Philip9, Shaojie Song7, Yue Zhao10, Zhouqing Xie5, Lin Zhang1, and Becky Alexander2

1Laboratory for Climate and Ocean-Atmosphere Studies, Department of Atmospheric and Oceanic Sciences, School of Physics, Peking University, Beijing 100871, China
2Department of Atmospheric Sciences, University of Washington, Seattle, WA 98195, USA
3Department of Chemistry, University of Michigan, Ann Arbor, MI 48109, USA
4Department of Earth and Atmospheric Sciences, University of Houston, Houston, TX 77204, USA
5Anhui Province Key Laboratory of Polar Environment and Global Change, School of Earth and Space Sciences, University of Science and Technology of China, Hefei 230026, China
6State Key Laboratory of Atmospheric Boundary Physics and Atmospheric Chemistry, Institute of Atmospheric Physics, Chinese Academy of Sciences, Beijing 100029, China
7School of Engineering and Applied Sciences, Harvard University, Cambridge, MA 02138, USA
8Department of Physics and Atmospheric Science, Dalhousie University, Halifax, Nova Scotia, Canada
9NASA postdoctoral program, NASA Ames Research Center, Moffett Field, CA 94035, USA
10School of Environmental Science and Engineering, Shanghai Jiao Tong University, Shanghai 200240, China

Correspondence: Becky Alexander (beckya@uw.edu), Lin Zhang (zhanglg@pku.edu.cn) and Zhouqing Xie (zqxie@ustc.edu.cn)

Received: 27 December 2018 – Discussion started: 14 January 2019
Revised: 6 April 2019 – Accepted: 17 April 2019 – Published: 8 May 2019

Abstract. Air quality models have not been able to reproduce the magnitude of the observed concentrations of fine particulate matter (PM$_{2.5}$) during wintertime Chinese haze events. The discrepancy has been at least partly attributed to low biases in modeled sulfate production rates, due to the lack of heterogeneous sulfate production on aerosols in the models. In this study, we explicitly implement four heterogeneous sulfate formation mechanisms into a regional chemical transport model, in addition to gas-phase and in-cloud sulfate production. We compare the model results with observations of sulfate concentrations and oxygen isotopes, $\Delta^{17}$O(SO$_4^{2-}$), in the winter of 2014–2015, the latter of which is highly sensitive to the relative importance of different sulfate production mechanisms. Model results suggest that heterogeneous sulfate formation is dominated by transition metal ion-catalyzed oxidation of SO$_2$.

1 Introduction

China has experienced rapid urbanization and industrialization in recent years, which has led to significant growth in concentration of PM$_{2.5}$ (particulate matter with aerodynamic diameter less than 2.5 µm) in Chinese megacities, particularly in Beijing (the capital of China) and the surrounding area (Zhang et al., 2015, 2016; Wang et al., 2014). Extensive studies consistently show high PM$_{2.5}$ levels in winter due to increased coal combustion for heating and a stable atmospheric boundary layer (Sun et al., 2014, 2016; Z. Liu et al., 2015; Ma et al., 2017). The frequency and concentration...
of PM$_{2.5}$ pollution negatively impacts human health and atmospheric visibility and results in economic losses (Gao et al., 2015; Lelieveld et al., 2015; Zhang et al., 2015). The Chinese government has implemented a series of policies to improve air quality, and, as a result, the annual average PM$_{2.5}$ concentration in Beijing decreased by $\sim$20% from 2013 to 2017 (Sun et al., 2016; Zheng et al., 2018; Beijing Environment Protection Agency, 2018; Chinese State Council, 2013). Despite these improvements, PM$_{2.5}$ concentrations in Beijing still regularly exceed the Chinese National Ambient Air Quality Standards (CNAASQS, 35 µg m$^{-3}$ annual average) (Sun et al., 2016; Zhang et al., 2016; Beijing Environment Protection Agency, 2018).

Sulfate is one of the most important components of PM$_{2.5}$, representing 10%–30% of PM$_{2.5}$ mass in eastern China (Huang et al., 2014; Zhang et al., 2013; Wang et al., 2014; Sun et al., 2016; Shi et al., 2017). Recent observations show that the sulfate mass fraction of PM$_{2.5}$ increases during haze pollution periods, indicating that sulfate is a key driver for severe haze events (Wang et al., 2014, 2016; Cheng et al., 2016; G. Li et al., 2017). Previous simulations have shown that most models fail to predict severe haze pollution in Beijing, at least in part because of sulfate underestimation (Jiang et al., 2013; Park et al., 2014; Pozzer et al., 2012). Globally, sulfate production is dominated by the gas-phase oxidation of SO$_2$ by OH and aqueous-phase oxidation of S(IV) (SO$_2$ · H$_2$O + HSO$_3^- + SO_3^{2-}$) by H$_2$O$_2$, O$_3$, and O$_2$ catalyzed by transition metal ions (TMIs) in cloud droplets (Calvert and Stockwell, 1983; Schwartz, 1987; Harris et al., 2013; Alexander et al., 2012; Chen et al., 2018). Heterogeneous sulfate production, which refers to aqueous-phase oxidation of S(IV) (SO$_2$ · H$_2$O + HSO$_3^- + SO_3^{2-}$) on the surface of and/or within the bulk preexisting aerosols, is generally thought to be minor due to the low liquid water content of aerosols ($<10^{-9}$ cm$^{-3}$) compared to clouds ($10^{-8}$ to $10^{-6}$ cm$^{-3}$) (Jacob, 2000). However, recent studies have shown that traditional gas- and aqueous-phase chemistry in cloud droplets cannot explain rapid sulfate production observed during haze, suggesting missing sulfate formation mechanisms on aerosols in the models (Zheng et al., 2015; D. Chen et al., 2016; Zhang et al., 2015; Wang et al., 2014; Cheng et al., 2016; Huang et al., 2014). These missing sulfate formation mechanisms on aerosols include heterogeneous oxidation of SO$_2$ by NO$_2$ (Cheng et al., 2016; Wang et al., 2016, 2018; Gao et al., 2016; Zhang et al., 2015) and O$_2$ catalyzed by TMIs (G. Li et al., 2017; Li et al., 2011) and via a free radical chain mechanism (Huie and Neta, 1984; Hung and Hoffman, 2015). The importance of these heterogeneous reactions remains highly uncertain, due in part to uncertainties regarding the aerosol liquid water content, pH, and ionic strength, all of which impact heterogeneous reaction rates (Herrmann et al., 2015; Cheng et al., 2016). In particular, ambient aerosol pH cannot be directly measured, and thus represents a large source of uncertainty (Hennigan et al., 2015).

Previous studies have calculated a large range of aerosol pH values (3.4–7.8) in Beijing using a thermodynamic model (ISORROPIA-II) (Fountoukis and Nenes, 2007). He et al. (2018) noted that the large differences in calculated aerosol pH depended on whether they assume aerosols exist in a stable (Wang et al., 2016; He et al., 2018) or metastable state (Liu et al., 2017; Guo et al., 2017; He et al., 2018). A stable-state assumption allows for the simultaneous existence of solid and aqueous phases, while the metastable-state assumption allows for the existence of aqueous phase only by assuming salts to be supersaturated in aerosols (Fountoukis and Nenes, 2007). Aerosol pH values simulated assuming a stable state are near neutral (pH of 7), much higher than when assuming a metastable state (pH of 4–5) during haze events in China (Guo et al., 2017; Liu et al., 2017; He et al., 2018). However, a recent study calculated an aerosol pH of around 4.6 during Chinese haze events for both the stable and metastable assumptions in ISORROPIA-II after fixing coding errors that impacted the stable-state assumption (Song et al., 2018). This casts doubt on the existence of neutral aerosol pH during Chinese haze events and thus the importance of the NO$_2$ oxidation pathway, which is not important under acidic conditions. Other factors, such as an underestimate of NH$_3$ emissions (Zhang et al., 2018) and Ca$_{2+}$ concentrations in aerosols (Shen et al., 2016) and the lack of consideration of organic acids in aerosol pH calculations (Wang et al., 2018), add additional uncertainties in estimates of aerosol pH and thus sulfate production rates and mechanisms in Chinese haze events.

