



# The monsoon effect on energy and carbon exchange processes over a highland lake in the southwest of China

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**Abstract.** Erhai Lake is a subtropical highland shallow lake on the southeast margin of the Tibetan Plateau, which is influenced by both South Asian and East Asian summer monsoons. Based on 4 years of continuous eddy covariance (EC) data over Erhai Lake, the monsoon effect on water–atmosphere exchange processes is investigated by comparing the energy and CO<sub>2</sub> flux patterns and their main drivers during pre-monsoon (March–April), monsoon (May–October) and post-monsoon (November–December) periods. The results show that the atmospheric properties display a large difference during the three different periods. There is a negative difference between water surface and air temperature ( $T$ ) during the pre-monsoon period, while a positive  $T$  during the post-monsoon period. The diurnal sensible heat flux ( $H_s$ ) is larger during the post-monsoon period, while the latent heat flux (LE) is larger during the monsoon period. The monthly average  $H_s$  and heat storage ( $Q$ ) in the lake remain negative during the pre-monsoon period and the early monsoon period, and they become positive in the middle monsoon period, which indicates that the lake absorbs heat at first and releases it subsequently. LE plays a dominating role in the energy partitioning of the lake. The Bowen ratio is higher during the post-monsoon period. The uptake of CO<sub>2</sub> flux is observed in the middle of the day during monsoon and post-monsoon periods. The  $\Delta T$  is the main driver for  $H_s$  and the effect of  $\Delta T$  is increased as timescales are extended from half-hourly to monthly. The wind speed has a weak effect on  $H_s$  but a strong effect on LE and CO<sub>2</sub> fluxes. Similar main drivers for  $H_s$  are found during the pre-monsoon and post-monsoon periods, which is also found for CO<sub>2</sub> flux, indicat-

ing a large impact of the monsoon on the heat and carbon exchange processes over Erhai Lake.

## 1 Introduction

There are 304 million lakes globally and they are of significant importance in determining local weather and climate through complex physical, biochemical and biological interactions (Cole et al., 2001; Downing et al., 2006; Shao et al., 2015). Because of the substantial differences in underlying surface characteristics between lake surface and its surrounding land surface (i.e., albedo, roughness, heat capacity; O'Donnell et al., 2010), the carbon and energy exchange processes over lakes are expected to respond different way to climate change. Lakes react rapidly to a change in the atmospheric parameters and are able to modify the surrounding atmospheric circulation (Marie-Noëlle et al., 2012). Plenty of studies on water–atmosphere carbon and energy exchange processes have been reported over high-latitude water bodies (Nordbo et al., 2011; Huotari et al., 2011; Mammarella et al., 2015). However, the characteristics of water–atmosphere exchange processes differ for lake size, water depth, regional climate and geographical location (Liu et al., 2009). High-altitude lakes are exposed to more extreme meteorological conditions and are more sensitive to variations in meteorological forcing (Rueda et al., 2007). Shallow lakes respond more quickly to changes in the atmospheric forcing due to a smaller heat capacity (Liu et al., 2012; Zhang and Liu, 2013). Understanding the turbulent exchange processes between the lake surface and atmosphere and the response to atmospheric

properties is essential for improving numerical weather prediction and climate models (Dutra et al., 2010; Nordbo et al., 2011).

The change in atmospheric properties over a water surface can cause large fluctuations in atmospheric forcing for lake–atmosphere interactions, and subsequently affects the turbulent exchange processes (Lenters et al., 2005; Liu et al., 2011; Huotari et al., 2011; Z. Li et al., 2015). The southeasterly wind with warm moist air masses reduced and inverted the vertical temperature difference between water surface and atmosphere to be negative over a large high-latitude saline lake (Qinghai Lake) on the northeastern Qinghai–Tibetan Plateau (QTP) in China (Li et al., 2016). The cold fronts and the meteorological properties of the air masses behind cold fronts (e.g., windy, cold and dry) significantly promoted turbulent exchange of sensible heat ( $H_s$ ) and latent heat (LE) through enhanced turbulent mixing (thermally and mechanically), whereas southerly winds with warm and humid air masses generally suppressed turbulent exchanges of  $H_s$  and LE over a mid-latitude large reservoir in Mississippi (Liu et al., 2009, 2012). In response to the changes in the weather conditions, the heat balance over a large tropical reservoir in Brazil is substantially altered, and the heat loss can be twice or 3-fold greater during cold-front days than that during the non-cold-front days (Curtarelli et al., 2014). Consistent diurnal peaks in LE flux during the afternoon were observed as a result of strong, dry winds coinciding with peak water surface temperatures over a small subtropical reservoir in Australia (McGloin et al., 2015). An increasing sensible heat flux over the lake retarded the cooling of lower atmosphere (below 500 m) and weakened the vertical potential temperature gradient over the lake, while increasing wind speed and vertical wind shear further facilitated the buoyancy flux to exert a higher heat convection efficiency when cold air arrived over Ngoring Lake in the Tibetan Plateau (TP; Li et al., 2017).

The CO<sub>2</sub> emissions from lakes are traditionally measured by non-continuous or indirect methods, e.g., floating chamber (Riera et al., 1999) and boundary layer transfer techniques (Cole and Caraco, 1998). The uncertainty in the floating chamber method is that the flux it measures only represents a very small area and it produces biases because the disturbances in the water–air surface (Vachon et al., 2010). The boundary layer method estimates CO<sub>2</sub> flux by the difference in CO<sub>2</sub> concentration between the water and atmosphere and the gas transfer velocity, which is traditionally parameterized only by wind speed (Cole and Caraco, 1998). However, it has been reported that different processes including convection, microwave breaking and stratification could influence the gas transfer velocity (Zappa et al., 2001; Eugster et al., 2003; Podgrajsek et al., 2014). The eddy covariance technique (EC) could provide long-term continuous measurements and the high-resolution data allows for examining the relation between gas exchange velocity and other meteorological variables besides wind speed (Mammarella et al., 2015). The changes in atmospheric properties could af-

fect the lake–air CO<sub>2</sub> flux. Huotari et al. (2011) reported that the CO<sub>2</sub> efflux was enhanced under persistent extratropical cyclone activities over high-latitude water bodies. The synoptic weather events associating with extratropical cyclones produced larger CO<sub>2</sub> effluxes by bringing the bottom rich CO<sub>2</sub> water to the surface through upwelling, internal wave-induced mixing and mixing by convection (Liu et al., 2016). The windy and stormy days increased 16 % of the annual CO<sub>2</sub> effluxes over Ross Barnett reservoir in central Mississippi, USA (Liu et al., 2016). A 15-year long study found that the amount of precipitation had a large effect on dissolved organic carbon (DOC) concentrations in rivers (Pumpanen et al., 2014). The waterside convection was believed to cause the higher CO<sub>2</sub> fluxes during night compared to day (Podgrajsek et al., 2014).

Erhai Lake is a subtropical highland shallow lake on the southeast margin of TP, which is influenced by both the South Asian and East Asian summer monsoons. The summer monsoon induces an abrupt change in large-scale atmospheric circulation and convective activity over Asia, and carries in air mass with distinct atmospheric properties (i.e., air temperature, wind direction, relative humidity; Li and Yanai, 1996; Lau and Yang, 1997; Zhou et al., 2012). The seasonal reversals of atmospheric properties caused by summer monsoon circulation play an important role in regulating land–atmosphere heat and water exchange processes (Flohn, 1957; Hsu et al., 1999). The land–atmosphere exchange processes are found to be closely related to the onset and retreat of summer monsoon (Zhang et al., 2012). It is reported that most of the available energy was transformed into  $H_s$  before the arrival of monsoonal winds, whereas LE increased and exceeded  $H_s$  after the onset of monsoonal wind (Xu et al., 2009; Mauder et al., 2007; Li et al., 2016). Considering the substantial difference in atmospheric properties during the monsoon and non-monsoon periods, the water–atmosphere carbon and energy exchange processes are expected to display large differences. However, few studies have reported the variation in heat and carbon fluxes over lakes during different monsoonal periods, and the effect of the monsoon on water–atmosphere heat and carbon is not clear.

Four years of continuous EC measurements from 2012 to 2015 have been obtained over Erhai Lake. The summer monsoon generally bursts in May and retreats in October. According to the activity of summer monsoon, three monsoon periods are defined, including pre-monsoon (March–April), monsoon (May–October) and post-monsoon (November–December) periods. We hypothesize that the contrasting atmospheric properties during these three different monsoon periods play an important role in modulating the turbulent exchange processes over Erhai Lake. The objectives of this study are to investigate the energy and CO<sub>2</sub> exchange processes and their response to changes in atmospheric properties during different monsoon periods.

## 2 Observation site and data process

### 2.1 Site description

Erhai Lake (25°46′ N, 100°10′ E) is located on the southeast margin of TP, southwest China, (Fig. 1). The altitude of the region is about 1972 m. The lake has a length of 42.6 km from south to north, and a width ranging from 3.1 to 8.8 km from east to west, with a total area of 256.5 km<sup>2</sup>. The water depth of the lake varies from 10 to 20.7 m. The water depth around the tower is about 10 m. The land surface of its surrounding area mainly consists of cropland and towns. Because of the subtropical climate, no ice period occurs throughout the whole year. More than 100 rivers and streams drain into the lake, with only one outlet in the southwest (Xier River). The water level is artificially regulated, ranging between 1971.1 and 1974.1 m. Due to the full mixing of the water, only a short stratification period occurs in the middle of the year (Feng et al., 2015).

Erhai Lake has a subtropical highland monsoon climate, characterized by a distinct wet and dry season. During the monsoon period (May–October), the area is mainly controlled by both the southwest flow from tropical depression in the Bay of Bengal and southeast flow from the subtropical Pacific high. The moist marine air mass brings in abundant water vapor and intensive precipitation. During the non-monsoon period (November–April), as a result of the southward movement of the westerlies, the area is dominated by continental air mass mainly from desert and arid area of Arabian countries, and characterized by a warm and dry season. The average annual precipitation from 1981 to 2010 is 1055 mm. The majority of precipitation is concentrated in the monsoon period, with an average of 895 mm. The average precipitation is only 64 mm during the pre-monsoon period, and 42 mm during the post-monsoon period.

