OMI-measured increasing SO$_2$ emissions due to energy industry expansion and relocation in northwestern China

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Abstract. The rapid growth of economy makes China the largest energy consumer and sulfur dioxide (SO$_2$) emitter in the world. In this study, we estimated the trends and step changes in the planetary boundary layer (PBL) vertical column density (VCD) of SO$_2$ from 2005 to 2015 over China measured by the Ozone Monitoring Instrument (OMI). We show that these trends and step change years coincide with the effective date and period of the national strategy for energy development and relocation in northwestern China and the regulations in the reduction of SO$_2$ emissions. Under the national regulations for the reduction of SO$_2$ emissions in eastern and southern China, SO$_2$ VCD in the Pearl River Delta (PRD) of southern China exhibited the largest decline during 2005–2015 at a rate of $-7\% \text{ yr}^{-1}$, followed by the North China Plain (NCP) ($-6.7\% \text{ yr}^{-1}$), Sichuan Basin ($-6.3\% \text{ yr}^{-1}$), and Yangtze River Delta (YRD) ($-6\% \text{ yr}^{-1}$). The Mann–Kendall (MK) test reveals the step change points of declining SO$_2$ VCD in 2009 for the PRD and 2012–2013 for eastern China responding to the implementation of SO$_2$ control regulation in these regions. In contrast, the MK test and regression analysis also revealed increasing trends of SO$_2$ VCD in northwestern China, particularly for several “hot spots” featured by growing SO$_2$ VCD in those large-scale energy industry bases in northwestern China. The enhanced SO$_2$ VCD is potentially attributable to increasing SO$_2$ emissions due to the development of large-scale energy industry bases in energy-abundant northwestern China under the national strategy for the energy safety of China in the 21st century. We show that these large-scale energy industry bases could overwhelm the trends and changes in provincial total SO$_2$ emissions in northwestern China and contribute increasingly to the national total SO$_2$ emissions in China. Given that northwestern China is more ecologically fragile and uniquely susceptible to atmospheric pollution than the rest of China, increasing SO$_2$ emissions in this part of China should not be overlooked and merit scientific research.

1 Introduction

Sulfur dioxide (SO$_2$) is one of the criteria air pollutants emitted from both anthropogenic and natural sources. The combustion of sulfur-containing fuels, such as coal and oil, is the primary anthropogenic emitter, which contributes to half of total SO$_2$ emissions (Smith et al., 2011; Lu et al., 2010; Stevenson et al., 2003; Whelpdale et al., 1996). With the rapid economic growth in the past decades, China has become the world’s largest energy consumer, accounting for 23% of global energy consumption in 2015 (BIEE, 2016). Coal has been a dominating energy source in China and accounted for 70% of total energy consumption in 2010 (Kanada et al., 2013). The huge demand for coal and its high sulfur content make China the largest SO$_2$ emission source in the world (Krotkov et al., 2016; Su et al., 2011), also accounting for two thirds of Asia’s total SO$_2$ emissions (Ohara et al.,
to (1) determine the spatiotemporal variations of SO2 and its trend under the national plan for energy industry development in northwestern China by making use of the OMI-measured SO2 data during 2005–2015 and (2) identify leading causes contributing to the enhanced SO2 emissions in northwestern China.

2 Data and methods

2.1 Satellite data

The OMI was launched on 15 July 2004 on the EOS Aura satellite, which is in a sun-synchronous ascending polar orbit with 13:45 local Equator crossing time. It is an ultraviolet-visible (UV–vis) nadir solar backscatter spectrometer, which provides nearly global coverage in 1 day, with a spatial resolution of 13 km × 24 km (Levett et al. 2006a, b). It provides global measurements of ozone (O3), SO2, NO2, HCHO, and other pollutants on a daily basis. The OMI uses spectral measurements between 310.5 and 340 nm in the UV-2 to detect anthropogenic SO2 pollution in the lowest part of the atmosphere (Li et al., 2013). The instrument is sensitive enough to detect the near-surface SO2. Previously, the OMI PBL SO2 data were produced using the band residual difference (BRD) algorithm (Krotkov et al., 2006), which has large noise and unphysical biases particularly at high latitudes (Krotkov et al., 2008). Subsequently, a principal component analysis (PCA) algorithm was applied to retrieve SO2 column densities. This approach greatly reduces biases and decreases the noise by a factor of 2, providing greater sensitivity to anthropogenic emissions (Li et al., 2013).