The oxygen isotopic composition $\Delta^{17}$O ($\approx \delta^{17}$O$−0.52 \times \delta^{18}$O) of secondary sulfate ($\Delta^{17}$O(SO$_4^{2-}$)) reflects the relative importance of different oxidation mechanisms in sulfate production because some of the oxidants transfer unique oxygen isotope signatures to the sulfate oxidation product (Savarino et al., 2000). Sulfate production via S(IV) oxidation by O$_3$ and H$_2$O$_2$ leads to positive $\Delta^{17}$O (SO$_4^{2-}$) values of 9.8‰ and 0.7‰, respectively, while all other oxidants lead to $\Delta^{17}$O(SO$_4^{2-}$) at or near 0‰ (Table S1 in the Supplement) (Vicars and Savarino, 2014; Savarino and Thiemens, 1999; Lee and Schwartz, 1983; Holt et al., 1981; Dubey et al., 1997). Primary sulfate, both natural (dust and sea salt) and anthropogenic (coal and oil combustion), also has $\Delta^{17}$O(SO$_4^{2-}$) values equal to 0‰ (Dominguez et al., 2008; Lee et al., 2001). Once formed, sulfate in the atmosphere does not undergo further isotope exchange. Surface observations around the world show that $\Delta^{17}$O(SO$_4^{2-}$) ranges from 0‰ to 6‰ (Alexander et al., 2005, 2012; Q. Chen et al., 2016; Dominguez et al., 2008; Jenkins and Bao, 2006; Lee et al., 2001; Lee and Thiemens, 2001; McCabe et al., 2006; Patris et al., 2007; He et al., 2018; Ishino et al., 2017). Due to the large positive enrichment of sulfate formed from O$_3$ oxidation ($\Delta^{17}$O(SO$_4^{2-}$) = 9.8‰) and the strong pH dependence of
this aqueous-phase reaction, $\Delta^{17}O(SO_4^{2-})$ is highly sensitive to pH.

In this work, we implement four heterogeneous reactions for sulfate formation (via $H_2O_2$, $O_3$, $NO_2$, and TMs) into a global chemical transport model (GEOS-Chem) and compare the model results with observations of sulfate and $SO_2$ concentrations and $\Delta^{17}O(SO_4^{2-})$ in Beijing from October 2014 to January 2015. During this period, Beijing held the Asia-Pacific Economic Cooperation (APEC) meeting from 5 to 11 November 2014. During and before APEC, $SO_2$ emissions in Beijing and its surrounding regions decrease due to strict emission controls to improve air quality (Zhang et al., 2016; J. G. Liu et al., 2015). This paper is organized as follows. Section 2 describes the model simulations and the observations, and the method used to calculate heterogeneous sulfate production rates. Section 3 discusses model results with and without heterogeneous sulfate production considered in comparison with observed concentrations and $\Delta^{17}O(SO_4^{2-})$. Section 4 discusses and explains the differences between our results and observations. Section 5 summarizes the main conclusions.

2 Methodology

2.1 GEOS-Chem model

We use the three-dimensional GEOS-Chem chemical transport model nested-grid version v10-01 (http://www.geos-chem.org/, last access: 1 May 2019) to investigate sulfate formation mechanisms in Beijing, China, between 18 October 2014 and 17 January 2015 (Wang et al., 2004, 2013, 2014; Zhang et al., 2015, 2016). The model has a horizontal resolution of 1/4° latitude by 5/16° longitude over East Asia (70–140° E, 15–55° N) and 47 vertical levels up to 0.01 hPa ($\approx$ 81 km). In the boundary layer where most heterogeneous sulfate production occurs, the vertical layer thickness is 120–150 m for the first 12 model layers (below 1700 m altitude). The model is driven by assimilated meteorological data from the NASA Goddard Earth Observing System (GEOS-FP), which have a temporal resolution of 3 h (1 h for surface quantities and mixing depths).

The model utilizes the global anthropogenic emission inventory EDGAR v4.2 (EC-JRC/PBL, 2011, http://edgar.jrc.ec.europa.eu/, last access: 1 May 2019), overwritten by regional inventories such as the MIX Asian emission inventory over Asia (M. Li et al., 2017), EMEP over Europe (http://www.emep.int/index.html, last access: 1 May 2019), and NEI2011 over the US (https://www.epa.gov/air-emissions-inventories, last access: 1 May 2019). In particular, the MIX Asian emission inventory includes emissions of $SO_2$, $NO_x$, CO, NH$_3$ and non-methane volatile organic compounds (NMVOCs) at a spatial resolution of 0.25° × 0.25° for the year 2010 (M. Li et al., 2017; Geng et al., 2017). Mineral dust aerosols are emitted in the model as described in Fairlie et al. (2007) and distributed in four size bins (radius of 0.1–1.0, 1.0–1.8, 1.8–3.0, and 3.0–6.0 µm). In addition to natural dust, our model includes anthropogenic dust (radius of 0.1–1.0 µm) released from anthropogenic activities such as road, residential, and commercial construction and combustion, following Phillip et al. (2017). Previous studies have suggested that anthropogenic dust accounts for about 25 % of the PM$_{2.5}$ mass fraction in Beijing (Zhang et al., 2015; Phillip et al., 2017).

The sulfate–nitrate–ammonium aerosol system is fully coupled to oxidant chemistry (Park et al., 2004), with aerosol pH, ionic strength, and aerosol water content (AWC) calculated from the ISORROPIA-II thermodynamic equilibrium model (Fountoukis and Nenes, 2007) that was implemented into GEOS-Chem by Pye et al. (2009). In the standard model (Run_std), sulfate is produced from gas-phase oxidation of $SO_2$ by OH, aqueous-phase oxidation of S(IV) (=SO$_2$·$H_2O$ + HSO$_3^-$ + SO$_2^{2-}$) by $H_2O_2$ and $O_3$ in cloud droplets, and heterogeneous oxidation on sea salt aerosols by $O_3$ (Alexander et al., 2005). Primary anthropogenic emissions of sulfate constitute 3.1 % of total anthropogenic sulfur emissions in China and 1.5 %–3.5 % elsewhere. Sulfate is removed from the atmosphere via dry (Zhang et al., 2001) and wet (Liu et al., 2001) deposition, with a global lifetime of about 4 d (Alexander et al., 2005).

We performed three simulations at high horizontal resolution (1/4° × 5/16°) and seven sensitivity simulations at low horizontal resolution (4° × 5°) to investigate sulfate formation mechanisms in Beijing, as summarized in Table 1. In the model simulation Run_TMI, we implemented the in-cloud TMI-catalyzed aqueous-phase S(IV) oxidation by $O_3$ chemistry into the model, which is thought to be one of the most important sulfate formation pathways during Northern Hemisphere winter (Huang et al., 2014; Harris et al., 2013; Alexander et al., 2009; Sofen et al., 2011). The parameterization of TMI-catalyzed S(IV) oxidation in cloud for GEOS-Chem follows Alexander et al. (2009) but with a reduced solubility of trace metals Fe and Mn derived from natural dust (from 1 % to 0.45 % for Fe and from 50 % to 5 % for Mn) to better match observations (Desboeufs et al., 2001, 2005; Chuang et al., 2005). In the model, Fe from natural dust ([Fe]$_{nat}$) is 3.5 % of total dust mass and Mn from natural dust ([Mn]$_{nat}$) is a factor of 50 lower than [Fe]$_{nat}$ (Alexander et al., 2009). Anthropogenic Mn ([Mn]$_{ant}$) and Fe ([Fe]$_{ant}$) are scaled to the abundance of primary anthropogenic sulfate due to common sources and atmospheric lifetimes. [Mn]$_{ant}$ is 1/300 of primary sulfate concentration and [Fe]$_{ant}$ is 10 times that of [Mn]$_{ant}$ as described in Alexander et al. (2009). Only soluble Fe and Mn in the oxidation states Fe(III) and Mn(II) catalyze S(IV) oxidation. For Fe, we assume solubility of 10 % for [Fe]$_{ant}$ and 0.45 % of [Fe]$_{nat}$ in cloud water, respectively, with 10 % in the form of Fe(III) during daytime and 90 % at night. For Mn, we assume a solubility of 50 % for [Mn]$_{ant}$ and 5 % for [Mn]$_{nat}$ in cloud water, respectively, with 100 % in the form of Mn(II). After modifi-
Table 1. Description of model simulations.