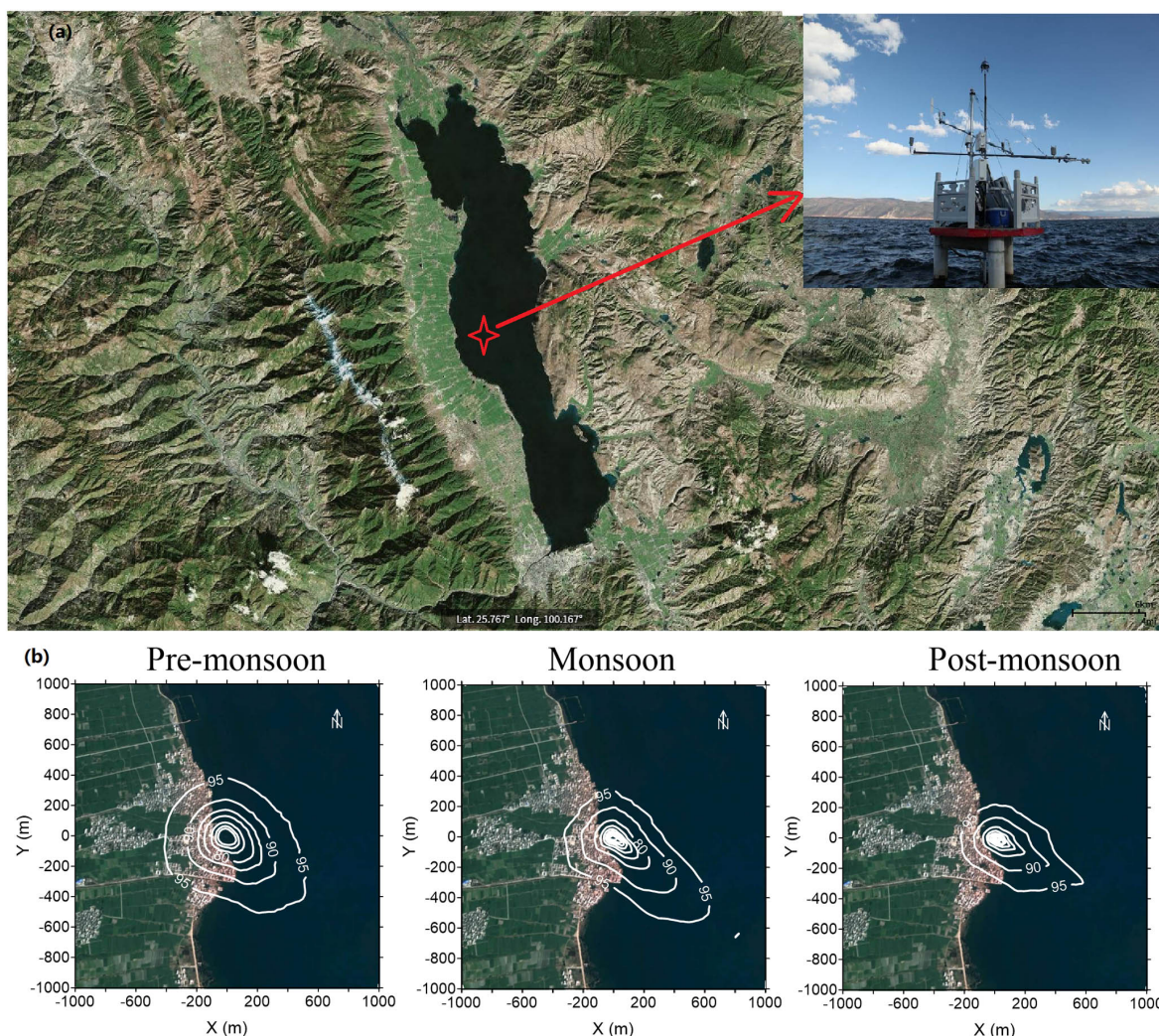
### 2.2 Observation

The EC instrument is mounted on a concrete platform at a height of 2.5 m (Fig. 1). The turbulent fluxes ( $H_s$  and LE) and CO<sub>2</sub> flux are simultaneously measured with an ultrasonic anemometer (CSAT3, Campbell Scientific, Logan, UT, USA) and an open-path infrared gas analyzer (LI-7500, LI-COR Inc., Lincoln, NE, USA). The three components of wind and virtual air temperature are measured with an ultrasonic anemometer. The H<sub>2</sub>O and CO<sub>2</sub> concentrations are acquired by an infrared gas analyzer. The EC sensors are mounted on a pipe orienting to the prevailing wind direction (southeast), which is shown in Fig. 2. A CR500 data logger (CR500, Campbell Scientific) is applied to record the measurements with a 10 Hz sampling frequency. Water temperature at eight depths (0.05, 0.2, 0.5, 1, 2, 4, 6 and 8 m below water surface) are measured with temperature probes (model 109-L, Campbell Scientific, inc., USA) to obtain the water temperature profile, which are tied to a buoy and can

change with the water level. The water surface temperature ( $T_s$ ) is calculated from longwave radiation. Moreover, different micro-meteorological elements are also measured at a height of 1.5 m above the platform. Air temperature and relative humidity are also measured (HMP45C, Vaisala, Vantaa, Finland). The radiation balance components, including upward and downward shortwave radiation as well as upward and downward longwave radiation, are measured with CNR1 (CNR1, Kipp & Zonen B.V., Delft, the Netherlands). Meanwhile, the photosynthetic active radiation (PAR) is also measured with an LI-190SB quantum sensor (Campbell Scientific inc., USA). The wind speed and wind direction is measured with a cup anemometer (034B, Met One Instruments Inc., Grants Pass, OR, USA). The Dali National Climatic Observatory, with a distance of 15 km from the flux tower, has provided the precipitation data.

### 2.3 Data processing

The raw data are checked and spikes as a result of physical noise and instrument malfunction are discarded according to the procedures suggested by Vickers and Mahrt (1997). The data values measured with the AGC (active gain control) that are more than 40, which is recorded by the LI-7500, are also filtered. The abnormal data points with a magnitude exceeding 3.5 times the average standard deviations are also needed to be removed. The collected raw 10 Hz data are processed with EddyPro software, version 4.2 (LI-COR Inc., 2013, USA). The double rotation method is applied to adjust the coordinate system and tilt the vertical wind speed to be zero (Kaimal and Finnigan, 1994). The 30 min average turbulent fluxes are calculated with the block average method. The time lags between anemometric variables and gas analyzer measurements are compensated by the circular correlation procedure, which determines the time lag that maximizes the covariance of two variables, within a window of plausible time lags (Fan et al., 1990). Density corrections for LE and CO<sub>2</sub> flux are also applied with the Webb–Pearman–Leuning (WPL) correction procedure (Webb et al., 1980). We evaluate the uncertainty in the WPL correction on CO<sub>2</sub> flux based on the raw data from October of 2015. The daily average CO<sub>2</sub> flux with and without WPL correction is  $0.91 \pm 1.95$  and  $-0.25 \pm 2.69$  g C m<sup>-2</sup> d<sup>-1</sup>, respectively, indicating the large effect of WPL correction. The high-pass filtering effect is also corrected to compensate the flux losses at a high frequency (Moncrieff et al., 2004). Quality checks for stability and integral turbulent characteristic tests are applied to remove the low-quality fluxes (Foken et al., 2004). Data quality is marked following the schemes of Mauder and Foken (2006), and the high and moderate quality data are retained. After data quality control, the available data for LE,  $H_s$  and CO<sub>2</sub> flux account for 54 %, 66 % and 55 %, respectively. A 3-month data gap from September to November occurred in 2014 due to instrument failure. More detailed infor-



**Figure 1.** (a) The location of Erhai Lake (Google) and the eddy covariance measurement system (the red star denotes the flux tower); (b) Average footprint source over Erhai Lake flux tower during the three different monsoon periods (pre-monsoon period, monsoon period and post-monsoon period) from 2012 to 2015. The maximum radius of contour lines shows the source area contributing to 95 % of flux.

mation about measurements and post-processing procedures can be found in our previous study (Liu et al., 2015).

The drag coefficient (the momentum bulk transfer coefficient,  $C_D$ ), Dalton number (the heat bulk transfer coefficient,  $C_H$ ) and Stanton number (the moisture bulk transfer coefficient,  $C_E$ ) are determined by the bulk transfer relations, which are widely used for computing ocean–air fluxes in numerical models (Fairall et al., 2003):

$$\tau = \rho_a C_D U^2, \quad (1)$$

$$H_s = \rho_a C_a C_H U (T_s - T_a), \quad (2)$$

$$LE = \rho_a L_V C_E U (q_s - q_a), \quad (3)$$

where  $\tau$  is momentum flux ( $\text{N m}^{-2}$ ),  $\rho_a$  is air density ( $\text{kg m}^{-3}$ ),  $U$  is wind speed,  $C_a$  is the specific heat of air ( $1005 \text{ J kg}^{-1} \text{ K}^{-1}$ ),  $T_s$  is water surface temperature ( $^{\circ}\text{C}$ ),  $T_a$

is air temperature ( $^{\circ}\text{C}$ ),  $L_V$  is latent heat of vaporization ( $\text{J kg}^{-1}$ ),  $q_s$  is specific humidity at saturation ( $\text{kg kg}^{-1}$ ) and  $q_a$  is specific humidity ( $\text{kg kg}^{-1}$ ).

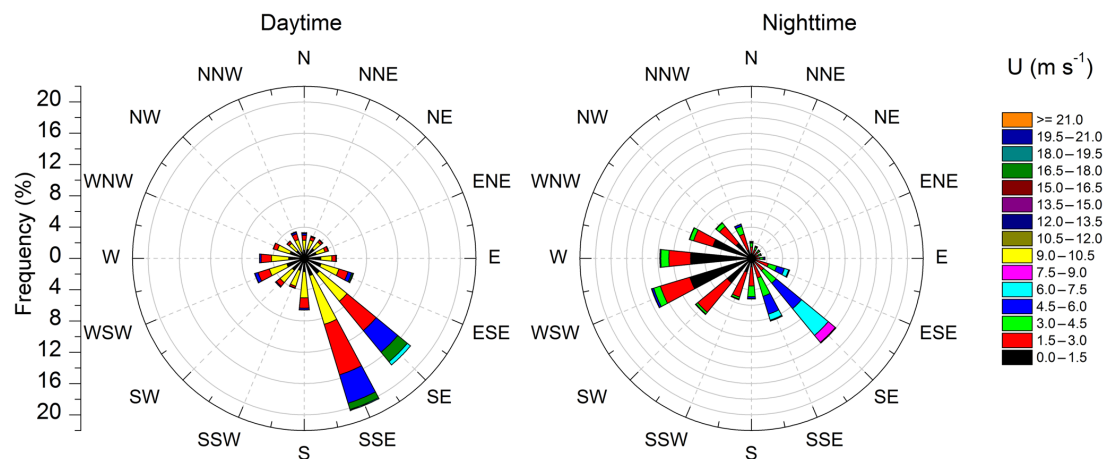
The heat storage ( $\Delta Q$ ) in the lake is also calculated:

$$\Delta Q = \rho_w c_p \frac{\overline{\Delta T_s}}{\Delta t} z, \quad (4)$$

where  $T_s$  is water temperature ( $^{\circ}\text{C}$ ),  $\rho_w$  is the density of water ( $\text{kg m}^{-3}$ ),  $c_p$  is the specific heat of water at constant pressure ( $4192 \text{ J kg}^{-1} \text{ K}^{-1}$ ),  $\frac{\overline{\Delta T_s}}{\Delta t}$  is the depth-weighted time derivative of the water column temperature ( $\text{K s}^{-1}$ ), and  $z$  is maximum depth of measured water temperature profile (m). The  $\Delta Q$  is defined as positive when it is absorbed by the lake surface (heat is stored by the lake).