In the present study, we collected the level 3 OMI daily PBL SO2 vertical column density (VCD) data in Dobson units (1 DU = 2.69 × 1016 molecules cm−2) produced by the PCA algorithm (Li et al., 2013). The spatial resolution is 0.25° × 0.25° latitude–longitude, available at Goddard Earth Sciences Data and Information Services Center (https://mirador.gsfc.nasa.gov/cgi-bin/mirador/presentNavigation.pl?tree=project&&dataGroup=L3_V003). The systematic bias of PCA retrievals is estimated as ~0.5 DU for regions between 30° S and 30° N. The bias increases to ~0.7–0.9 DU for high-latitude areas with large slant column O3 but is still a factor of 2 smaller than that from BRD retrievals (https://aura.gesdisc.eosdis.nasa.gov/data/Aura_OMI_Level2/OMSO2.003/doc/README.OMSO2.pdf). As a result, the PCA algorithm may yield systematic errors for anthropogenic emission sources located in different latitudes and under complex topographic and underlying surface conditions. The air mass factors (AMFs) used to convert SO2 slant column density into VCD are also subject to uncertainties. Fioletov et al. (2016) revealed an overall AMF uncertainty of 28% which was created by surface reflectivity, surface pressure, ozone column, and cloud fraction. As Fioletov et al. (2016) noted, the PCA-retrieved
SO$_2$ VCD was virtually derived by using an AMF of 0.36, which is best applicable in the summertime in the eastern United States. Wang (2014) suggested adopting AMF $\approx 0.57$ in the estimate of SO$_2$ VCD distribution in eastern China. In the present study, we have taken the AMF values in China provided by Fioletov et al. (2016) to adjust OMI-measured VCD in the estimation of the SO$_2$ emissions of the main point sources in northwestern China.

### 2.2 SO$_2$ monitoring, emissions, and socioeconomic data

To evaluate and verify the spatial SO$_2$ VCD from OMI, ground SO$_2$ monitoring data of 2014 through 2015 at 188 sampling sites (cities) across China (Fig. 1), operated by the National Environmental Monitoring Center were collected (available at http://www.aqistudy.cn/historydata/). Annually averaged SO$_2$ air concentrations from 2005 to 2015 in six capital cities in Ürümqi (Xinjiang), Yinchuan (Ningxia), Beijing (Beijing–Tianjin–Hebei, BTH, and North China Plain, NCP), Shanghai (Yangtze River Delta, YRD), Guangzhou (Pearl River Delta, PRD), and Chongqing (Sichuan Basin) were collected from provincial environmental bulletin published by the Ministry of Environmental Protection of China (MEPC) (http://www.zhb.gov.cn/hjzl/zghjzkgb/gshjzkgb. SO$_2$ anthropogenic emission inventory in China with a 0.25$^{\circ}$ longitude by 0.25$^{\circ}$ latitude resolution for every 2 years from 2008 to 2012 was adopted from Multi-resolution Emission Inventory for China (MEIC) (Li et al., 2017, available at http://www.meicmodel.org).


### 2.3 Trends and step change

The long-term trends of SO$_2$ VCD were estimated by linear regressions of the gridded annually SO$_2$ VCD against their time sequence of 2005 through 2015. The gridded slopes (trends) of the linear regressions denote the increasing (positive) or decreasing (negative) rates of SO$_2$ VCD (Wang et al., 2016; Huang et al., 2016; Zhang et al., 2015, 2016).

The Mann–Kendall (MK) test was also employed in the assessments of the temporal trend and step change point year of SO$_2$ VCD time series. The MK test is a nonparametric statistical test (Mann, 1945; Kendall and Charles, 1975) that is useful for assessing the significance of trends in time series data (Waked et al., 2016; Fathian et al., 2016). The MK test is often used to detect a step change point in the long-term trend of a time series dataset (Moraes et al., 1998; Li et al., 2016; Zhao et al., 2015). It is suitable for non-normally distributed data and censored data which are not influenced by abnormal values (Yue and Pilon, 2004; Sharma et al., 2016; Yue and Wang, 2004; Gao and Shi, 2016; Zhao et al., 2015). Recently, the MK test has also been used in trend analysis for the time series of atmospheric chemicals, such as persistent organic pollutants, surface ozone (O$_3$), and non-methane hydrocarbon (Zhao et al., 2015; Assareh et al., 2016; Waked et al., 2016; Sicard et al., 2016). Here the MK test was used to identify the temporal variability and step change point of SO$_2$ VCD for 2005–2015 which may be associated with the implementation of the national strategy and regulation in energy industry development and emission control during this period. Under the null hypothesis (no trend), the test statistic was determined using the following formula:

\[
S_k = \sum_{i=1}^{k} r_i (k = 2, 3, \ldots, n),
\]

where $S_k$ is a statistic of the MK test, and

\[
r_j = \begin{cases} 
1, & (x_i > x_j) \\
0, & (x_i \leq x_j)
\end{cases} \quad (j = 1, 2, \ldots, i - 1),
\]

where $x_i$ is the variable in time series $x_1, x_2, \ldots, x_i$, $r_j$ is the cumulative number for $x_i > x_j$. The test statistic is normally distributed with a mean and variance is given by

\[
E(S_k) = k(k - 1)/4,
\]

\[
\text{Var}(S_k) = k(k - 1)(2k + 5)/72.
\]