<table>
<thead>
<tr>
<th>Model run</th>
<th>TMI-catalysis in clouds</th>
<th>Heterogeneous reactions</th>
<th>Aerosol pH</th>
<th>Horizontal resolution</th>
</tr>
</thead>
<tbody>
<tr>
<td>Run_STD</td>
<td>N</td>
<td>N</td>
<td>ISO</td>
<td>1/4° × 5/16° and 4° × 5°</td>
</tr>
<tr>
<td>Run_TMI</td>
<td>Y</td>
<td>N</td>
<td>ISO</td>
<td>1/4° × 5/16° and 4° × 5°</td>
</tr>
<tr>
<td>Run_Het</td>
<td>Y</td>
<td>Y</td>
<td>ISO</td>
<td>1/4° × 5/16° and 4° × 5°</td>
</tr>
</tbody>
</table>

Sensitivity simulations based on Run_Het2

<table>
<thead>
<tr>
<th>Model run</th>
<th>pH</th>
<th>pH</th>
<th>Resolution</th>
</tr>
</thead>
<tbody>
<tr>
<td>Run_pH2</td>
<td>Y</td>
<td>Y</td>
<td>2</td>
</tr>
<tr>
<td>Run_pH3</td>
<td>Y</td>
<td>Y</td>
<td>3</td>
</tr>
<tr>
<td>Run_pH4</td>
<td>Y</td>
<td>Y</td>
<td>4</td>
</tr>
<tr>
<td>Run_pH5</td>
<td>Y</td>
<td>Y</td>
<td>5</td>
</tr>
<tr>
<td>Run_pH6</td>
<td>Y</td>
<td>Y</td>
<td>6</td>
</tr>
<tr>
<td>Run_pH7</td>
<td>Y</td>
<td>Y</td>
<td>7</td>
</tr>
<tr>
<td>Run_pH8</td>
<td>Y</td>
<td>Y</td>
<td>8</td>
</tr>
</tbody>
</table>

1 ISO means that the aerosol pH was calculated by ISORROPIA II in the model assuming a metastable state.

2 For the sensitivity simulations, aerosol pH was fixed to the stated prescribed value.

cation, the average aqueous-phase concentration of Fe(III) in cloud water during our studying period is 2.9 μM and Mn(II) is 1.3 μM in the model, which is consistent with previous work (Fe(III): 0.6–7.4 μM; Mn(II): 0.4–1.7 μM) (He et al., 2018; Shen et al., 2012; Guo et al., 2012; see Sect. S3 for more details).

In the model run Run_Het, we added four heterogeneous sulfate production mechanisms (via H2O2, O3, NO2, and TMIs) on aerosols into the model, in addition to TMI-catalyzed oxidation in clouds. Implementation of these reactions in the model is described in Sect. 2.2. In Run_Het, heterogeneous sulfate production on aerosols only occurs when relative humidity (RH) is greater than 50%, effectively assuming that aerosol water content is too low for sufficient heterogeneous sulfate production at RH < 50%. When RH < 50%, aerosols are assumed to remain crystallized until reaching the deliquescence relative humidity (DRH). This is consistent with observations in previous studies showing that sulfate production rates in Chinese haze are positively correlated with RH (Sun et al., 2013; Zhang et al., 2015; Wang et al., 2016). Ca2+ and Mg2+ cations from dust (both natural and anthropogenic) are included in the aerosol thermodynamic calculations (aerosol pH, aerosol water content, ionic strength). We assume that Ca2+ and Mg2+ cations constitute 3.0% and 0.6% of the dust by mass, respectively, based on observations near East Asian dust source regions (Fairlie et al., 2010). In addition, we considered the impacts of acidity and ionic strength on TMI-catalyzed reaction rates following Cheng et al. (2016) (Table 2), since the ionic strength of aerosol liquid water can reach 20 M during polluted periods (He et al., 2018; Herrmann et al., 2015). We performed seven sensitivity studies based on Run_Het but with prescribed values of aerosol pH to examine the dependence of model results on aerosol pH alone (Table 1).

For all model simulations, sulfate produced from each oxidation pathway is labeled as a separate “tracer” in the model with a corresponding Δ17O(SO42-) values (nine sulfate tracers in total, Table S1) as originally described in Alexander et al. (2005). Primary anthropogenic sulfate is also included as a separate tracer in the model with Δ17O = 0‰ (Lee et al., 2002). The details for calculating Δ17O(SO42-) in the model are described in the Supplement (Text S1).

2.2 Heterogeneous sulfate production on aerosols

Heterogeneous S(IV) oxidation in the model occurs on all aerosol types, including sulfate–nitrate–ammonium, dust, black carbon, organic carbon, and sea salt aerosols. The heterogeneous sulfate production rate on aerosol (Phet) is calculated in the model assuming a first-order loss of SO2 or oxidant (depending on which is the rate limiting step) via uptake by the aerosol (Eq. 1).

\[ P_{het} = k [SO_2 \text{ or oxidant}] \]  \hspace{1cm} (1)

The first-order loss rate constant (k, s⁻¹) is calculated using the reaction probability formulation in Jacob (2000) (Eq. 2).

\[ k = \left( \frac{r_a}{D_g} + \frac{4}{v v_f} \right)^{-1} A, \]  \hspace{1cm} (2)

where ra is the radius of the specific type of aerosol (cm), A is the total aerosol surface area per unit volume of air for the specific type of aerosol (cm² cm⁻³), v is the mean molecular speed of SO2 or the oxidant (cm s⁻¹), Dg represents the gas-phase molecular diffusion coefficient of SO2 or the oxidant (cm² s⁻¹) calculated as follows:
Table 2. Aqueous-phase reaction rate expressions, rate constants \( (k) \), and the influence of ionic strength \( (I_a) \) on the first-order aqueous-phase sulfate production in aerosol.