Because the flux site is close to the west bank of the lake, a footprint model (Kormann and Meixner, 2001) is applied





**Figure 2.** The wind rose of Erhai Lake during daytime (when downward shortwave radiation is greater than  $20 \text{ W m}^{-2}$ ) and nighttime (when downward shortwave radiation is less than  $20 \text{ W m}^{-2}$ ) from 2012 to 2015.

to analyze the distribution of source area contributing to the flux. The 95 % of source area contributing to flux ranges from 600 m in the southeast direction and 400 m in the west direction during different periods (Fig. 1). Because the flux in the west direction mainly originates from the land surface, the flux in the wind direction ( $225$  to  $315^\circ$ ) is excluded. The flux during the pre-monsoon period is more affected by land surface compared to the other two periods. Nearly 80 % flux originates from the lake surface during monsoon and post-monsoon periods. Approximately 22 %, 15 % and 8 % of flux data are filtered based on the footprint analysis.

The data recorded during rainfall is also discarded. According to the quality control procedure presented above, around 34 % of the  $H_s$ , 46 % of LE and 45 % of  $\text{CO}_2$  fluxes are removed and the retained data are analyzed in our study. Although the gap ratio is large, it is similar to other studies over lakes (Nordbo et al., 2011; Goldbach and Kuttler, 2015; Shao et al., 2015). A long, large gap between August and November exists in 2014 due to a malfunction. The times given in this study are in Beijing Time (UTC+8).

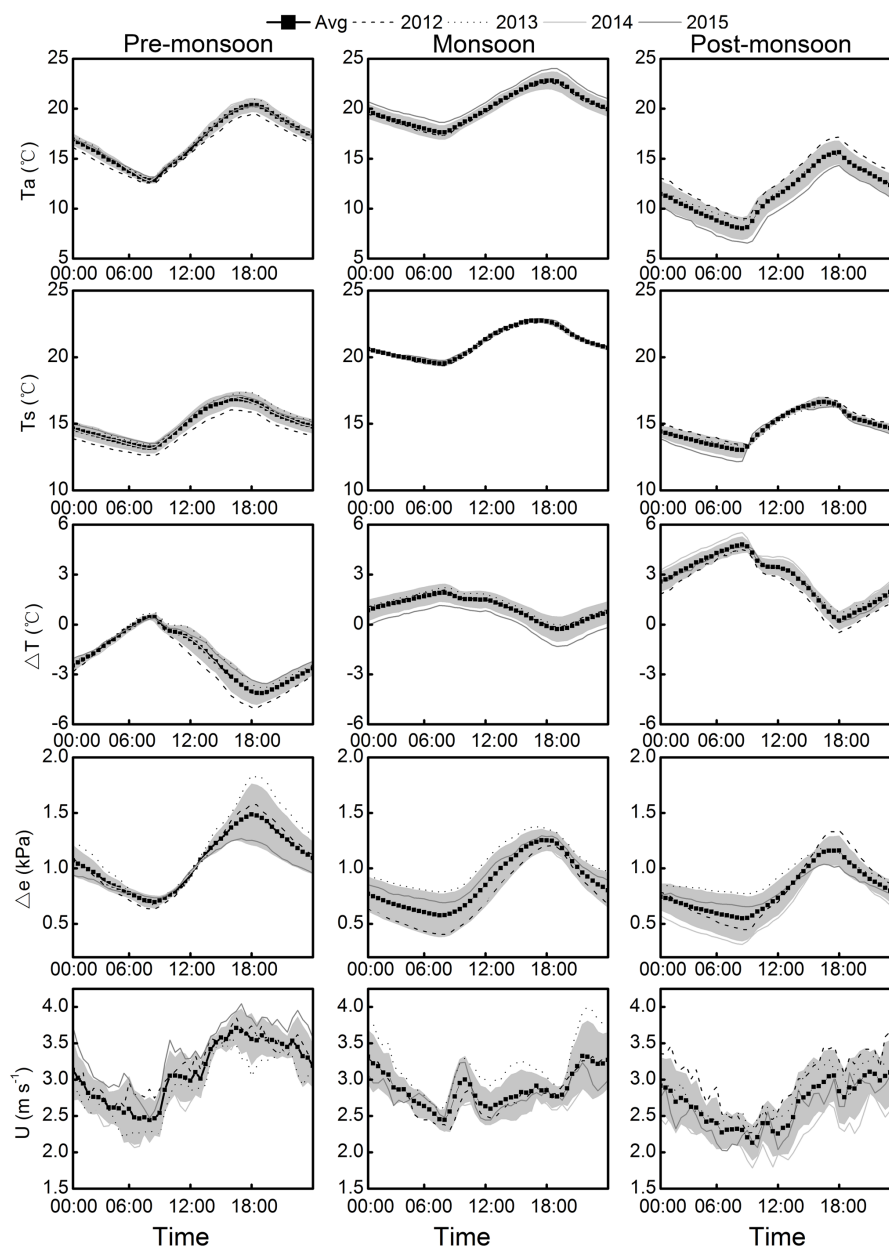
### 3 Results and discussion

#### 3.1 Atmospheric properties during different monsoon periods

The atmospheric properties show large differences during different monsoon periods (Fig. 3; Table 1). There is a similar diurnal course for air temperature ( $T_a$ ) during different monsoon periods, but with a large difference for the magnitudes. The diurnal mean  $T_a$  is the largest during the monsoon period, second largest during the pre-monsoon period and smallest during the post-monsoon period. The difference for diurnal mean  $T_a$  between the monsoon and pre-monsoon periods is smaller ( $3.4^\circ\text{C}$ ) than that between the monsoon

and post-monsoon periods ( $8.1^\circ\text{C}$ ). There is a large difference for diurnal mean water surface temperature ( $T_s$ ) between the monsoon period and the other two periods (around  $6^\circ\text{C}$ ), but a small difference between pre-monsoon and post-monsoon periods (around  $0.2^\circ\text{C}$ ). The difference between water surface and air temperature ( $\Delta T$ ) remains negative during most of the pre-monsoon period but positive during the post-monsoon period, with an average values of  $-1.9$  and  $2.7^\circ\text{C}$ , respectively. The  $\Delta T$  has a maximum around 08:00 and minimum around 18:00 (all times in this study are according to Beijing Time, UTC+8), which is opposite with the diurnal pattern of  $T_a$  and  $T_s$ .

The water–air vapor pressure difference ( $\Delta e$ ) has an opposite diurnal pattern with  $\Delta T$ , which has a maximum around 18:00 and the minimum around 08:00. Overall,  $\Delta e$  is relatively high during the pre-monsoon period and low during the post-monsoon period, with average values of 1.10 and 0.79 kPa, respectively. There is a larger difference for  $\Delta e$  between pre-monsoon period and monsoon period during 2012 and 2013, but a larger difference between post-monsoon period and monsoon period during 2014 and 2015, attributed to the annual variation in timing distribution of precipitation. The wind speed ( $U$ ) has a larger difference in daytime than nighttime during different periods. The diurnal mean  $U$  is slightly higher during the pre-monsoon period than the other two periods. In general, the pre-monsoon period is characterized by higher  $U$  and  $e$ , while the post-monsoon period is characterized by a lower  $U$  and  $T_a$ . The  $T_a$  and  $T_s$  are the highest during the monsoon period. There is a large difference for average  $T_s$  (around  $6^\circ\text{C}$ ) between monsoon period and the other two periods, but a slight difference between pre-monsoon and post-monsoon periods. The diurnal  $T$  remains negative during the pre-monsoon period but positive during the post-monsoon period. A weak diurnal variation in  $T$  is observed during the monsoon period.



**Figure 3.** The average diurnal pattern of air temperature ( $T_a$ ), water surface temperature ( $T_s$ ), difference between water surface temperature and air temperature ( $\Delta T$ ), water–air vapor pressure deficit ( $\Delta e$ ) and wind speed ( $U$ ) during pre-monsoon, monsoon and post-monsoon periods from 2012 to 2015.

The wind direction over Erhai Lake displays a typical diurnal pattern during the three study periods (Fig. 4). Overall, the southeasterly wind and westerly wind are dominant in daytime and nighttime, respectively, which represents the lake breeze and land breeze. Generally, the wind direction shifts from west to southeast in the morning (around 09:00), indicating the onset of lake breeze. The lake breeze lasts until the afternoon. Then the wind direction shifts abruptly from southeast to west around 17:00, which indicates that the lake breeze transforms into land breeze. The numerical sim-

ulation of local circulation over Erhai Lake also proved the development of lake breeze circulation during daytime and land breeze circulation during nighttime (Xu et al., 2018). The wind direction switches at the time of  $\Delta T$  reaching a maximum or minimum. The alternation between lake breeze and land breeze is more obvious during pre-monsoon and post-monsoon periods, attributed to a larger thermal difference between land and lake surface, compared to monsoon period. Because the region is mainly dominated by a westerly belt during non-monsoon season, a strong westerly wind

**Table 1.** Daily average air temperature ( $T_a$ , °C), water surface temperature ( $T_s$ , °C), difference between water surface temperature and air temperature ( $\Delta T$ , °C), vapor pressure difference ( $e$ , kPa) between water surface ( $e_s$ , kPa) and the air ( $e_a$ , kPa), wind speed ( $U$ ,  $\text{m s}^{-1}$ ), the downward and upward shortwave radiation flux ( $R_{s\_down}$  and  $R_{s\_up}$ ,  $\text{W m}^{-2}$ ), the downward and upward long wave radiation flux ( $R_{l\_down}$  and  $R_{l\_up}$ ,  $\text{W m}^{-2}$ ), and albedo during pre-monsoon, monsoon and post-monsoon periods from 2012 to 2015.