From these two equations, one can derive a normalized $S_i$, defined by

\[
UF_k = \frac{S_k - E(S_k)}{\sqrt{\text{Var}(S_k)}} (k = 1, 2, \ldots, n),
\]

where $UF_k$ is the forward sequence, the backward sequence $UB_k$ is calculated using the same function but with the reverse data series such that $UB_k = -UF_k$. In a two-sided trend test, a null hypothesis is accepted at the significance level if $|UF_k| \leq (UF_k)_1 - \alpha/2$, where $(UF_k)_1 - \alpha/2$ is the critical value of the standard normal distribution, with a probability of $\alpha$. When the null hypothesis is rejected (i.e., when any of the points in $UF_k$ exceeds the confidence interval $\pm 1.96; P = 0.05$), a significantly increasing or decreasing trend is determined. $UF_k > 0$ often indicates an increasing trend and vice versa. The test statistic used in the present study enables us to discriminate the approximate time of trend and step change by locating the intersection of the $UF_k$ and $UB_k$ curves. The intersection occurring within the confidence interval (−1.96, 1.96) indicates the beginning of a step change point (Moraes et al., 1998; Zhang et al., 2011; Zhao et al., 2015).
2.4 Estimate of SO$_2$ emissions from OMI measurements

To assess the connections between the major point sources in large-scale energy industrial bases in northwestern China and provincial emissions, we made use of OMI-measured SO$_2$ VCD to inversely simulate the SO$_2$ emissions from Ningdong Energy Chemical Industrial Base (NEICIB) in Ningxia and Midong Energy Industrial Base (MEIB) in Xinjiang. McLinden et al. (2016) and Fioletov et al. (2015, 2016) have developed a source detection algorithm which fits OMI-measured SO$_2$ vertical column densities to a three-dimensional parameterization function of the horizontal coordinates and wind speed. This algorithm was employed in the present study to estimate the SO$_2$ source strength in the two industrial bases and its contribution to the provincial total SO$_2$ emissions. The details of this algorithm are in Fioletov et al. (2015). Briefly, the source detection algorithm uses a Gaussian function $f(x, y)$ multiplied by an exponentially modified Gaussian function $g(y, s)$ to fit the OMI SO$_2$ measurements (Fioletov et al., 2015) $OMI_{SO_2} = a \cdot f(x, y) \cdot g(y, s)$, defined by

$$f(x, y) = \frac{1}{\sigma_1 \sqrt{2\pi}} \exp\left(-\frac{x^2}{2\sigma_1^2}\right),$$

$$g(y, s) = \frac{\lambda_1}{2} \exp\left(\frac{\lambda_1 (\lambda_1 \sigma_2^2 + 2y)}{2}\right) \times \text{erfc}\left(\frac{\lambda_1 \sigma_2^2 + y}{\sqrt{2} \sigma}\right);$$

where $x$ and $y$ indicate the coordinates of the OMI pixel center (km); $s$ is the wind speed (km h$^{-1}$) at the pixel center; $a$ represents the total number of SO$_2$ molecules (or SO$_2$ burden) observed by OMI in a target emission source $\lambda = 1/\tau$, where $\tau$ is a decay time of SO$_2$; and $\sigma$ describes the width or spread of SO$_2$.

The $f(x, y)$ function represents the Gaussian distribution across the wind direction line. The function $g(y, s)$ represents an exponential decay along the $y$ axis smoothed by a Gaussian function. Once $\sigma$ and $\tau$ are determined, the SO$_2$ burden as a function of $x$, $y$, and $s$ (OMI SO$_2$ $(x, y, s)$) can be reconstructed. SO$_2$ emission strength from a large point source can be estimated by $E = a/\tau$. In the present study, following Fioletov et al. (2016), we choose a mean value of $\sigma = 20$ km and $\tau = 6$ h in the calculation of SO$_2$ emission large point sources of interested. Wind speed and direction on a $1^\circ \times 1^\circ$ latitude–longitude spatial resolution were collected from NCEP (National Centers for Environmental Prediction) Final Operational Global Analysis (https://rda.ucar.edu/datasets/ds083.2/). These data were interpolated to the location of each OMI pixel center on a $1/4^\circ \times 1/4^\circ$ latitude–longitude spacing.

There are several potential sources of errors which need to be taken into account when determining the overall uncertainty of the SO$_2$ emission estimation. Fioletov et al. (2016) have highlighted three primary sources of errors in the OMI-based emission estimates, including AMF, the estimation of the total SO$_2$ mass as determined from a linear regression, and the selection of $\sigma$ and $\tau$ used to fit OMI measurements. Based on the coefficients of variation (CV, %) in these three error categories (McLinden et al., 2014, 2016; Fioletov et
al., 2016) listed in Table S1 of Supplement, we estimated uncertainties in the SO\textsubscript{2} emissions derived from OMI measurements in the two major point sources in northwestern China by running the source detection model repeatedly for 10 000 times using the Monte Carlo method. Results show the standard deviation of $-35$ to $122$ kt yr$^{-1}$ for SO\textsubscript{2} emissions in NECIB and $-29$ to $95$ kt yr$^{-1}$ for SO\textsubscript{2} emissions in MEIB from 2005 to 2015, respectively.