<table>
<thead>
<tr>
<th>Oxidants</th>
<th>( k ) ( (s^{-1}) )</th>
<th>Uptake gas</th>
<th>References</th>
</tr>
</thead>
</table>
| O\(_3\)  | \( k_1[H_2SO_3] + k_2[HSO_3^-] + k_3[SO_3^{2-}] \)  
\( k_1 = 2.4 \times 10^4 \text{M}^{-1} \text{s}^{-1} \)  
\( k_2 = 3.7 \times 10^5 \exp(-5530 \times (1/T - 1/298)) \text{M}^{-1} \text{s}^{-1} \)  
\( k_3 = 1.5 \times 10^9 \exp(-5280 \times (1/T - 1/298)) \text{M}^{-1} \text{s}^{-1} \) | O\(_3\)  | Hoffmann and Calvert (1985) |
| H\(_2\)O\(_2\) | \( k_4[\text{H}^+] [\text{HSO}_3^-]^{-1}/(1 + K[\text{H}^+]) \)  
\( k_4 = 7.45 \times 10^7 \exp(-4430 \times (1/T - 1/298)) \text{M}^{-1} \text{s}^{-1} \)  
\( K = 13 \text{M}^{-1} \) | H\(_2\)O\(_2\)  | Mc Ardle and Hoffmann (1983) |
| NO\(_2\)  | \( k_5[\text{SO}_4^{2-}] \)  
\( k_{5\text{low}} = 2 \times 10^6 \text{M}^{-1} \text{s}^{-1} \)  
\( k_{5\text{high}} = (1.24 - 2.95) \times 10^7 \text{M}^{-1} \text{s}^{-1} \) | NO\(_2\)  | Seinfeld and Pandis (2012), Lee and Schwartz (1983), Clifton et al. (1988) |
| TMI + O\(_2\) | \( k_6[\text{H}^+]^{-0.74} [\text{Mn(II)}][\text{Fe(III)}] \) \( (pH \leq 4.2) \)  
\( k_6 = 3.72 \times 10^7 \exp(-8431.6 \times (1/T - 1/297)) \text{M}^{-2} \text{s}^{-1} \)  
\( k_7[\text{H}^+]^{0.67} [\text{Mn(II)}][\text{Fe(III)}] \) \( (pH > 4.2) \)  
\( k_7 = 2.51 \times 10^{13} \exp(-8431.6 \times (1/T - 1/297)) \text{M}^{-2} \text{s}^{-1} \)  
\( \log \left( \frac{k_7}{k_6} \right) = b_1 \sqrt{T}/(1 + \sqrt{T}) \)  
\( b_1 \) is in range of \(-4\) to \(-2\) | SO\(_2\)  | Ibusuki and Takeuchi (1987) |
| HOBr  | \( k_8[\text{HSO}_3^-] + k_9[\text{SO}_3^{2-}] \)  
\( k_8 = 3.2 \times 10^9 \text{M}^{-1} \text{s}^{-1} \)  
\( k_9 = 5.0 \times 10^9 \text{M}^{-1} \text{s}^{-1} \) | HOBr  | Chen et al. (2017) |
| O\(_2\) on acidic microdroplet | \( 1.5 \times 10^6 \) \( (pH \leq 3) \) | SO\(_2\)  | Hung and Hoffmann (2015) |

\[
D_a = \frac{9.45 \times 10^{17} \times \sqrt{T \times (3.47 \times 10^{-2} + (1/M))}}{\rho_{\text{air}}},
\]

where \( T \) is air temperature \( (\text{K}) \), \( \rho_{\text{air}} \) is air density \( (\text{mole} \text{c}^{-3}) \), and \( M \) represents the molar mass of \( \text{SO}_2 \) or the oxidant \( (\text{g} \text{mol}^{-1}) \). The reaction probability \( (\gamma) \) is defined as the probability that a molecule impacting the aerosol surface undergoes a chemical reaction \( (\text{Ravishankara, 1997; Jacob, 2000}) \). Due to limited understanding of sulfate formation on aerosols, chemical transport models typically calculate heterogeneous sulfate production rate on aerosols by assuming the bulk first-order uptake of \( \text{SO}_2 \) and using a wide range of \( \gamma \) values \( (10^{-4} - 10^{-1}) \) \( (\text{Wang et al., 2014; Zhang et al., 2015}) \). However, the relative contribution of different sulfate production mechanisms, which is important to inform air pollution mitigation efforts, cannot be determined with this simplified approach.

In this study, we use a more specific approach to calculate \( \gamma \) for each heterogeneous sulfate production mechanisms following Jacob (2000) and Ammann et al. (2013) \( (\text{Eq. 4}) \):

\[
\gamma = \left[ 1 + \frac{v}{4K^*RT\sqrt{D_a\kappa_{\text{chem}}}} \cdot \frac{1}{f_1} \right]^{-1},
\]

where \( \alpha \) is the mass accommodation coefficient \( (\text{unitless}) \), \( \kappa_{\text{chem}} \) is the pseudo first-order aqueous-phase chemical rate constant between \( \text{S(IV)} \) and the oxidant \( (\text{O}_3, \text{H}_2\text{O}_2, \text{NO}_2 \text{ or } \text{O}_2) \) \( (\text{s}^{-1}) \) \( (\text{Table 2}) \), \( D_a \) is the aqueous-phase molecular diffusion coefficient of \( \text{SO}_2 \) or the oxidant \( (\text{cm}^2 \text{ s}^{-1}) \), \( K^* \) is the effective Henry’s law constant of \( \text{SO}_2 \) or the oxidant \( (\text{M} \text{atm}^{-1}) \), \( R \) is the universal gas constant \( (\text{L} \text{atm} \text{mol}^{-1} \text{K}^{-1}) \), and \( f_1 \) is reacto-diffusive correction term that compares the radius of aerosols \( (r_a) \) with the reacto-diffusive length scale of the reaction \( (l) \):

\[
f_1 = \tanh \frac{r_a}{l} - \frac{l}{r_a}
\]

\[
l = \sqrt{\frac{D_a}{k_{\text{chem}}}}.
\]
in SO$_2$. All other heterogeneous sulfate production pathways are calculated as first-order uptake in the oxidant (H$_2$O$_2$, O$_3$, and NO$_2$). This is based on whether the heterogeneous sulfate production on aerosol is limited by the availability of SO$_2$ or the oxidant. For aerosol pH values less than 6, heterogeneous sulfate production rates calculated as a first-order loss in SO$_2$ or the oxidant are similar (Fig. S1b in the Supplement). For aerosol pH values greater than 6, heterogeneous sulfate production rates calculated as a first-order loss in SO$_2$ are higher than those calculated as a first-order loss in the oxidants O$_3$ and NO$_2$. The reaction rate for S(IV) oxidation by O$_3$ and NO$_2$ increases with increasing pH, and at high pH values γ is limited by the mass accommodation coefficient and becomes independent of pH. The mass accommodation coefficients for O$_3$ (2 × 10$^{-3}$) and NO$_2$ (2 × 10$^{-4}$) are much lower than for SO$_2$ (0.23). The mass accommodation coefficient for H$_2$O$_2$ (0.11) is similar to SO$_2$, and γ for the reaction of S(IV) with H$_2$O$_2$ was limited by the oxidant concentration. More details on first-order loss rates are described in Text S2.

In addition to the model simulations described in Table 1, we have also examined heterogeneous oxidation of SO$_2$ by O$_2$ on the surface of acidic microdroplets (Hung and Hoffman, 2015) and by HOBr (Q. Chen et al., 2016; 2017). The results are described in Text S2.

### 2.3 Observations of sulfate concentrations and oxygen isotopic composition

Between 17 October 2014 and 20 January 2015, PM$_{2.5}$ samples were collected every 12 h for daytime (08:00–20:00 Beijing time) and nighttime (20:00–08:00 Beijing time) conditions at the campus of the University of the Chinese Academy of Sciences (40.41° N, 116.68° E, 20 m from the ground) in Beijing, around 60 km northeast of downtown. The oxygen-17 excess of sulfate ($\Delta^{17}O$($SO_4^{2-}$)) of these PM$_{2.5}$ samples were measured at University of Washington, Seattle. A detailed description of sampling and measurements of $\Delta^{17}O$($SO_4^{2-}$) can be found in He et al. (2018). Observations of hourly sulfate concentrations were conducted at the Institute of Atmospheric Physics (IAP), Chinese Academy of Sciences (39.99° N, 116.37° E), an urban site in the north of Beijing, at ground level (~4 m), as described by Sun et al. (2016). Sulfate concentrations in submicron aerosols (PM$_1$) were measured by an Aerodyne High Resolution Aerosol Mass Spectrometer (HR-AMS). The difference of SO$_4^{2-}$ concentration between PM$_{2.5}$ and PM$_1$ is small because most sulfates exist in fine aerosols (Guo et al., 2014). A comparison of SO$_4^{2-}$ concentration in PM$_{2.5}$ and PM$_1$ during 22–29 November 2017 is shown in the Supplement (Fig. S2). Surface PM$_{2.5}$, SO$_2$, NO$_2$, and O$_3$ measurements (Figs. 1 and S6) are from the China National Environmental Monitoring Center (http://106.37.208.233:20035/, last access: 1 May 2019) with 12 sites in Beijing, including 8 urban and 4 suburban sites.