Period	Year	$T_a$	$T_s$	$T$	$e$	$U$	$R_{s\_down}$	$R_{s\_up}$	$R_{l\_down}$	$R_{l\_up}$	Albedo
pre-monsoon	2012	16.0	14.2	−2.4	1.07	3.2	202	13.4	320	385	0.07
	2013	16.9	15.4	−1.6	1.2	2.9	231	14.8	314	390	0.07
	2014	17.1	15.0	−2.1	1.21	2.9	239	14.4	310	389	0.06
	2015	17.0	15.3	−1.7	0.94	3.1	228	15.1	317	390	0.07
	Average	16.8	15.0	−1.9	1.1	3.0	225	14.4	315	389	0.07
monsoon	2012	19.8	20.9	1.1	0.75	2.8	202	12.6	366	421	0.06
	2013	19.5	20.9	1.4	0.74	2.7	202	12.4	368	421	0.06
	2014	21.1	21.2	0.1	0.94	2.8	224	11.9	374	424	0.06
	2015	20.2	21.1	1.2	0.98	3.2	212	13.5	366	422	0.07
	Average	20.2	21.0	1.0	0.85	2.9	210	12.6	369	422	0.06
post-monsoon	2012	13.0	15.2	2.1	0.82	3.0	179	22.1	282	383	0.13
	2013	12.1	14.8	3.1	0.65	2.3	174	19.6	280	381	0.11
	2014	10.9	14.4	2.7	0.79	2.5	153	21.0	278	371	0.09
	2015	12.1	14.7	2.7	0.9	2.8	154	18.7	294	382	0.12
	Average	12.0	14.8	2.7	0.79	2.7	165	20.3	284	379	0.11

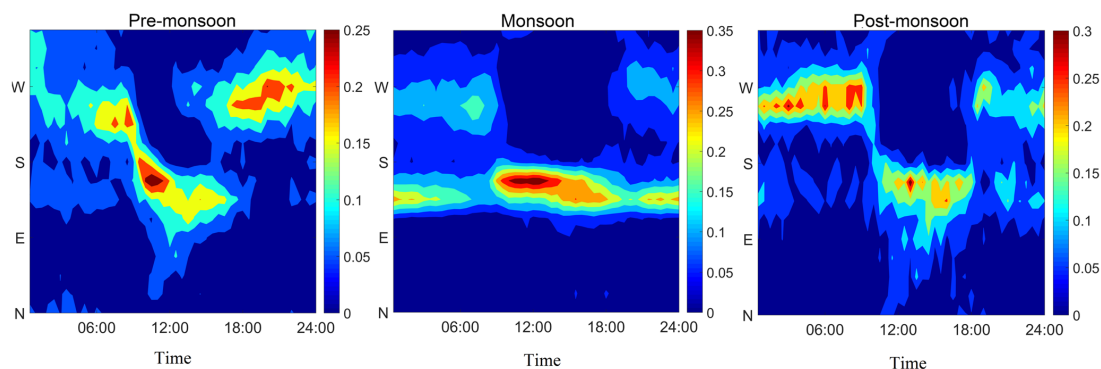
is observed during nighttime during pre-monsoon and post-monsoon periods. During the monsoon period, due to the northward motion of a westerly belt, the westerly wind becomes weak during nighttime, while the southwesterly and southeasterly flow, respectively, from tropical depression in the Bay of Bengal and subtropical Pacific high, dominate the Dali region. However, Cang Mountain, which is located close to the western side of Erhai Lake, has obstructed the passing of southwesterly wind and makes the southeasterly wind the prevailing wind over Erhai Lake during this period. Because of the continuous southeasterly wind during nighttime, a weak circulation of lake to land breeze occurs during the monsoon period.

The characteristics of air masses from different wind directions are examined by the bin-averaged wind speed ( $U$ ), air temperature and relative humidity against wind directions (Fig. 5). The  $U$  shows a large variability against wind direction. The wind speed from the southeast is the highest than that from other directions, which indicates that the lake breeze is stronger than land breeze. The southeasterly wind is stronger during the monsoon period, whereas the land breeze, which is from the west direction, is stronger during the pre-monsoon period.

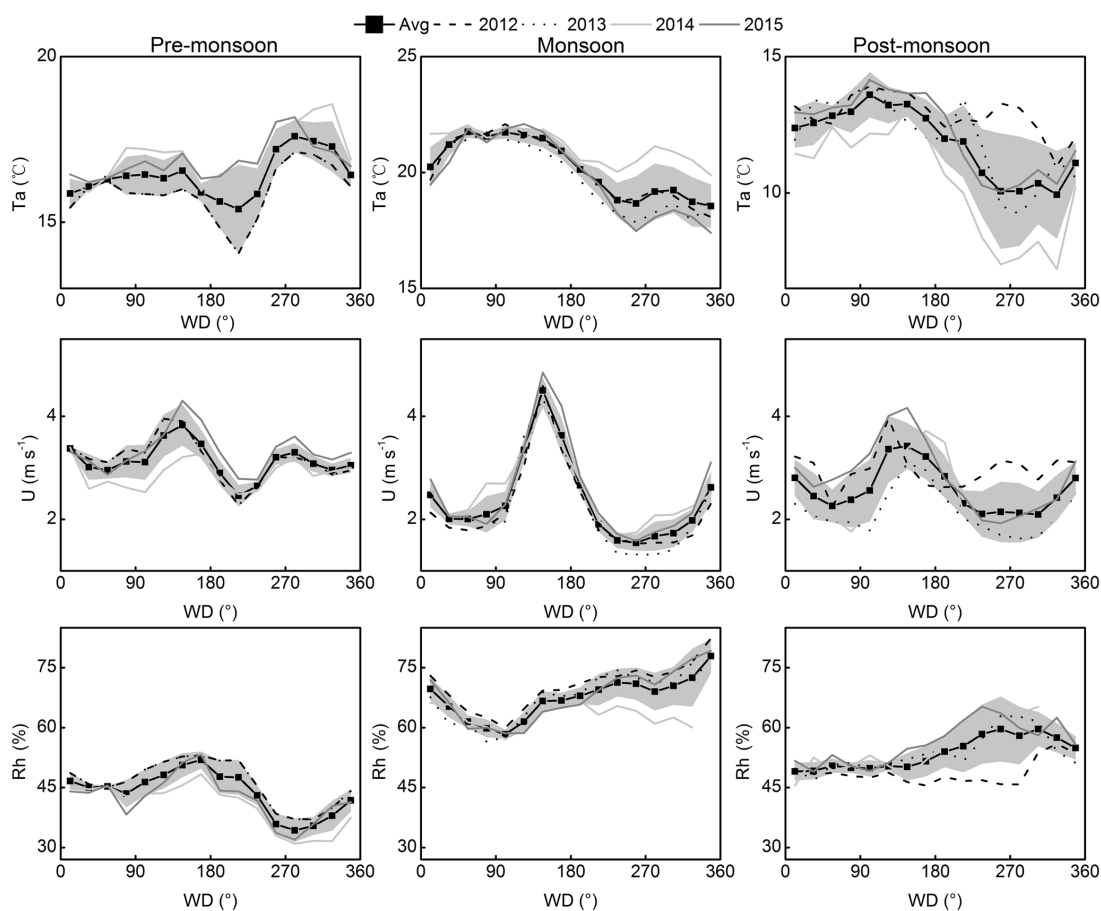
As a result of the control of the maritime atmospheric mass, the air mass during the monsoon period is the warmest and wettest, which has a higher  $T_a$  and relative humidity (RH) than that during the other two periods. The characteristics of air masses also show variability in different wind directions. The air mass from the southeast direction is warmer than that from the west direction during both monsoon and post-monsoon periods, while it is opposite during the pre-

monsoon period. The land surface is warmed faster during the early period and cooled faster during the latter period of the whole year compared to the lake surface, which results in a warmer air mass from the land surface during the pre-monsoon period, while a colder air mass during the other two periods. The difference in RH between pre-monsoon and post-monsoon periods is small in the southeast direction but large in the west direction. The RH of air mass from west direction is higher during the post-monsoon period than pre-monsoon period, which is attributed to the intensive precipitation during the monsoon period.

The atmospheric stratification and bulk transfer coefficients for Erhai Lake during different monsoon periods are also analyzed, as they are fundamental parameters for computing sensible and latent heat fluxes between water surface and air in numeric models (Fairall et al., 2003). The atmospheric surface layer is mainly near neutral stratification during the three study periods (Fig. 6). As Erhai Lake is located in a subtropical highland area, the seasonal uniformly air temperature and the abundance of cloud have contributed to the occurrence of neutral stratification. During the pre-monsoon period, the near neutral stratification accounts for as much as 85 % in daytime and 92 % during nighttime, respectively. Compared to pre-monsoon period, the near neutral stratification declined about 20 % in daytime and 10 % during nighttime during the other two periods, as a result of the increase in weakly unstable and unstable stratification. The weakly unstable stratification accounts for about 12 % during the monsoon period and post-monsoon period, but only 3 % during the pre-monsoon period. Most of the unstable stratification occurs during monsoon and post-monsoon



**Figure 4.** The average diurnal pattern of frequency distribution of wind direction during pre-monsoon, monsoon and post-monsoon periods from 2012 to 2015.