2.5 Satellite data validation

The OMI-retrieved SO\textsubscript{2} PBL VCDs were evaluated by comparing with ambient air concentration data of SO\textsubscript{2} from routine measurements by local official operational air quality monitoring stations. The statistics between OMI-retrieved SO\textsubscript{2} VCD and monitored annually averaged SO\textsubscript{2} air concentrations during 2014–2015 at 188 operational air quality monitoring stations across China are presented in Table S2. Supplement Fig. S1 is the correlation diagram between SO\textsubscript{2} VCD and sampled data. As shown in Table S2 and Fig. S1, the OMI-measured SO\textsubscript{2} VCDs agree well with the monitored ambient SO\textsubscript{2} concentrations across China at the correlation coefficient of 0.85 ($p < 0.05$) (Table S2). Figure 2 further compares annually averaged SO\textsubscript{2} VCD and SO\textsubscript{2} air concentrations from 2005 to 2015 in six capital cities. These are Ürümqi, Yinchuan, Beijing, Shanghai, Guangzhou, and Chongqing. The mean SO\textsubscript{2} concentration data were collected from provincial environmental bulletin published by the MEPC (http://www.zhb.gov.cn/hjzl/zghjzkgb/gshjzkgb). Results show that the annual variation of mean SO\textsubscript{2} VCD are higher than the measured SO\textsubscript{2} concentrations from 2010 to 2015, but SO\textsubscript{2} VCD match well with the monitored data except for Ürümqi, the capital of Xinjiang Uyghur Autonomous Region. The OMI-retrieved SO\textsubscript{2} VCDs in Shanghai and Chongqing are higher than the measured concentrations in these two regions show consistent temporal fluctuation and trend. The measured SO\textsubscript{2} concentrations peaked in 2013 in Yinchuan whereas the SO\textsubscript{2} VCD reached the peak in 2012 and decreased thereafter. OMI-measured SO\textsubscript{2} VCD in Ürümqi shows different yearly fluctuations compared with its annual concentrations. The measured SO\textsubscript{2} concentrations in Ürümqi decreased from 2011 to 2015 whereas the OMI-measured SO\textsubscript{2} VCD did not illustrate obvious changes. In particular, the monitored mean SO\textsubscript{2} concentration from 2013 to 2015 decreased by 75 % compared with that from 2005 to 2012. This is partly attributed to the change in air quality monitoring sites in the city of Ürümqi. Before 2013, there were only three operational air quality sites in Ürümqi, all located in the heavily polluted downtown region. Since 2013, the number of air monitoring sites...
increased from three to seven. The four new sites are located in less polluted suburbs of the city. As a result, the spatially averaged SO_2 concentrations over three downtown air quality monitoring sites before 2013 were higher than the mean concentrations averaged over seven monitoring sites (http://xjny.ts.cn/content/2012-06/05/content_6899388.htm).

It is worth noting that the measured SO_2 concentration in Ürümqi is the highest among all cities, as shown in Fig. 2, whereas the OMI VCD value in Ürümqi was lower than other selected cities. This may be due to systematic biases in OMI-retrieved SO_2 VCD. In the present study, the level 3 OMI PBL SO_2 VCD data produced by the PCA retrievals were used to estimate the spatiotemporal variation in SO_2 pollution in China. The PCA retrievals have a negative bias over some highly reflective surfaces in arid and semi-arid lands, such as many places in the Sahara (up to about −0.5 DU in monthly mean VCD) (https://aura.gesdisc.eosdis.nasa.gov/data/Aura_OMI_Level2/OMSO2.003/doc/README.OMSO2.pdf).

Also, PCA retrievals is subject to the systematic bias of 0.7–0.9 DU in relatively high-latitude regions. Located at a relatively high latitude in northwestern China with a large surrounding area covered by the Gobi desert, the PCA algorithm might yield lower SO_2 VCD value in Ürümqi than other cities shown in Fig. 2.

SO_2 emissions data were further collected to compare with annual OMI SO_2 VCD in selected regions. The results are presented in Fig. 3. As shown, the annual variation in SO_2 VCD agrees reasonably well with SO_2 emission data except for the Ürümqi–Midong region. The OMI-measured SO_2 VCD in the PRD and Sichuan Basin decreased from 2008 to 2012, but SO_2 emissions changed little. Compared with the other five marked regions (Fig. 1), the satellite-measured SO_2 VCD in Ürümqi–Midong decreased in 2010 and increased in 2012. However, SO_2 emissions in Ürümqi–Midong 2012 are factors of 11 and 8 higher than in 2008 and 2010, respectively. It should be noted that air pollutants released in the atmosphere are affected by physical and chemical processes. They may be transported over large distances by atmospheric motions, transformed into other compounds by chemical or photochemical processes, and “washed out” or deposited at the Earth’s surface (Zhao et al., 2017; Brasseur et al., 1998).
The atmospheric removal and advection processes may also contribute to the inconsistency between monitored and satellite observations. In addition, the MEIC SO$_2$ emission inventory from the bottom-up approach might be subject to large uncertainties due to data manipulation and the lack of sufficient knowledge in human activities and emissions from different sources (Li et al., 2017; Zhao et al., 2011; Lu et al., 2011; Kurokawa et al., 2013). The uncertainties in the MEIC-estimated SO$_2$ emissions used in the present study are up to ±12 % (Li et al., 2017). As shown in Fig. 3, the OMI-measured SO$_2$ VCD from 2008 to 2012 in Ürümqi–Midong was about 0.2 DU which was comparable with that in the Energy Golden Triangle (EGT). However, the reported SO$_2$ emissions in Ürümqi–Midong was only 4 % of the SO$_2$ emissions in the EGT in 2012 and 0.5 % of that in the EGT from 2008 to 2010. It might be attributed to the fact that some large sources were not included in the MEIC SO$_2$ emission inventory. From this perspective, the satellite remote sensing provides a very useful tool in monitoring SO$_2$ emissions from large point sources and in the verification of emission inventories (Fioletov et al., 2015, 2016; McLinden et al., 2016; Wang et al., 2015).