### 3 Results

#### 3.1 Observed PM$_{2.5}$ and sulfate concentrations and $\Delta^{17}O$($SO_4^{2-}$)

Figure 1 shows the time series of observed concentrations of PM$_{2.5}$ and sulfate from 17 October 2014 to 20 January 2015, along with temperature and relative humidity in Beijing from GEOS-FP. A previous study showed that the GEOS-FP meteorological data for temperature and relative humidity are in good agreement with the ground-based measurements in Beijing ($R^2 > 0.9$) (Zhang et al., 2016). The CNAQS defines the 24h average air quality levels as excellent (PM$_{2.5}$ = 0–35 µg m$^{-3}$), good (PM$_{2.5}$ = 35–75 µg m$^{-3}$), light (PM$_{2.5}$ = 75–115 µg m$^{-3}$), moderate (PM$_{2.5}$ = 115–150 µg m$^{-3}$), heavy (PM$_{2.5}$ = 150–250 µg m$^{-3}$), and severe (PM$_{2.5}$ > 250 µg m$^{-3}$). Using this metric, 10 time periods are categorized as heavy or severe (> 150 µg m$^{-3}$) during 17 October 2014–20 January 2015, and we refer to these as “heavy polluted period” (HPP). Another 10 time periods were in the excellent to good category and we refer to these days as “clean period” (CP). The average relative humidity (RH) from GEOS-FP during HPP was 60±11 %, much higher than the average RH during CP (42±10 %). Higher RH can accelerate the rates of conversion of SO$_2$ and NO$_2$ to SO$_4^{2-}$ and NO$_3^-$, respectively, contributing to increases in PM$_{2.5}$ concentrations (Wang et al., 2016; Hua et al., 2015).

The observed SO$_4^{2-}$ concentrations show a similar variation as PM$_{2.5}$, increasing from 2.1±1.8 µg m$^{-3}$ in CP to 25.9±11.3 µg m$^{-3}$ in HPP with a mean of 11.5±7.3 µg m$^{-3}$ during the entire measurement period. The mass fraction of SO$_4^{2-}$ to PM$_{2.5}$ ranged from 5 % to 19 %, varying from a mean of 8±2 % in CP to 13±2 % in HPP. Observed sulfate concentrations shown in Fig. 1 are 15 % lower on average during HPP than those reported in He et al. (2018) because the SO$_4^{2-}$ concentrations shown here represent PM$_1$ instead of PM$_{2.5}$, which is in good agreement with previous studies (Sun et al., 2013, 2014, 2016) (Fig. S2). Figure 1 also shows the observed sulfur oxidation ratio (SOR), defined as the molar ratio of sulfate over the sum of sulfate and SO$_2$ (SO$_4^{2-}$/(SO$_2$ + SO$_4^{2-}$)) (Colbeck and Harrison, 1984). The observed SOR increases from CP (9±6 %) to HPP (32±18 %), consistent with increased sulfate production rates during HPP.

Figures 2 and S4 show the $\Delta^{17}O$($SO_4^{2-}$) observations, 24 measurements represent HPP and 10 represent CP. The $\Delta^{17}O$($SO_4^{2-}$) values are similar in HPP and CP, 0.9±0.1 % and 0.9±0.5 %, respectively. A more detailed description of the $\Delta^{17}O$($SO_4^{2-}$) observations can be found in He et al. (2018).
Figure 1. Time series of (a) GEOS-FP temperature (red line) and relative humidity (RH; green line), (b) PM$_{2.5}$, (c) sulfate concentration, and (d) SOR at the surface in Beijing during the study period of 17 October 2014–20 January 2015. Hourly PM$_{2.5}$, sulfate, and SOR observations (black dots) are compared with model results from Run_Std (orange line) and Run_Het (purple line). The gray-shaded bars represent 10 heavy pollution periods (HPPs) (PM$_{2.5}$ > 150 µg m$^{-3}$) as defined in the text.

Figure 2. The box charts show observed vs. modeled $\delta^{17}$O(SO$_4^{2-}$) in Beijing for each model simulation, separated for heavy pollution and clean periods. The box line from bottom to top is, respectively, the percentile of 25 %, 50 %, and 75 %; the whisker from bottom to top is, respectively, the minimum and the maximum; and the black square is mean value.

3.2 Analyses of sulfate formation pathways

3.2.1 Sulfate formation in the standard model

Figure 1 compares the measured and modeled PM$_{2.5}$ and sulfate concentration in Beijing. The standard model (Run_Std) generally captures the temporal variations in PM$_{2.5}$ and SO$_4^{2-}$ observations during the entire sampling period but underestimates the PM$_{2.5}$ and SO$_4^{2-}$ observations during HPP by 28 % and 64 %, respectively. Modeled sulfate concentrations increase from 1.5 ± 1.2 µg m$^{-3}$ in CP to 8.9 ± 3.1 µg m$^{-3}$ in HPP, which is a much smaller enhancement compared to observations (from 2.1 ± 1.8 µg m$^{-3}$ in CP to 25.9 ± 11.3 µg m$^{-3}$ in HPP). The model-simulated sulfate mass fractions in PM$_{2.5}$ are 8±2 % in CP and 7±2 % in HPP. The model fails to reproduce increases in observed sulfate mass fraction from CP (8 ± 2 %) to HPP (13 ± 2 %). This is consistent with previous modeling studies, suggesting missing sulfate formation pathways (Wang et al., 2014; Zhang et al., 2015). The model also underestimates the sulfur oxidation ratio (SOR) observations during HPP by 53 %. This further suggests that the modeled SO$_2$ oxidation rate is too slow.

Figure 2 compares observed and modeled $\delta^{17}$O(SO$_4^{2-}$). The modeled hourly $\delta^{17}$O(SO$_4^{2-}$) values were averaged at 12 h intervals for comparison with the observations. The simulated $\delta^{17}$O(SO$_4^{2-}$) values in Run_Std range from 0.02 ‰ to 1.5 ‰ with a mean of 0.5 ± 0.1 ‰. Unlike the observations, modeled $\delta^{17}$O(SO$_4^{2-}$) values in Run_Std during HPP (0.4 ± 0.1 ‰) are lower than those during CP (0.6 ± 0.1 ‰) due to higher fractional contribution of in-cloud H$_2$O$_2$ and O$_3$ oxidation pathways during CP, as discussed below. Run_Std underestimates the $\delta^{17}$O(SO$_4^{2-}$) observations (by 44 % on average), particularly during HPP (by 53 % on average).

Figure 3 shows modeled spatial distribution of sulfate concentrations over China, and the fractional contribution of each sulfate formation pathway to total sulfate abundance in Beijing. The average simulated sulfate concentration in Beijing in Run_Std is around 6.2 µg m$^{-3}$ (Fig. 3a), smaller than the observations (11.5 µg m$^{-3}$) over the study period. Figure 3 also shows the model calculated average contri-
Figure 3. Model-simulated sulfate aerosol concentrations (µg m\(^{-3}\)) above the ground for (a) Run_Std, (b) Run_TMI, and (c) Run_Het. Panels on the left show the spatial distributions with the numbers in the inset representing simulated mean sulfate concentrations in Beijing (black circle in a) during the entire measurement period. The middle and right columns show the percent contributions of different sulfate formation pathways to sulfate aerosol concentration in Beijing as calculated by the different model runs during polluted (HPP) and clean (CP) periods, respectively. The smaller pie charts in (c) show the relative contributions of the four heterogeneous sulfate formation pathways implemented in the model. Numbers are percentage contributions (%) and the absolute sulfate concentrations (µg m\(^{-3}\)) are in parentheses.