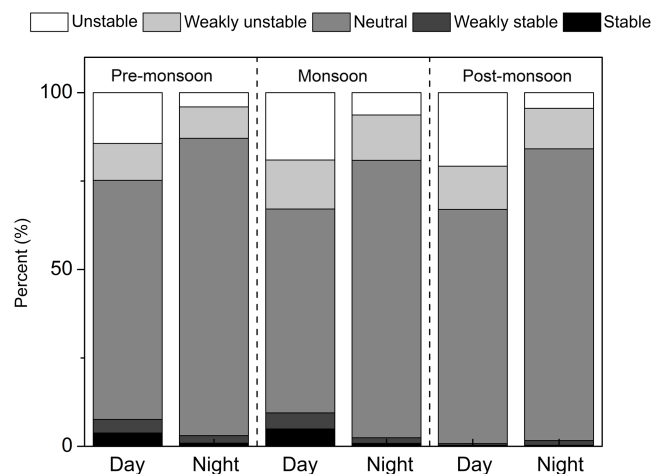


**Figure 5.** The bin-averaged wind speed ( $U$ ), air temperature ( $T_a$ ) and relative humidity (RH) along with wind direction (WD) during pre-monsoon, monsoon and post-monsoon periods from 2012 to 2015. Every  $22.5^\circ$  was bin averaged.

periods, and the percentage is much higher in daytime (20 %) than nighttime (about 5 %), while it is scarcely observed during the pre-monsoon period. On the contrary, the stable stratification is hardly observed during the post-monsoon period. The difference for the atmospheric stability during the three periods is primarily caused by the variation in  $\Delta T$ ,

which can be roughly used as an indicator of atmosphere stability (Derecki, 1981; Croley, 1989). An unstable stratification typically associates with a positive  $\Delta T$  ( $T_s > T_a$ ). On diurnal scales, the  $T_s$  is higher than  $T_a$  most of the time during the post-monsoon period, while it is opposite during the pre-monsoon period (Fig. 3), which results in the occur-

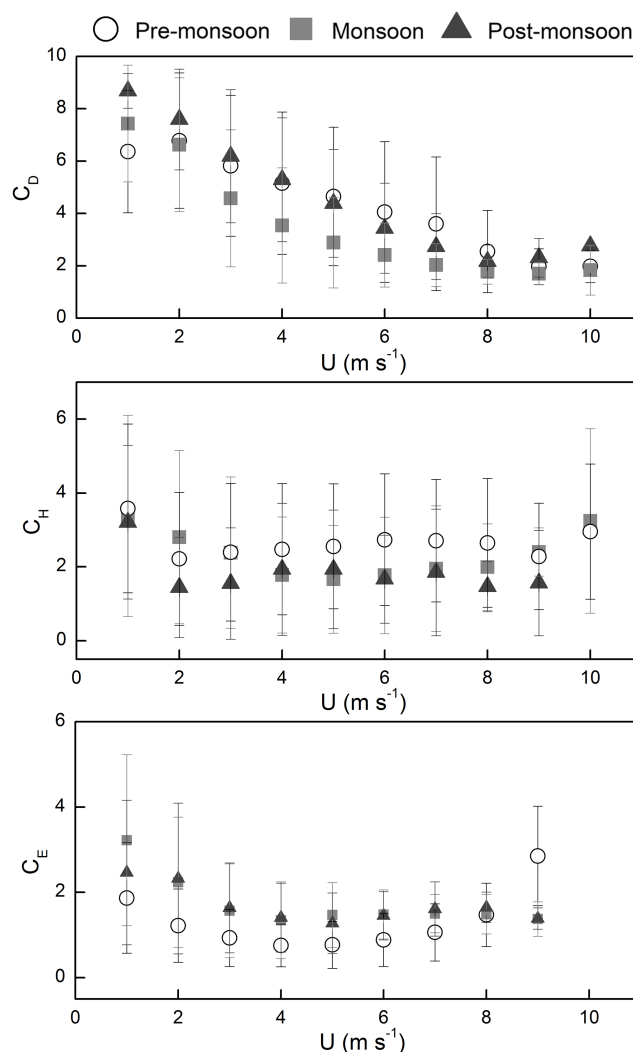




**Figure 6.** Frequency percent of stability classes in day (when the shortwave radiation is  $> 20 \text{ W m}^{-2}$ ) and night (when the shortwave radiation is  $\leq 20 \text{ W m}^{-2}$ ) during pre-monsoon, monsoon and post-monsoon periods from 2012 to 2015. The stable classes are defined as atmosphere stability ( $\zeta = z/L$ , where  $z$  is the measurement height and  $L$  is the Monin-Obukhov length): stable ( $\zeta > 0.1$ ), weakly stable ( $0.05 < \zeta < 0.1$ ), near neutral ( $-0.05 < \zeta < 0.05$ ), weakly unstable ( $-0.1 < \zeta < 0.05$ ) and unstable ( $\zeta < -0.1$ ).

rence of unstable stratification during the post-monsoon period and stable stratification during the pre-monsoon period, respectively. The stable stratification was also observed in the spring and summer in high-latitude lakes since the  $T_s$  increases much more slowly than the overlying  $T_a$  (Oswald and Rouse, 2004). While in the fall and winter, the air temperature decreases faster than the water surface, resulting in a positive  $\Delta T$ .

The relationship between wind speed and bulk transfer coefficients during different monsoon periods is shown in Fig. 7. The drag coefficient decreased rapid with increasing wind speed when wind speed is lower than  $8 \text{ m s}^{-1}$ . When wind speed increased, the Stanton number first decreased and then gradually increased. The Dalton number changed rapidly only under a very low or high wind speed, and remained constant at most times. The negative relationship between bulk transfer coefficients and wind speed under lower wind speed is also found in other lake studies (Yusup and Liu, 2016; Xiao et al., 2013; Verburg and Antenucci, 2010). Although there is no obvious tendency for the bulk transfer coefficients to increase with wind speed, it is not contradictory to the bulk parameterization scheme in COARE (Fairall et al., 2003), as the wind speed over Erhai Lake corresponds to the transitional range ( $< 10 \text{ m s}^{-1}$ ). The observation also indicates that a larger bias may be caused under weak wind conditions when simulating water–air fluxes for shallow water regimes (Xiao et al., 2013). The  $C_D$  values during pre-monsoon and post-monsoon are close to each other and both are larger than that during the monsoon period. There is a relatively larger  $C_H$  and lower  $C_E$  during the pre-monsoon



**Figure 7.** The relationship between bin (bin width  $1 \text{ m s}^{-1}$ ) averaged drag coefficient (the momentum bulk transfer coefficient,  $C_D$ ), Dalton number (the heat bulk transfer coefficient,  $C_H$ ), Stanton number (the moisture bulk transfer coefficient,  $C_E$ ) and wind speed ( $U$ ) during pre-monsoon, monsoon and post-monsoon periods for the whole study period. The error bars show the  $\pm 1$  standard deviation of the average value.

period compared to the other two periods. The  $C_D$  is larger than  $C_H$  and  $C_E$  during all periods, which is consistent with another lake study (Nordbo et al., 2011).

### 3.2 Diurnal pattern of energy balance components and $\text{CO}_2$ flux during different monsoon periods

The diurnal pattern of net radiation ( $R_n$ ) is larger during the pre-monsoon period, and lower during the post-monsoon period (Fig. 8). The difference for maximum diurnal  $R_n$  is around  $60 \text{ W m}^{-2}$  between pre-monsoon period and monsoon period, and around  $76 \text{ W m}^{-2}$  between the monsoon

period and post-monsoon period, respectively. The diurnal pattern of sensible heat flux ( $H_s$ ) is consistent with  $T$ , which reaches a maximum in the morning and the minimum in the afternoon. The diurnal  $H_s$  is largest during the post-monsoon period, with a maximum value of  $28 \text{ W m}^{-2}$  in 2013. The fluctuation of diurnal  $H_s$  is very small during the monsoon period, with a difference between a maximum and the minimum of about  $10 \text{ W m}^{-2}$ , attributed to the weak diurnal variation in  $T$ . The diurnal  $H_s$  remains positive during monsoon and post-monsoon periods. The diurnal  $H_s$  has a greater amplitude during the pre-monsoon period, with its magnitudes ranging from  $-24$  to  $5 \text{ W m}^{-2}$ . The diurnal  $H_s$  during the pre-monsoon period remains negative for most times of the day, and changes to be positive for a short time in the morning.

The latent heat flux (LE) has an opposite diurnal pattern with  $H_s$ , which reaches a maximum in the afternoon and the minimum in the morning. The maximum diurnal LE values during the three study periods are close to each other, with a value around  $130 \text{ W m}^{-2}$ . Diurnal LE remains at a relatively high level for most times of the day during the monsoon period compared to the other two periods. The difference in LE between the three periods is more evident during nighttime than daytime. The larger LE during the monsoon period than the other two periods is not consistent with the variation in  $e$ , which is larger during the pre-monsoon period, indicating a weak relation between  $e$  and LE. Since there is a higher  $H_s$  and lower LE during the post-monsoon period, the Bowen ratio is higher during this period than the other two periods, with an average value of 0.16. There is a relatively small difference in Bowen ratio between post-monsoon and monsoon periods during nighttime, and between pre-monsoon period and monsoon period in daytime.

The diurnal pattern of storage heat in the lake ( $Q$ ) is similar with  $R_n$ , with a maximum value occurring at noon. The diurnal  $Q$  remains negative during nighttime and changes to be positive after sunrise, indicating the heat is released to the atmosphere during nighttime and absorbed to the lake in daytime. The diurnal mean  $Q$  values during pre-monsoon, monsoon and post-monsoon periods are 28.9, 5.2 and  $-14.5 \text{ W m}^{-2}$ , respectively. The lake absorbs more heat flux during daytime and releases less during nighttime during the pre-monsoon period in most years compared to the other two periods.