3 Results and discussion

3.1 OMI-measured SO$_2$ in China

Given higher population density and stronger industrial activities, eastern and southern China is traditionally industrialized and heavily contaminated regions by air pollution and acid rain caused by SO$_2$ emissions. Figure 4a shows annually averaged OMI SO$_2$ VCD over China on a $0.25^\circ \times 0.25^\circ$ latitude–longitude resolution averaged from 2005 to 2015. SO$_2$ VCD was considerably higher in eastern and central China and Sichuan Basin than in northwestern China. The highest SO$_2$ VCD was found in the NCP, including BTH, Shandong, and Henan. The annually averaged SO$_2$ VCD between 2005 and 2015 in this region reached 1.36 DU. This result is in line with previous satellite remote-sensing-retrieved SO$_2$ emissions in eastern China (Krotkov et al., 2016; Lu et al., 2010; Bauduin et al., 2016; Jiang et al., 2012; Yan et al., 2014). However, in contrast to the spatial distribution of decadal mean SO$_2$ VCD (Fig. 4a), the slopes of the linear regression relationship between annual average OMI-retrieved SO$_2$ VCD and the time sequence from 2005 to 2015 over...
China show that the negative trends overwhelmed industrialized eastern and southern China, particularly in the NCP, Sichuan Basin, the YRD, and PRD, manifesting a significant decline of SO$_2$ emissions in these regions. SO$_2$ VCD in the PRD exhibited the largest decline at a rate of 7% yr$^{-1}$, followed by the NCP (6.7% yr$^{-1}$), Sichuan Basin (6.3% yr$^{-1}$), and the YRD (6% yr$^{-1}$). Annual average SO$_2$ VCD in the PRD, NCP, Sichuan Basin, and YRD decreased by 52, 50, 48, and 46% in 2015 compared to 2005 (Fig. 5), though the annual fluctuation of SO$_2$ VCD shows rebounds in 2007 and 2011 which are potentially associated with the economic resurgence stimulated by the central government of China (He et al., 2009; Diao et al., 2012). The reduction of SO$_2$ VCD after 2011 in these regions reflects virtually the response of SO$_2$ emissions to the regulations in the reduction of SO$_2$ release, the mandatory application of the flue-gas desulfurization (FGD) on coal-fired power plants and heavy industries, and the slowdown in the growth rate of the Chinese economy (CSC, 2011a; Wang et al., 2015; Chen et al., 2016).

Since in the MK test the signs and fluctuations of UF$_k$ are often used to predict the trend of a time series, this approach is further applied to quantify the trends and step changes in annual SO$_2$ VCD time series in those highlighted regions (a–f) in Fig. 4b from 2005 to 2015. Results are illustrated in Fig. 6. As shown, the forward and backward sequences UF$_k$ and UB$_k$ intersect at least once from 2005 to 2015. These intersections are all well within the confidence levels between −1.96 and 1.96 at the statistical significance $\alpha = 0.01$. A common feature of the forward sequence UF$_k$ in eastern and southern China provinces is that UF$_k$ has been declining and become negative from 2007 to 2009 onward (Fig. 6a–d), confirming the downturn of SO$_2$ atmospheric emissions and levels in these industrialized and well-developed regions in China. The step change points of OMI-measured SO$_2$ VCDs in the NCP, YRD, and Sichuan Basin occurred between 2012 and 2013. These step change points coincide with the implementation of the new Ambient Air Quality Standard in 2012, which set a lower ambient SO$_2$ concentration limit in the air (MEPC, 2012), and the Air Pollution Prevention and Control Action Plan in 2013 by the State Council of China (CSC, 2013a). This action plan recommends taking immediate actions to control and reduce air pollution in China, including cutting down industrial and mobile emission sources, adjusting industrial and energy structures, and promoting the application of clean energy in the BTH, YRD, PRD, and Sichuan Basin. The step change in SO$_2$ VCD over the PRD occurred in 2009–2010 and from this period onward the decline of SO$_2$ VCD speeded up, as shown by the forward sequence UF$_k$ which became negative after 2007 and was below the confidence level of −1.96 after 2009, suggesting significant decreasing VCD from 2009 (Fig. 6c). In April 2002, the Hong Kong Special Administrative Region (HKSAR) government and the Guangdong provincial government reached a consensus to reduce, on a best endeavor basis, the anthropogenic emissions of SO$_2$ by 40% in the PRD by 2010, using 1997 as the base year (http://www.epd.gov.hk/epd/english/air_policy/blue_sky/summary_e.pdf). By the end of 2010, all thermal power units producing more than 0.125 million kW in the PRD were equipped with the FGD. During the 11th 5-year plan (2006–2010), the thermal power units with 1.2 million kilowatts capacity were shut down. SO$_2$ emissions were reduced by 18% in 2010 compared to that in 2005 (NBSC, 2006, 2011). This likely caused the occurrence of the step change in SO$_2$ VCD during 2009–2010.