In Run_Std, sulfate produced by gas-phase oxidation of SO\(_2\) by OH dominates the total sulfate abundance (59.7 %, 5.3 µg m\(^{-3}\)) in HPP. Primary sulfate represents the second most important contributor (33.6 %, 3.0 µg m\(^{-3}\)) despite the fact that primary sulfate is only 3 % of total anthropogenic sulfur emissions. The high fraction of primary anthropogenic sulfate reflects the relatively slow oxidation rate of SO\(_2\) in the model. In-cloud sulfate production contributes only 7 % of total sulfate abundance at the surface during HPP. For clean days, primary sulfate dominates surface sulfate concentrations (49.4 %, 0.7 µg m\(^{-3}\)), suggesting an even slower SO\(_2\) oxidation rate in the model during CP compared to HPP. Gas-phase production via OH oxidation is the second most important contributor (26.1 %, 0.4 µg m\(^{-3}\)) during CP. Gas-phase oxidation of SO\(_2\) by OH is more important in HPP than in CP because modeled OH concentrations during HPP are much higher than in CP due to higher modeled OH during polluted wintertime conditions in Beijing (Tan et al., 2018). Higher modeled OH in HPP compared to CP is due to higher nitrous acid (HONO) levels during HPP (Fig. S3) resulting from heterogeneous uptake of NO\(_2\) to produce HNO\(_3\) and HONO in the model. This is consistent with observation-based studies in Beijing showing that OH production from HONO photolysis is 10 times higher than that from O\(_3\) photolysis in winter (Hendrick et al., 2014) and that NO\(_2\) dissolution in acidic aerosol water is a source of HONO (Li et al., 2018). In-cloud sulfate production contributes 24.5 % of total sulfate abundance during CP, much higher than HPP (6.9 %) due to higher modeled H\(_2\)O\(_2\) and O\(_3\) in CP.

3.2.2 Transition metal ion-catalyzed oxidation of S(IV) in clouds

The in-cloud concentration of soluble Fe\(^{3+}\) and Mn\(^{2+}\) determines the rate of sulfate formation via the TMI-catalyzed oxidation pathway, but large uncertainties exist in estimates of soluble Fe\(^{3+}\) and Mn\(^{2+}\) due to lack of observations. Adding aqueous-phase TMI-catalyzed S(IV) oxidation by O\(_2\) in cloud droplets in Run_TMI increases the average sulfate concentration in Beijing during the entire measurement period from 6.2 to 8.3 µg m\(^{-3}\) due to increases in the in-cloud sulfate production rate. However, the model still underestimates observations of PM\(_{2.5}\) (−35 %), sulfate (−48 %), and SOR (−40 %) during HPP. Sulfate from TMI-catalyzed oxidation dominates in-cloud sulfate production and accounts for up to 28.3 % of total sulfate abundance during HPP but only 8.1 % during CP in Beijing (Fig. 3b). The lower contribution of TMI catalysis during CP is due to lower concentrations of Fe and Mn in the model during CP. The largest enhancement in sulfate abundance after adding the in-cloud TMI pathway occurs in the Sichuan basin (around 6.5 µg m\(^{-3}\)), where sim-
ulated anthropogenic Fe and Mn from coal fly ash (Fig. S4) and SO$_2$ are high due to high SO$_2$ emissions (Zhang et al., 2009) combined with stagnant air and high relative humidity all year (Huang et al., 2014). After adding the in-cloud TMI oxidation pathway, the average modeled $\Delta^{17}$O(SO$_4^{2-}$) decreased from 0.5% to 0.4% in Beijing because the TMI oxidation pathway leads to $\Delta^{17}$O(SO$_4^{2-}$) = 0% (Fig. 2), which makes the discrepancy between modeled and observed $\Delta^{17}$O(SO$_4^{2-}$) (0.9%) even larger.

### 3.2.3 Heterogeneous sulfate formation on aerosols

Adding four heterogeneous S(IV) oxidation mechanisms by H$_2$O$_2$, O$_3$, NO$_2$ and TMI-catalyzed O$_2$ on aerosols in Run_Het increases the average SO$_4^{2-}$ concentrations in Beijing (from 8.3 µg m$^{-3}$ in Run.Std to 9.8 µg m$^{-3}$ in Run_Het) (Fig. 1c). Modeled heterogeneous sulfate production represents 21.6% of total surface sulfate concentrations in HPP and 19.8% in CP (Fig. 3c). Modeled daily mean aerosol pH ranged from 3.0 to 5.4 with a mean of 4.3 over the entire time period in Beijing (Fig. 4), which is consistent with recent estimates (pH = 4.2–4.7) (Guo et al., 2017; Song et al., 2018; Liu et al., 2017). Heterogeneous sulfate production on aerosols is dominated by TMI-catalyzed O$_2$ oxidation in both HPP (69%) and CP (67%) (Fig. 3c). S(IV) oxidation by O$_3$ is the second most important heterogeneous oxidation pathway, accounting for 19% of total heterogeneous sulfate formation in both HPP and CP. S(IV) oxidation by H$_2$O$_2$ (6% in both HPP and CP) and NO$_2$ (6% in HPP and 8% in CP) represent a minor heterogeneous sulfate production pathway. Previous studies suggested that oxidation of SO$_2$ by NO$_2$ in aerosol water dominates heterogeneous sulfate formation in Beijing during winter (Wang et al., 2016; Cheng et al., 2016) at neutral aerosol pH. However, subsequent studies showed that these high aerosol pH values were unlikely during wintertime Beijing haze events and they calculated aerosol pH values in the range of 4.2–4.7 (Guo et al., 2017; Song et al., 2018; Liu et al., 2017).

After adding the heterogeneous S(IV) oxidation pathways, the average modeled $\Delta^{17}$O(SO$_4^{2-}$) increased from 0.5 ± 0.5% to 0.8 ± 0.7% in Beijing, due to the increased importance of S(IV) oxidation by O$_3$. Although the average modeled $\Delta^{17}$O(SO$_4^{2-}$) in Run_Het is similar to the observations (0.9 ± 0.3%), the modeled median (0.5%) largely underestimates the observed median (1.0%) (Fig. 2), and the majority of the modeled data underestimate the observed $\Delta^{17}$O(SO$_4^{2-}$) (Fig. S5).

Compared to observations in Beijing, mean model biases decrease from −28% to −26% for PM$_{2.5}$, −45% to −21% for sulfate concentration, from −29% to −11% for SOR, and from −45% to −15% for $\Delta^{17}$O(SO$_4^{2-}$) for the entire measurement period for Run_Het relative to Run Std. Model biases during HPP decrease from −38% to −32% for PM$_{2.5}$, −65% to −40% for sulfate concentration, −53% to −28% for SOR, and from −50% to −5% for $\Delta^{17}$O(SO$_4^{2-}$).

The largest sulfate enhancements due to heterogeneous sulfate formation occur in megacities in eastern China and the Sichuan basin (Fig. 3), where both SO$_2$ and aerosol abundances are highest. In addition, high anthropogenic emissions of Fe$^{3+}$ and Mg$^{2+}$ favor sulfate production catalyzed by TMIs. In Run_Het, the fractional contribution of each sulfate production mechanism in Beijing during HPP is 34% for gas-phase oxidation of SO$_2$ by OH, 22% for TMI catalysis in cloud, 21% for the four heterogeneous oxidation pathways, and 20% for primary sulfate, respectively (Fig. 3c). The remaining 3% of sulfate is formed via in-cloud sulfate production from H$_2$O$_2$ and O$_3$. For clean days (CP), primary sulfate still dominates surface sulfate concentrations (39%) in Run_Het, with gas-phase production via OH oxidation the second most important contributor (22%) and the added heterogeneous sulfate formation pathways accounting for 22%.