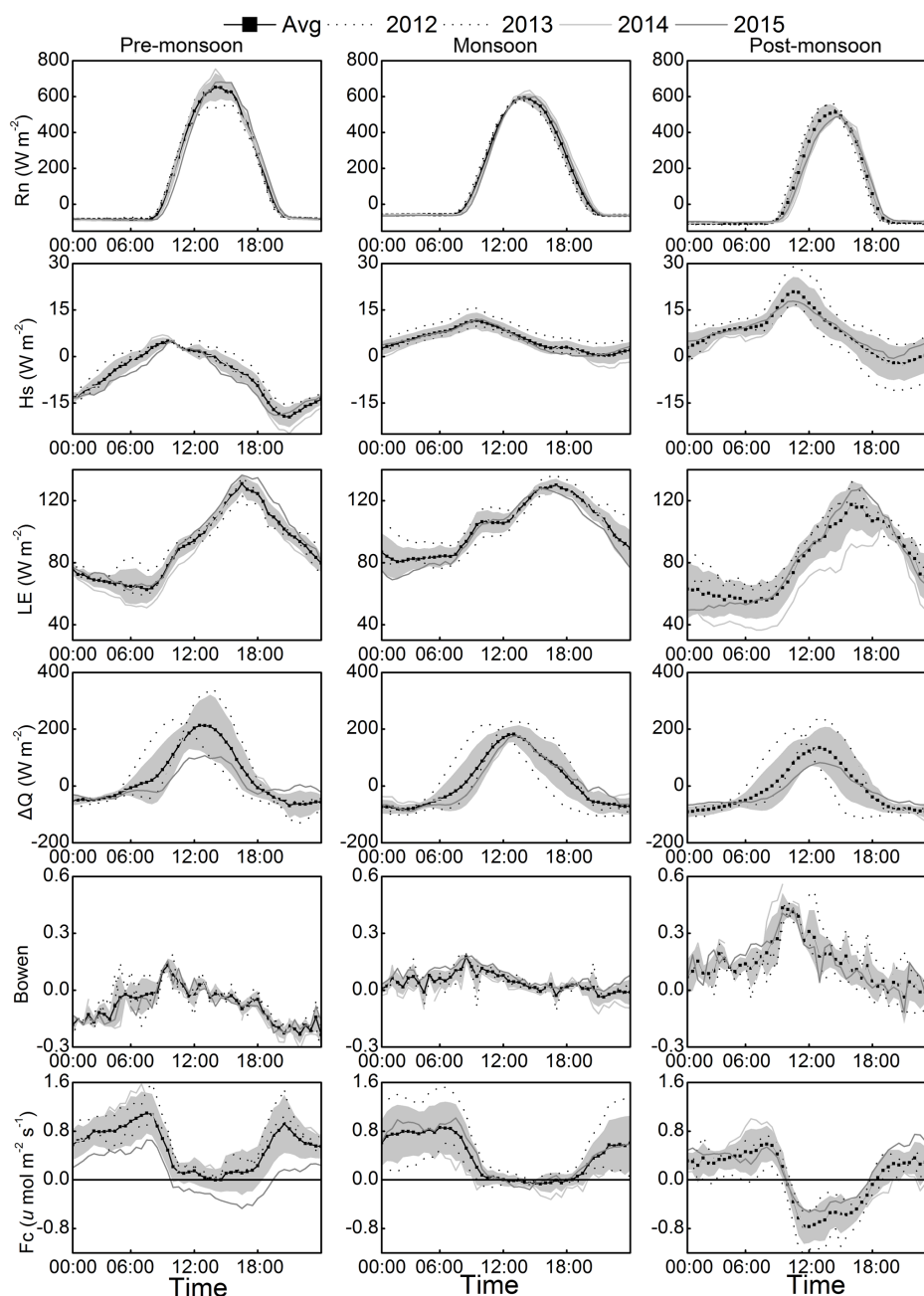
The diurnal pattern of LE and  $H_s$  are not in the same phase with  $R_n$ , which was also reported in high-latitude and midlatitude water bodies, and they were more closely related with other meteorological variables (i.e.,  $e$ ,  $T$ ,  $U$ ; Assouline et al., 2008; Nordbo et al., 2011). There is a smaller difference for diurnal LE during the three different periods, whereas there is a larger difference for diurnal  $H_s$ , indicating a larger effect of monsoon on heat exchange processes over Erhai Lake. The LE over Erhai Lake has a large diurnal variation compared to the midlatitude reservoir (Liu et al., 2012).

Two peaks are observed for diurnal variation in  $\text{CO}_2$  fluxes during the whole study period, one occurs in the early morning and the other one in the evening. The  $\text{CO}_2$  fluxes could switch to be negative around noon time during monsoon and post-monsoon periods, indicating the  $\text{CO}_2$  flux uptake in the middle of the day during these two periods. The observed maximum diurnal average  $\text{CO}_2$  flux was  $-0.53 \pm 1.66 \mu\text{mol m}^{-2} \text{ s}^{-1}$  during the monsoon period (2014) and  $-1.62 \pm 1.52 \mu\text{mol m}^{-2} \text{ s}^{-1}$  during the post-monsoon period (2013), respectively. The  $\text{CO}_2$  flux uptake is believed to be caused by the phytoplankton due to the eutrophication of Erhai Lake. It has been reported that the shallow lake is more affected by rich phytoplankton (Huotari et al., 2011; Shao et al., 2015). However, the  $\text{CO}_2$  uptake is weaker during daytime during the pre-monsoon period compared to other periods. The seasonal fluctuation of phytoplankton in Erhai Lake has been reported by some researchers. Yu et al. (2014) observed that the concentration of Chl *a* and phytoplankton in Erhai Lake were higher in mid-summer and fall and decreased from winter until April.

### 3.3 Daily and Monthly average of turbulent fluxes during different monsoon periods

The daily average  $H_s$  during pre-monsoon is lower than that during other two periods (Fig. 9). The average daily  $H_s$  during the post-monsoon period has a larger annual variation compared to other two periods. The difference in daily average  $H_s$  among the 4 years could be as large as  $11 \text{ W m}^{-2}$  during the post-monsoon period. The LE has a small variation among different years compared to  $H_s$ . The daily average LE is larger during the monsoon period, ranging from  $102.5 \pm 39.2$  to  $114.8 \pm 29.6 \text{ W m}^{-2}$ . The  $\Delta Q$  is observed to have a larger annual variation. The daily average  $\Delta Q$  remains positive during the pre-monsoon period and negative during the post-monsoon period. The daily average heat absorption during the pre-monsoon period is  $29.8 \pm 13.4 \text{ W m}^{-2}$  and heat emission during the post-monsoon period is  $-13.8 \pm 7.8 \text{ W m}^{-2}$ . Although the  $\text{CO}_2$  uptake is observed during midday, the daily average  $\text{CO}_2$  fluxes remain positive during different periods. The daily average  $\text{CO}_2$  flux is larger during the pre-monsoon period compared to other two periods. The daily average  $\text{CO}_2$  flux is  $0.55 \pm 0.23$  and  $0.19 \pm 0.08 \text{ g C m}^{-2} \text{ d}^{-1}$  during pre-monsoon and post-monsoon periods, respectively.

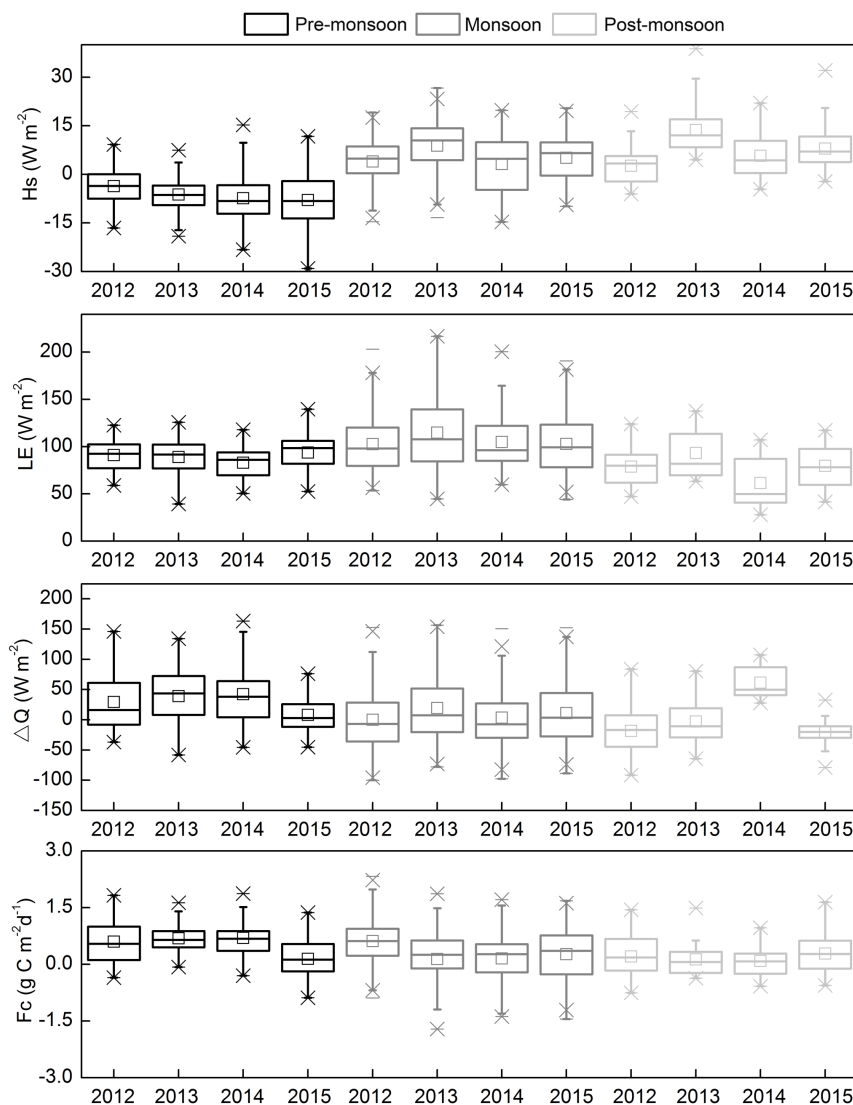
The monthly average energy balance components ( $R_n$ ,  $\Delta Q$ , LE and  $H_s$ ) show clear variations during the three different periods from 2012 to 2015 (Fig. 10).  $R_n$  gradually increases from pre-monsoon period to the early monsoon period and then decreases until the post-monsoon period. The monthly average  $R_n$  during the post-monsoon period is lower than 40% of the other two periods. LE remains at a higher level during the three periods, with an average monthly value of 89.1, 103.9 and  $82.2 \text{ W m}^{-2}$  during pre-monsoon, monsoon and post-monsoon periods, respectively. The average



**Figure 8.** The average diurnal patterns of energy balance components (the net radiation flux,  $R_n$ ; the sensible heat flux,  $H_s$ ; the latent heat flux, LE; and the storage heat flux in the lake,  $\Delta Q$ ), Bowen ratio (Bowen) and  $\text{CO}_2$  flux ( $F_c$ ) during pre-monsoon, monsoon and post-monsoon periods from 2012 to 2015.

monthly  $\text{LE}/R_n$  ratio has a smaller annual variation during the pre-monsoon period, which ranges from 0.50 to 0.82 for 4-year study period.  $\text{LE}/R_n$  ratio has a larger fluctuation during the monsoon period, which varies from 0.53 to 1 from 2012 to 2015. As a result of the decrease in  $R_n$ ,  $\text{LE}/R_n$  ratio exceeds 1 rapidly during the post-monsoon period, with an average value of 1.68. LE plays a dominant role in energy partitioning of the lake, while  $H_s$  dominated the ma-