### 3.2 OMI-measured SO$_2$ “hot spots” in northwestern China

As also shown in Fig. 4b, in contrast to widespread decline of SO$_2$ VCD, there are two “hot spots” featured by moderate increasing trends of SO$_2$ VCD, located in the EGT (Shen et al., 2016; Ma and Xu, 2017) and Ürümqi–Midong region in northwestern China. The annual growth rate of SO$_2$ VCD...
from 2005 to 2015 is 3.4 % yr\(^{-1}\) in the EGT and 1.8 % yr\(^{-1}\) in Ürümqi–Midong (Fig. 4b). SO\(_2\) VCD in these two regions peaked in 2011 and 2013 and was 1.6 and 1.7 times that in 2005 (Fig. 5). The rising SO\(_2\) VCD in the part of the EGT has been reported by Shen et al. (2016). The second hot spot is located in Ürümqi–Midong region, including MEIB, which is about 40 km away from Ürümqi. The EGT and MEIB are both characterized by extensive coal mining, thermal power generation, coal chemical, and coal liquefaction industries. The reserve of coal, oil, and natural gas in the EGT is approximately \(1.05 \times 10^{12}\) t of standard coal equivalent, accounting for 24 % of the national total energy reserve in China (CRGECR, 2015). It has been estimated that there are deposits of 20.86 billion t of oil, 1.03 billion m\(^3\) of natural gas, and 2.19 trillion t of coal in Xinjiang, accounting for 30, 34, and 40 % of the national total (Dou, 2009).

Over the past decades, a large number of energy-related industries have been constructed in northwestern China, such as the EGT and MEIB, to enhance China’s energy security in the 21st century and speed up the local economy. The rapid development of energy and coal chemical industries in Ningxia Hui Autonomous Region and Xinjiang of northwestern China alone resulted in significant demands to coal mining and coal products. The coal consumption, thermal power generation, and the gross industrial output increased by 2.7, 3.5, and 6.6 times in Ningxia from 2005 to 2015 and by 2.7, 4.2, and 6.6 times in Xinjiang during the same period (NBSC, 2005, 2015). As a result, SO\(_2\) emissions increased markedly in these regions, as shown by the increasing trends of SO\(_2\) VCD in the EGT and Ürümqi–Midong region (Fig. 4b).

The MK forward sequence further confirms the increasing SO\(_2\) VCD in the EGT and Ürümqi–Midong. As seen in Fig. 6e and f, the UF\(_k\) values for SO\(_2\) VCD are positive and growing, illustrating clear upward trends of SO\(_2\) VCD over these two large-scale energy industry bases, revealing the response of SO\(_2\) emissions to the energy industry relocation and development in northwestern China. To guarantee the national energy security and to promote the regional economy, the EGT energy program has been accelerating since 2003 under the national energy development and relocation plan (Zhu and Ruth, 2015; Chen et al., 2016), characterized by the rapid expansion of the NECIB, which is located about 40 km away from Yinchuan, the capital of Ningxia (Shen et al., 2016). By the end of 2010, a large number of coal chemical industries, including the world largest coal liquefaction and thermal power plants, have been built and operated, and the total installed capacity of thermal power generating units has reached 1.47 million kilowatts (Zhao, 2016). Under the same national plan, the MEIB in Xinjiang started construction and operation in the early to mid-2000s and has almost the same type of industry as the EGT, featuring coal-fired power generation, coal chemical industry, and coal liquefaction.

The statistically significant step change points of SO\(_2\) VCD in the EGT and Ürümqi–Midong took place in 2006 and 2009 (Fig. 6e and f), differing from those regions with decreasing trends of SO\(_2\) VCD in eastern and southern China. The first step change point in 2006–2007 corresponds to the increased SO\(_2\) emissions in these two large-scale energy bases until their respective peak emissions in EGT (2007) and Ürümqi–Midong (2008). The second step change point in 2009 coincides with the global financial crisis in 2008, which slowed down the economic growth in 2009 in China considerably, leading to raw material surplus and the remarkable reduction in the demand for coal products.