### 4 Discussion

The model results demonstrate that implementation of heterogeneous sulfate formation pathways on aerosols reduces modeled low biases in both the concentration and oxygen isotopic signature of sulfate ($\Delta^{17}$O(SO$_4^{2-}$)) and suggests that TMI catalysis dominates heterogeneous sulfate production. However, the model is still biased low in both metrics, indicating that the model is still underestimating sulfate production rates. The modeled underestimate in $\Delta^{17}$O(SO$_4^{2-}$) reveals an underestimate in the O$_3$ oxidation pathway. Since the relative contribution of heterogeneous sulfate formation pathways are sensitive to aerosol pH, we investigated the influence of aerosol pH using a series of sensitivity simu-
lations with prescribed aerosol pH values between 2 and 8 (Table 1). Figure 5 shows modeled sulfate concentrations produced from different oxidation pathways and resulting $\Delta^{17}$O(SO$_{4}^{2-}$) in Beijing averaged over HPP assuming different aerosol pH values. Heterogeneous sulfate formation represents over 50 % of total sulfate formation when pH > 5 and when pH < 4. At aerosol pH < 4, heterogeneous sulfate formation is dominated by the TMI pathway because of the high solubility of Fe and Mn at low pH (Guieu et al., 1994; Mackie et al., 2005). At aerosol pH > 5, heterogeneous sulfate formation is dominated by the O$_3$ pathway because the solubility of SO$_2$ increases with increasing pH and because the S(IV) partitioning shifts to favor SO$_3^{2-}$ at higher pH. The aqueous-phase rate constant for SO$_3^{2-}$ + O$_3$ is almost 4 orders of magnitude faster than for HSO$_3^{-}$ + O$_3$ (Table 2). Heterogeneous sulfate formation by NO$_2$ also increases with increasing pH due to the increased solubility of SO$_2$ at high pH, and represents 15 %–30 % of total heterogeneous sulfate production between pH 6 and 8. In contrast to our results, previous results (Cheng et al., 2016; Wang et al., 2016) suggested that NO$_2$ oxidation is more important than O$_3$ oxidation at high pH values. The difference is due to assumed O$_3$ concentrations used in the rate calculations. The aforementioned studies assumed an O$_3$ concentration of 1 ppb relative to an NO$_2$ concentration of 66 ppb. In our model simulations, average O$_3$ and NO$_2$ concentrations are 9 and 85 ppb, respectively. Modeled O$_3$ concentrations are similar to the observations during the measurement period (Fig. S6). Heterogeneous sulfate production is lower (20 %) when pH 4–5 because of the low solubility of Fe and Mn and low concentrations of SO$_2^{3-}$ in this pH range. We note that while the pH-dependence of S(IV) partitioning is well known, the pH-dependency of metal solubility is more uncertain.

Anthropogenic SO$_2$ emissions in China have been reduced sharply since 2009 due to the stringent pollution control measures implemented (Zheng et al., 2018; Van der A et al., 2017; Krotkov et al., 2016). Compared with 2010, anthropogenic SO$_2$ emissions were reduced by about 50 % in 2015 (Krotkov et al., 2016; Zheng et al., 2018; Van der A et al., 2017). However, NH$_3$ and NMVOC emissions in China remained stable during 2010–2017 due to the absence of effective mitigation measures in current policies (Zheng et al., 2018). The emission changes may affect the abundance of species that influence cloud and aerosol pH and further influence sulfate production rates and the contribution of each sulfate formation pathway. However, other studies using observations between 2014 and 2016 (Liu et al., 2017; Song et al., 2018) found a similar pH range to that calculated here, suggesting that a modeled low bias in aerosol pH is not likely to be the source of the modeled discrepancy in $\Delta^{17}$O(SO$_{4}^{2-}$).

Figure 5 also shows that model results with mean aerosol pH > 5 would result in a high bias in $\Delta^{17}$O(SO$_{4}^{2-}$) due to the increasing importance of the O$_3$ oxidation pathway at higher pH values, effectively providing an observational constraint for typical aerosol pH < 5 in Beijing haze. However, modeled $\Delta^{17}$O(SO$_{4}^{2-}$) calculated at the aerosol pH of 4.2–4.7, as estimated by recent studies (Guo et al., 2017; Song et al., 2018) represents a low bias relative to the observations. A joint comparison of observations and model results with both sulfate concentrations and $\Delta^{17}$O(SO$_{4}^{2-}$) suggests an average aerosol pH between 5 and 6 in Beijing during the study period, which is higher than bulk aerosol pH calculations in our model calculations and in previous studies (Guo et al., 2017; Song et al., 2018).

Thermodynamic pH calculations in ISORROPIA II are based on the assumption of internally mixed aerosols and do not directly consider aerosol alkalinity (carbonate). Fresh dust is initially alkaline (calcium carbonates). This alkalinity is partially depleted (carbonate is converted to CO$_2$) upon uptake of the acid gases HNO$_3$, SO$_2$, and H$_2$SO$_4$ (Fairlie et al., 2010; Ullerstam et al., 2003). Due to the high pH of alkaline dust, uptake of SO$_2$ would be followed by heterogeneous oxidation by O$_3$ (Fairlie et al., 2010; Ullerstam et al., 2003) and NO$_2$ (Zhao et al., 2018) if there is enough aerosol liquid water to promote aqueous-phase oxidation. A recent modeling study by Uno et al. (2017) using a previous version of GEOS-Chem explicitly includes uptake of HNO$_3$, SO$_2$, and H$_2$SO$_4$ on alkaline dust aerosols as described in Fairlie et al. (2010). Their model calculated that sulfate from uptake of SO$_2$ and H$_2$SO$_4$ on alkaline dust in Beijing during our measurement period represents on average 3 % of total sulfate abundance. Most of this sulfate formation on alkaline dust was from uptake of H$_2$SO$_4$ (70 %–80 %, Fairlie et al., 2010), with the re-
maintaining fraction (20%–30%) from uptake of SO₂, followed by oxidation to sulfate. On average, less than 1% of sulfate in Beijing is formed on alkaline dust via the uptake and oxidation of SO₂ in the model.

At pH values representative of alkaline dust (pH = 7–8), sulfate formed via oxidation of SO₂ on alkaline dust would be dominated by O₃, leading to a relatively high Δ¹⁷O(SO₄²⁻) value of 6%e (Fig. 5). In order to account for the difference in the median Δ¹⁷O(SO₄²⁻) observed (1.0%e) and modeled (0.5%e), sulfate formation from the uptake and oxidation of SO₂ on alkaline dust would need to account for an average of 9% of total sulfate abundance during our measurement period. This fraction is higher than that calculated in Uno et al. (2017), which did not include anthropogenic dust. In our model, anthropogenic dust accounts for 28% of total dust in Beijing (Fig. S7), and natural dust mostly originates from the Gobi Desert in southwestern Mongolia and the Badain Jaran Desert in northern China (Zhang et al., 2003, 2016). The anthropogenic dust is not abundant enough to explain the difference between the results of Uno et al. (2017) and amount of sulfate production on alkaline dust required to explain the observed Δ¹⁷O(SO₄²⁻). Due to uncertainties in processes such as the RH dependence of the uptake of SO₂ on alkaline dust, the importance of this pathway could be underestimated in Uno et al. (2017). If this process does account for the difference in modeled and observed Δ¹⁷O(SO₄²⁻), then NO₂ oxidation may be slightly more important than indicated in Fig. 3. Our calculations (Fig. 5) suggest that NO₂ oxidation accounts for 23% of heterogeneous sulfate formation at aerosol pH values between 7 and 8. If sulfate formation on alkaline dust accounts for 9% of total sulfate formation and NO₂ oxidation accounts for 23% of this sulfate formation pathway, then NO₂ oxidation can account for up to 2% of total heterogeneous sulfate formation in Beijing, which still suggests NO₂ oxidation is a minor pathway during wintertime Beijing haze events.