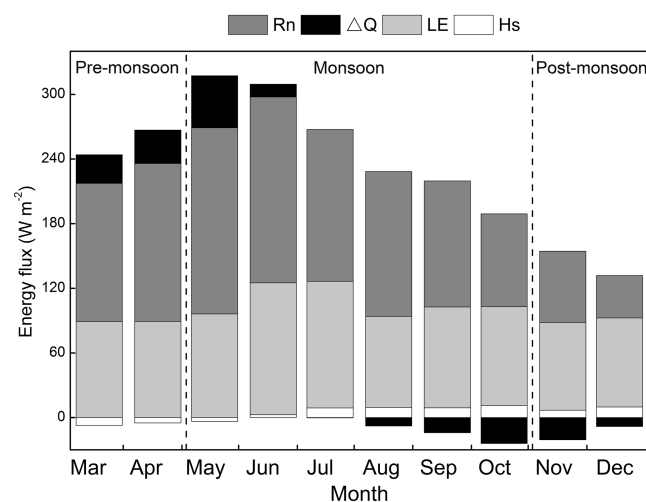
ior proportion of  $R_n$  in the terrestrial land surface (Roth et al., 2016). The monthly average  $H_s$  remains negative during the pre-monsoon period and the early monsoon period, and switches to be positive in the middle monsoon period. The magnitude of monthly average  $H_s$  remains at a very low level, with a value of less than  $14 \text{ W m}^{-2}$  during the three periods. There is a negative monthly average  $H_s/R_n$  ratio during pre-monsoon due to the negative monthly  $H_s$ , indi-



**Figure 9.** Box plot of daily average sensible heat flux ( $H_s$ ), latent heat flux (LE), the storage heat flux in the lake ( $\Delta Q$ ) and  $\text{CO}_2$  flux ( $F_c$ ) during pre-monsoon, monsoon and post-monsoon periods from 2012 to 2015. The upper and lower limits of the box represent the 75 and 25 percentiles; the horizontal line in each box represent the 1.5 inter-quartile range of the upper and lower quartile; the band inside the box is the median; the squares inside the box represent the average value; the cross-hatches represent the 1 and 99 percentiles; the end whiskers represent maximum and minimum values.

cating the  $R_n$  is consumed by heating the water body. During the monsoon period, the  $H_s/R_n$  ratio is still very low with an average value of 0.06, and the  $R_n$  is primarily used for evaporation. Correspondingly, a positive monthly average  $\Delta Q$  is observed during pre-monsoon and early monsoon period, and a negative monthly average  $\Delta Q$  during the other periods, indicating that the lake absorbs heat at first and releases it subsequently. The average monthly  $\Delta Q$  values during the pre-monsoon and post-monsoon periods are 28 and  $-14 \text{ W m}^{-2}$ , respectively. The  $H_s/R_n$  ratio increases to 0.20 and  $(H_s+LE)/R_n$  ratio reaches as high as 1.72 during the post-monsoon period. The excessive portion of the energy re-

leased from the heat storage in the lake is transferred through the turbulent exchanges, which is similar with other lakes (Rouse et al., 2005). A lower  $H_s/R_n$  ratio with an annual average of 0.16 and a higher  $LE/R_n$  ratio with an annual average of 0.81 were also observed in a midlatitude reservoir (Liu et al., 2012). However, other lakes have been reported to have a positive  $H_s$  on a monthly timescale over a whole year (Liu et al., 2012; M. Li et al., 2015). The difference between them and Erhai Lake is likely to be attributed to the positive  $T$  and unstable stratification throughout the year in this midlatitude reservoir, whereas a negative  $T$  during the



**Figure 10.** The monthly average energy fluxes (the storage heat flux in the lake,  $\Delta Q$ ; the net radiation flux,  $R_n$ ; the latent heat flux, LE; and the sensible heat flux,  $H_s$ ) during pre-monsoon, monsoon and post-monsoon periods from 2012 to 2015.

pre-monsoon period and the major portion of near neutral stratification over Erhai Lake.

### 3.4 Main drivers for $H_s$ during different monsoon periods

The correlation coefficients between  $H_s$  and meteorological variables ( $\Delta T$ ,  $U$ ,  $T_a$ ,  $T_s$ ,  $R_n$  and rain) on half-hourly, daily and monthly timescales are investigated during the three different periods (Table 2). On half-hourly scale, the product of  $U$  and  $\Delta T$  ( $U \cdot \Delta T$ ) is the main controlling factor  $H_s$ , which could explain 44 % and 30 % variance in  $H_s$  during monsoon and post-monsoon periods, respectively. However, during the pre-monsoon period, both  $\Delta T$  and the product of  $U$  and  $\Delta T$  are found to have a major effect on half-hourly  $H_s$ . A close relationship between half-hourly  $\Delta T$  and  $H_s$  is also observed during the monsoon period, with a Pearson correlation coefficient between them of 0.56. On daily timescales, the  $\Delta T$  is found to be most closely related with  $H_s$  during all three different periods, with a correlation coefficient ranging from 0.55 during the post-monsoon period to 0.78 during the monsoon period. Besides,  $R_n$  is also one of the major drivers of  $H_s$  during the monsoon period; as the rain was mainly falling during the monsoon period, the role of  $R_n$  on  $H_s$  becomes noticeable. The product of  $U$  and  $\Delta T$  is observed to have a main effect on  $H_s$  only during the pre-monsoon period. The  $T_a$  is also responsible for the variation in daily  $H_s$  during pre-monsoon and monsoon periods, which explain about 30 % variance in daily  $H_s$ . The factors controlling  $H_s$  during the monsoon period are similar with those during the post-monsoon period on a monthly timescale. The  $\Delta T$  remains as the most significant factor controlling monthly  $H_s$ , which could explain 85 % and 89 % variance in  $H_s$  during the mon-

soon and post-monsoon periods, respectively. The product of  $U$  and  $\Delta T$  also shows close relationship with monthly  $H_s$  during monsoon and post-monsoon periods. The similar relationship between meteorological variables and monthly  $H_s$  is not observed during the pre-monsoon period. Only the rain and  $R_n$  are found to be closely correlated with monthly  $H_s$  during the pre-monsoon period. The correlation between rain and  $R_n$  is also observed during the monsoon period, indicating the large effect of  $R_n$  during these two periods.

In general, no significant relationship between  $U$  and  $H_s$  is observed from half-hourly to monthly timescales during all three study periods. The  $\Delta T$  remains as the main factor controlling  $H_s$  on all temporal scales during the three periods, and the effect of  $\Delta T$  is increased as the correlation coefficients between them rises when timescales are extended from half-hourly to monthly. During the monsoon period, the role of  $R_n$  on  $H_s$  becomes more important. The relationship between meteorological factors and  $H_s$  is more complicated during the monsoon period, while there are similar main drivers for  $H_s$  during the pre-monsoon period and post-monsoon period.

### 3.5 Main drivers for LE during different monsoon periods

The relationship between LE and meteorological variables during pre-monsoon, monsoon and post-monsoon periods from half-hourly, daily and monthly timescales from 2012 to 2015 are also analyzed (Table 3). Unlike the relationship between  $U$  and  $H_s$ , a significant relationship between  $U$  and LE is observed on all temporal scales during the three different periods, with a higher correlation coefficient ranging from 0.51 to 0.80. However, the range of the correlation coefficients between  $U$  and LE is similar on different timescales, indicating that the effect of  $U$  does not increase as timescale changes. The large effect of  $U$  on LE has been reported in small lakes. The product of  $U$  and  $e$  is the second major factor controlling LE during the three periods, especially on half-hourly and daily scales, with a correlation coefficient ranging from 0.48 to 0.71. On monthly timescales, the significant effect of the product of  $U$  and  $e$  on LE is only observed during the pre-monsoon period, which could explain 60 % variance in monthly LE. During the monsoon period, both  $T_a$  and  $R_n$  show a close relationship with LE on a monthly timescale. The effect of  $R_n$  on LE has also been reported in other studies. Because the variation in monthly  $T_a$  is mainly determined by the magnitude of the available energy, the close relationship between  $T_a$  and monthly LE reflects the effect of  $R_n$  on LE during the monsoon period. During the monsoon period,  $R_n$  is also found to be responsible for variation in LE on monthly scale, which is similar with monthly  $H_s$ . The close relationship between  $R_n$  and LE was also observed in a small boreal lake (Nordbo et al., 2011; Goldbach and Kuttler, 2015).



**Table 2.** The Pearson correlation coefficients between 30Min, Daily and Monthly sensible heat flux ( $H_s$ ) and difference between water surface and air temperature ( $\Delta T$ ), wind speed ( $U$ ), the product of wind speed and difference between water surface and air temperature ( $U \cdot \Delta T$ ), air temperature ( $T_a$ ), water surface temperature ( $T_s$ ), net radiation ( $R_n$ ), and rain, during pre-monsoon, monsoon and post-monsoon periods for the whole study period (2012 to 2015). The significant levels of 0.01 and 0.05 are marked with \*\* and \*, respectively. The correlation coefficients between rain and  $H_s$  are estimated only at daily and monthly timescales.

Variable	30Min			Daily			Monthly		
	pre-monsoon	monsoon	post-monsoon	pre-monsoon	monsoon	post-monsoon	pre-monsoon	monsoon	post-monsoon
$T$	0.42**	0.56**	0.40*	0.61**	0.78**	0.55**	0.28	0.92**	0.95**
$U$	-0.14**	-0.02**	-0.00	-0.13	-0.25**	-0.17*	-0.16	-0.59**	-0.51
$U \cdot T$	0.39**	0.67**	0.54**	0.57**	0.24**	0.35**	0.32	0.90**	0.71
$T_a$	-0.38**	-0.40**	-0.31**	-0.55**	-0.53**	-0.31**	-0.24	-0.58**	-0.61
$T_s$	-0.18**	-0.07**	-0.13**	-0.20**	0.04	-0.17*	-0.01	0.26	-0.38
$R_n$	0.19**	-0.05**	0.19**	-0.25**	-0.55**	-0.23**	-0.47	-0.75**	-0.35
rain				0.24**	0.11*	0.06	0.60	0.56**	-0.30

**Table 3.** The Pearson correlation coefficients between 30Min, Daily and Monthly latent heat flux (LE) and vapor pressure difference ( $e$ ) between water surface ( $e_s$ ) and the air ( $e_a$ ), wind speed ( $U$ ), the product of wind speed and vapor pressure difference ( $e$ ) between water surface and the air ( $U \cdot \Delta e$ ), air temperature ( $T_a$ ), water surface temperature ( $T_s$ ), relative humidity (RH), net radiation ( $R_n$ ), and rain, during pre-monsoon, monsoon and post-monsoon periods for the whole study period (2012 to 2015). The significant levels of 0.01 and 0.05 are marked with \*\* and \*, respectively. The correlation coefficients between rain and LE are estimated only at daily and monthly timescales.