### 3.3 OMI SO\(_2\) time series and step change point year in northwestern China

The clearly visible “hot spots” featured by increasing OMI-measured SO\(_2\) VCD in the EGT/NECIB and MEIB raise a question: to what extent could these large-scale energy industrial bases affect the trend and fluctuations of SO\(_2\) emissions in northwestern China? Figure 7 illustrates the fractions (%) of OMI-measured annual SO\(_2\) VCD and SO\(_2\) emissions averaged over the six provinces of northwestern China in the annual national total VCD (Fig. 7a) and emissions (Fig. 7b) from 2005 to 2015. Both the SO\(_2\) VCD and emission fractions in northwestern China in the national total increased over the past decade. By 2015, the mean SO\(_2\) VCD fraction in six northwestern provinces had reached 38 % of the national total. The mean emission fraction was about 20 % in the national total. It should be noted that there were large uncertainties in provincial SO\(_2\) emission data which often underestimated SO\(_2\) emissions from major point sources (Li et al., 2017; Han et al., 2007). In this sense, OMI-retrieved SO\(_2\) VCD fraction provides a more reliable estimate to the contribution of SO\(_2\) emissions in northwestern China to the national total.

The annual percentage changes in SO\(_2\) VCD from 2005 onward are consistent well with the per capita SO\(_2\) emissions.
Figure 6. Mann–Kendall (MK) test statistics for annual SO\textsubscript{2} VCD in those highlighted regions (Figs. 1 and 4b) from 2005 to 2015. The blue solid line is the forward sequence UF\textsubscript{k} and the red solid line is the backward sequence UB\textsubscript{k} defined by Eq. (5). The positive values for UF\textsubscript{k} indicate an increasing trend of SO\textsubscript{2} VCD, and vice versa. Two straight solid lines stand for confidence interval between \(-1.96\) (straight green line) and 1.96 (straight purple line) in the MK test. The intersection of UF\textsubscript{k} and UB\textsubscript{k} sequences within the intervals between two confidence levels indicates a step change point.

Figure 7. Annual fractions of OMI-retrieved SO\textsubscript{2} VCD and emissions averaged over 6 northwestern provinces in the national total SO\textsubscript{2} VCD from 2005 to 2015 and emissions from 2005 to 2014. (a) Fraction of annual mean SO\textsubscript{2} VCD; (b) fraction of annual mean emissions. Fractions of SO\textsubscript{2} VCD are calculated as the ratio of the sum of annually averaged SO\textsubscript{2} VCD in northwestern China to the sum of annually averaged SO\textsubscript{2} VCD in the national total from 2005 to 2015 (%).

in China (Fig. 8). As aforementioned, while the annual total SO\textsubscript{2} emissions in the well-developed BTH, YRD, and PRD were higher than in northwestern provinces, the per capita emissions in all provinces of northwestern China, especially in Ningxia and Xinjiang where the NECIB and MEIB are located, were about factors of 1 to 6 higher than that in the
BTH, YRD, and PRD, as shown in Fig. 8. In contrast to declining annual emissions from the BTH, YRD, and PRD, the per capita SO\textsubscript{2} emissions in almost all western provinces have been growing since 2005.

Since almost all large-scale coal chemical, thermal power generation, and coal liquefaction industries were built in energy-abundant and sparsely populated northwestern China over the past 2 decades, particularly since the early 2000s, those large-scale industrial basins in this part of China likely play an important role in the growing SO\textsubscript{2} emissions in northwestern provinces. We further examine the OMI-retrieved SO\textsubscript{2} VCD to confirm and evaluate the changes in SO\textsubscript{2} emissions in northwestern China which should otherwise respond to those large-scale energy programs under the national plan for energy relocation and expansion. Figure 9 displays the MK test statistics for SO\textsubscript{2} VCD in the six provinces in northwestern China from 2005 to 2015. The forward sequence UF\textsubscript{k} suggests decreasing trends in Shaanxi and Gansu provinces and a moderate increase in Qinghai province. In Xinjiang and Ningxia, where the most energy industries were relocated and developed for the last decade (2005–2015), as previously mentioned, UF\textsubscript{k} time series estimated using SO\textsubscript{2} VCD data illustrate clear upward trends. Compared with those well-developed regions in eastern and southern China, the UF\textsubscript{k} values of SO\textsubscript{2} VCD in these northwestern provinces are almost all positive, except for Shaanxi province where the UF\textsubscript{k} turned to negative from 2008 and Gansu province where the UF\textsubscript{k} value become negative during 2012–2013.