In addition to a model underestimate of the ozone oxidation pathway, a model underestimate of the H₂O₂ oxidation pathway may explain part of the modeled low bias in Δ¹⁷O(SO₄²⁻). The average modeled H₂O₂ concentrations during HPP and CP underestimate the observations (Ye et al., 2018) by up to an order of magnitude (Fig. S3c). Mao et al. (2013) proposed a HO₂-Cu-Fe catalytic mechanism for H₂O₂ production in the aerosol phase. In their mechanism, the uptake of O₂ and subsequent heterogeneous reactions with Cu and Fe will lead to production of H₂O₂, when the molar ratio of dissolved Cu to Fe was > 0.1. Ye et al. (2018) found that the molar ratio of dissolved Cu to Fe is > 0.1 during moderately polluted days, suggesting the uptake of HO₂ radicals on particles might be an important source of H₂O₂ during the winter in Beijing. We note, however, that an underestimate of modeled H₂O₂ cannot explain all of the discrepancy in Δ¹⁷O(SO₄²⁻), as sulfate formed from H₂O₂ oxidation (0.7%e) is lower than the observed mean Δ¹⁷O(SO₄²⁻) of 0.9%e. All other oxidation pathways yield Δ¹⁷O(SO₄²⁻) = 0%e and cannot explain the model’s low bias in Δ¹⁷O(SO₄²⁻).

An increase in sulfate abundance of 9% from formation on alkaline dust is not enough to explain the remaining model underestimate in sulfate concentrations (−40%) and SOR (−28%) during HPP. Two recent studies suggested that hydroxymethane sulfonate (HMS) may be present in Chinese haze events and measured as sulfate via ion chromatography and HR-AMS (Moch et al., 2018; Song et al., 2019). HMS is formed via aqueous-phase oxidation via nucleophilic attack of HSO₃⁻ and SO₃²⁻ on HCHO. Moch et al. (2018) suggested that in-cloud formation of HMS could explain the low model bias in sulfate concentrations, while Song et al. (2019) suggested that heterogeneous formation of HMS on aerosol surfaces can explain up to one-third of the low model bias in their study. In the present study, as the organics were removed from the sample matrix prior to Δ¹⁷O(SO₄²⁻) analysis (Geng et al., 2013), suggesting that measured Δ¹⁷O(SO₄²⁻) does not have significant contributions from HMS. This will depend on the efficiency of HMS removal in He et al. (2018); previous work suggests that one of the two organic removal techniques employed (H₂O₂ oxidation) does not remove HMS under atmospheric conditions (Munger et al., 1984, 1986). However, the presence of HMS in the sample matrix, if any, would lower measured Δ¹⁷O(SO₄²⁻), and any correction for this would increase the observed Δ¹⁷O(SO₄²⁻) and further increase the discrepancy between modeled and observed Δ¹⁷O(SO₄²⁻).

5 Conclusion

We have used a combination of observations and modeling of sulfate and SO₂ concentrations and Δ¹⁷O(SO₄²⁻) to quantify sulfate production mechanisms in Beijing. We focus on the period of 17 October 2014–20 January 2015, when 10 heavy pollution periods (HPPs) were defined with observed PM₂.₅ concentrations > 150 µg m⁻³. The standard model simulation that only includes primary sulfate and sulfate formation from gas-phase oxidation by OH and in-cloud oxidation by H₂O₂ and O₃ underestimates mean sulfate concentration by 65% and Δ¹⁷O(SO₄²⁻) by 50% during HPPs. Adding in-cloud oxidation catalyzed by transition metal ions (TMIs) and heterogeneous oxidation by H₂O₂, O₃, NO₂, and TMIs on aerosols can improve the model simulation of sulfate abundance and Δ¹⁷O(SO₄²⁻), with the model biases decreasing from −65% to −40% for sulfate and from −50% to −5% for Δ¹⁷O(SO₄²⁻) during HPP. Modeled heterogeneous sulfate production accounts for around 20% of total sulfate production. The model predicts that the TMI-catalyzed oxidation dominates heterogeneous sulfate production under the calculated aerosol pH of ≤ 5; however, this reaction is highly uncertain due to limitations in our ability to assess modeled
dissolved Fe(III) and Mn(II) concentrations. The modeled \( \Delta^{17}O(SO_4^{2-}) \) is still biased low compared to observations, suggesting an underestimate of sulfate production by \( O_3 \) oxidation. We hypothesize that sulfate aerosol production by \( O_3 \) on externally mixed alkaline dust aerosol can explain at least part of the remaining discrepancy in \( \Delta^{17}O(SO_4^{2-}) \). The \( \Delta^{17}O(SO_4^{2-}) \) observations suggest that a fractional sulfate contribution of just 9% originating from \( SO_2 \) oxidation on alkaline dust aerosol can explain the model discrepancy in \( \Delta^{17}O(SO_4^{2-}) \). We calculate that sulfate formation on alkaline dust is dominated by \( O_3 \) oxidation (74%), followed by \( NO_2 \) oxidation (23%). The \( \Delta^{17}O(SO_4^{2-}) \) observations combined with our model calculations indicate only a minor (2%) role of heterogeneous sulfate formation via \( NO_2 \) oxidation of \( SO_2 \). Future studies will examine the impact of these heterogeneous S(IV) oxidation mechanisms on the regional and global sulfur budgets.

Data availability. For the model results please contact Becky Alexander (beckya@uw.edu) and Lin Zhang (zhanglg@pku.edu.cn). For isotope measurements please contact Zhouqing Xie (zqxie@ustc.edu.cn).

Supplement. The supplement related to this article is available online at: https://doi.org/10.5194/acp-19-6107-2019-supplement.

Author contributions. BA, LZ, JYS, and YXW designed the study. BA and LZ supervised the project. JYS performed model simulations and conducted analyses with the assistance of QJC, YXW, XL, VS, RVM, SP, SJS, and YZ. ZQX and PZH conducted the oxygen isotope measurements; YLS contributed the sulfate measurements. JYS, BA, and LZ wrote the paper. All authors contributed to the interpretation of the results and improvement of the paper.

Competing interests. The authors declare that they have no conflict of interest.

Acknowledgements. This work is supported by the National Key Research and Development Program of China (2017YFC0210102) and the National Natural Science Foundation of China (41475512). Jingyuan Shao acknowledges support from the China Scholarship Council (201606010049). Becky Alexander acknowledges support from NSF AGS 1644998 and Yuxuan Wang acknowledge support from NSF AGS 1645062. Zhouqing Xie acknowledges support from the National Natural Science Foundation of China (91544013). We thank Duncan Fairlie, Itushi Uno, Renyi Zhang, Havalia O. T. Pye, Sakiko Ishino, Shohei Hattori, Youfan Chen, Jessica Haskins, Mi Zhou, Yuyuahong Zhao for helpful discussions.

Review statement. This paper was edited by Eliza Harris and reviewed by two anonymous referees.

References


J. Shao et al.: Heterogeneous sulfate aerosol formation mechanisms during wintertime Chinese haze events


Holt, B. D., Kumar, R., and Cunningham, P. T.: Oxygen-18 study of the aqueous-phase oxidation of sulfur dioxide, Atmos. Envi-
J. Shao et al.: Heterogeneous sulfate aerosol formation mechanisms during wintertime Chinese haze events

Atmos. Chem. Phys., 19, 6107–6123, 2019

www.atmos-chem-phys.net/19/6107/2019/