Variable	30Min			Daily			Monthly		
	pre-monsoon	monsoon	post-monsoon	pre-monsoon	monsoon	post-monsoon	pre-monsoon	monsoon	post-monsoon
$e$	0.29**	0.22**	0.41**	0.21**	0.11*	0.29**	0.12	0.02	-0.02
$U$	0.50**	0.74**	0.72**	0.63**	0.80**	0.69**	0.79*	0.77**	0.57
$U \cdot \Delta e$	0.48**	0.65**	0.71**	0.54**	0.60**	0.68**	0.78*	0.40	0.29
$T_a$	0.33**	0.37**	0.49**	0.24**	0.42**	0.63**	0.02	0.63**	0.07
$T_s$	0.25**	0.30**	0.38**	0.10	0.30**	0.34**	-0.02	0.42	0.00
RH	-0.24**	-0.20**	-0.49**	-0.31**	-0.11*	-0.42**	0.14	-0.04	-0.38
$R_n$	0.24**	0.24**	0.32**	0.11	0.22**	0.30**	-0.09	0.50*	-0.01
rain				-0.05	-0.08	0.09	-0.09	-0.35	0.28

### 3.6 Main drivers for CO<sub>2</sub> flux during different monsoon periods

The correlation coefficients between CO<sub>2</sub> flux and meteorological variables during the three different periods from half-hourly to monthly scales are shown in Table 4. On half-hourly scale, there is a similar relationship between meteorological variables and CO<sub>2</sub> flux during pre-monsoon and post-monsoon periods. Both  $R_n$  and photosynthetically active radiation (PAR) are found to have a slightly higher correlation coefficient with CO<sub>2</sub> flux than other meteorological variables, which indicates that the carbon exchange processes over Erhai Lake is affected by both physical and biological processes. The  $U$  is found to have a significant relationship with CO<sub>2</sub> flux during the monsoon period. There is a relatively high correlation coefficient between  $U$  and CO<sub>2</sub> flux on all temporal scales during the monsoon period, which increases from half-hourly (0.23) to monthly scales (0.81). During the post-monsoon period, the  $U$  also has a large impact on CO<sub>2</sub> flux, mainly on longer temporal scale. The correlation coefficient between them is also the highest on daily and monthly scales during the post-monsoon period. The  $U$  could mediate the vertical transport of gases by producing

turbulent eddies across the air–water interface (Eugster et al., 2003).

On monthly scale, the major drivers of CO<sub>2</sub> flux vary greatly during different periods. PAR is found to be the most significant driver for monthly CO<sub>2</sub> flux during the pre-monsoon period. During the monsoon period, the main drivers for monthly CO<sub>2</sub> flux (i.e.,  $R_n$ ,  $T_a$  and  $U$ ) are in good accordance with LE. The relationship between  $T_s$  and CO<sub>2</sub> flux is likely to be attributed to the fact that the variation of  $T_s$  could influence the solubility in water (Shao et al., 2015). The  $e$  also has a large effect on monthly CO<sub>2</sub> flux during both pre-monsoon and post-monsoon periods. A negative correlation coefficient between rain and CO<sub>2</sub> flux is observed on daily and monthly scale during the pre-monsoon period. It has been reported that more rain could bring more nutrients into the water body, which ultimately promoted the photosynthesis of the phytoplankton (Shao et al., 2015). However, a positive correlation coefficient between rain and CO<sub>2</sub> flux is also observed on monthly scale during the post-monsoon period. The rain could also promote CO<sub>2</sub> emission by enhancing the transport of carbon from land/catchment areas to the water system (lateral fluxes), which enhanced the DOC and potentially the  $p\text{CO}_2$  in the water (Pumpanen et al., 2014).

**Table 4.** The Pearson correlation coefficients between 30Min, Daily and Monthly CO<sub>2</sub> flux and difference between water surface and air temperature ( $\Delta T$ ), vapor pressure difference ( $e$ ) between water surface and the air, wind speed ( $U$ ), air temperature ( $T_a$ ), relative humidity (RH), water surface temperature ( $T_s$ ), photosynthetically active radiation (PAR), net radiation ( $R_n$ ), and rain, during pre-monsoon, monsoon and post-monsoon periods for the whole study period (2012 to 2015). The significant levels of 0.01 and 0.05 are marked with \*\* and \*, respectively. The correlation coefficients between rain and CO<sub>2</sub> flux are estimated only at daily and monthly timescales.

Variable	30Min			Daily			Monthly		
	pre-monsoon	monsoon	post-monsoon	pre-monsoon	monsoon	post-monsoon	pre-monsoon	monsoon	post-monsoon
$T$	0.04**	0.12**	0.08**	−0.01	0.25**	0.25**	−0.60	0.52*	−0.39
$e$	−0.05**	−0.09**	−0.03	0.18**	−0.01	0.00	0.55	−0.39	0.47
$U$	−0.14**	−0.23**	−0.17**	−0.31**	−0.56**	−0.34**	−0.56	−0.81**	0.61
$T_a$	−0.14**	−0.18**	−0.10**	−0.09	−0.25**	−0.12	0.06	−0.58**	0.07
RH	0.01	0.08**	0.02	−0.15*	0.02	0.07	−0.68	0.31	−0.21
$T_s$	−0.20**	−0.15**	−0.09**	−0.14*	−0.08	0.01	−0.17	−0.20	−0.04
PAR	−0.23**	−0.13**	−0.23**	0.32**	0.20**	0.02	0.78*	0.27	−0.09
$R_n$	−0.26**	−0.15**	−0.28**	−0.00	−0.06	0.08	0.17	−0.59**	0.00
rain				−0.34**	0.03	−0.14	−0.41	0.22	0.42

Overall, because the correlation coefficients between meteorological variables and CO<sub>2</sub> flux are comparatively lower on half-hourly scale, large uncertainties exist for the main drivers controlling half-hourly CO<sub>2</sub> flux. The main drivers of CO<sub>2</sub> flux are more complicated on monthly scale during the three periods, indicating multiple factors may govern CO<sub>2</sub> exchange process, including biological and physical processes (Vesala et al., 2006). More similar controlling factors for CO<sub>2</sub> flux are observed during pre-monsoon and post-monsoon periods, compared with monsoon period, indicating the large impact of monsoon on carbon exchange processes.

#### 4 Conclusions

Erhai Lake is a subtropical shallow lake located in the key regions of water-vapor transportation passages, which is influenced by both the South Asian and East Asian summer monsoons. The contrasting atmospheric properties during the monsoon and non-monsoon periods provide an excellent opportunity to examine the effect of monsoon on turbulent exchange processes over the lake surface. The pre-monsoon period is characterized by a higher  $U$  and  $e$ , with a negative  $T$ , while the post-monsoon period is characterized by a lower  $U$  and  $T_a$ , with a positive  $T$ . The air mass during the monsoon period is the warmest and wettest. The monsoon period has a much higher  $T_s$  than the other two periods. The southeasterly and westerly winds are dominant during daytime and nighttime, respectively. The lake and land breeze circulation is stronger during the pre-monsoon and post-monsoon periods. The near neutral stratification occupies the major proportion during the three study periods. The negative diurnal  $T$  during the pre-monsoon period has contributed to the occurrence of stable stratification, while the positive  $T$  has contributed to the occurrence of unstable stratification during monsoon and post-monsoon periods. The monsoon also has an effect on bulk transfer coefficients. The drag coefficient during the

monsoon period is lower compared to the other two periods. The Dalton number is larger but the Stanton number is lower during the pre-monsoon period than that during other two periods.

Due to the effect of cloud, the  $R_n$  during the monsoon period is lower than pre-monsoon period. The albedo is higher during the post-monsoon period but similar between pre-monsoon and monsoon periods. The diurnal pattern of  $\Delta Q$  is consistent with  $R_n$ , while diurnal  $H_s$  and LE are out of phase of  $R_n$ , which are consistent with  $T$  and  $e$ , respectively. The diurnal  $H_s$  during the pre-monsoon period remains negative for most times of the day, and changes to be positive for a short time in the morning. Diurnal LE remains relatively high for most times of the day during the monsoon period. The higher  $H_s$  and lower LE have resulted in a higher Bowen ratio during the post-monsoon period. LE dominates the energy partitioning of the lake, and LE/ $R_n$  ratio exceeds 1 during the post-monsoon period due to the rapid decrease in  $R_n$ . The monthly average  $Q$  is positive during pre-monsoon and early monsoon period, and becomes negative during the other periods, indicating that the lake absorbs heat at first and then releases it.

The  $\Delta T$  remains as the main factor controlling  $H_s$  on all temporal scales during the three periods, and the effect of  $\Delta T$  is increased when timescales are extended from half-hourly to monthly. The factors controlling LE are more consistent from half-hourly to monthly timescales compared to  $H_s$ . A significant relationship between  $U$  and LE is observed on all temporal scales, and the product of  $U$  and  $e$  is the second major factor controlling LE during the three periods. The  $U$  is also found to have a strong relationship with CO<sub>2</sub> flux during the monsoon period. The high wind is expected to cause variations in the mechanical mixing and thus modulate the water surface turbulent and CO<sub>2</sub> exchange processes (Zhang and Liu, 2013). During the monsoon period, the  $R_n$  plays an important role on monthly variation in  $H_s$  and LE. Compared with monsoon period, similar main drivers for  $H_s$  are

found during the pre-monsoon period and post-monsoon period, which is also found for CO<sub>2</sub> flux, indicating the large impact of monsoon on heat and carbon exchange processes over Erhai Lake. On a monthly timescale, the main drivers of CO<sub>2</sub> flux are more complicated during the three periods. In future, more studies are needed to investigate the combining effect of biological and physical process on carbon exchange processes over highland shallow lakes.

*Data availability.* The data used in this study are available by directly contacting the corresponding author.

*Author contributions.* HZL designed the measurement campaign over Erhai Lake. YL and LW carried out field measurements. QD and LJX analyzed the data. QD and HZL prepared the paper with input from all co-authors.

*Competing interests.* The authors declare that they have no conflict of interest.

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