The step change points identified by the MK test for SO\textsubscript{2} VCD in northwestern China appear strongly associated with the development and use of coal energy. As shown in Fig. 9, the intersection of the forward and backward sequences UF\textsubscript{k} and UB\textsubscript{k} within the confidence levels of \(-1.96\) (straight green line) to 1.96 (straight purple line) can be identified in 2006 and 2007 in Ningxia and Xinjiang, respectively, corresponding well to the expansion of two largest energy industry bases from 2003 onward in Ningxia (NECIB) and Xinjiang (MEIB). The step change point of SO\textsubscript{2} VCD in 2012 in Gansu province coincides with fuel switching from coal to gas in the capital city (Lanzhou) and many other places of the province initiated from 2012 (CSC, 2013b). The MK-derived step change point in Shaanxi province occurred in 2010, which was a clear signal of marked decline of fossil fuel products in northern Shaanxi (where, as the part of the EGT (Ma and Xu, 2017) of China, the largest energy industry base in the province is located) right after the global financial crisis.

It is interesting to note that the forward sequences UF\textsubscript{k} of SO\textsubscript{2} VCD (Fig. 9e and f) in Ningxia and Xinjiang exhibit similar fluctuations as in Ningdong (NECIB) and Ürümqi-Midong (MEIB) (Fig. 9e and f), manifesting the potential associations between the SO\textsubscript{2} emissions in these two large-scale energy industrial bases (major point sources) and provincial emissions in Ningxia and Xinjiang, respectively. This suggests that large-scale energy industrial bases might likely overwhelm or play an important role in the SO\textsubscript{2} emissions in those energy-abundant provinces in northwestern China. Figure 10 illustrates mean SO\textsubscript{2} VCD from 2005 to 2015 in northern Xinjiang (Fig. 10a) and Ningxia (Fig. 10b). The largest concentrations can be seen clearly in the MEIB and the NECIB in these two minority autonomous regions of China. Lower SO\textsubscript{2} concentrations are illustrated in mountainous areas of northern Xinjiang. Based on inverse modeling of SO\textsubscript{2} burdens (\(a, 10^{26}\) molecules) in the source detection model (Sect. 2.4), we estimated SO\textsubscript{2} emissions (\(E, \text{kt yr}^{-1}\)) in the NECIB and MEIB from 2005 to 2015, defined by \(E = a / \tau\), where \(\tau\) is a decay time of SO\textsubscript{2} (Sect. 2.4). The results are illustrated in Fig. 11. As shown, the SO\textsubscript{2} emissions increased from 2005 and reached the maximum in 2011 in the NECIB and declined thereafter, in line with the annual SO\textsubscript{2} VCD fluctuations in this energy industry base, which is, as already mentioned, attributable to the economic rebound in 2011 in China. Of particular interest are the large fractions of the estimated SO\textsubscript{2} emissions in the NECIB in Ningxia province (Fig. 11a) from 2005 to 2015. These large fractions suggest that this energy industry park alone contributed up to 50 % or more emissions to the provincial total SO\textsubscript{2} emissions. Likewise, the OMI SO\textsubscript{2} VCD-derived SO\textsubscript{2} emissions in the MEIB also made an appreciable contribution (15–20 %) to the provincial total SO\textsubscript{2} emissions in Xinjiang. Covered by a large area of Gobi desert (Junggar Basin), there are only a few SO\textsubscript{2} emission sources in the vast northern Xinjiang region (total area of Xinjiang is 1.66 × 10\textsuperscript{6} km\textsuperscript{2}). This likely leads to the small fractions of SO\textsubscript{2} emissions in the MEIB in the total SO\textsubscript{2} emissions in Xinjiang. Figure 11c and d show SO\textsubscript{2} VCDs (the left y axis) and the ratios (the right y axis) of the mean VCDs in NECIB and MEIB to the provincial mean VCDs in Ningxia and Xinjiang from 2005 to 2015, respectively. It can be seen that the maximum mean SO\textsubscript{2} VCD over the MEIB is about a factor of 4.5 greater than the mean SO\textsubscript{2} VCD over Xinjiang province (Fig. 11d). This ratio
Figure 9. Same as Fig. 6 but for Mann–Kendall (MK) test statistics for annually averaged SO$_2$ VCD in six provinces in northwestern China from 2005 to 2015.

is larger than the ratio (2.9) of the SO$_2$ VCD in the NECIB to the SO$_2$ VCD averaged over Ningxia province (Fig. 11c). Nevertheless, overall our results suggest that, although there were only a small number of SO$_2$ point sources in these two energy industrial bases, the SO$_2$ emissions from the NECIB and MEIB made significant contributions to provincial total emissions. Given that the national strategy for China’s energy expansion and safety during the 21st century is, to a large extent, to develop large-scale energy industry bases in northwestern China, particularly in Xinjiang and Ningxia (Zhu and Ruth, 2015; Chen et al., 2016) where the energy resources are most abundant in China, we would expect that the rising SO$_2$ emissions in northwestern China would increasingly be attributed to those large-scale energy industry bases and contribute to the national total SO$_2$ emissions in China.

Table 1 presents the annual average growth rates of SO$_2$ VCD, industrial (second) GDP, and major coal-consuming industries in northwestern China and three developed areas (BTH, YRD, PRD) in eastern and southern China. The positive growth rates of SO$_2$ VCD in three provinces and autonomous regions (Qinghai, Ningxia, and Xinjiang) of northwestern China. Although the growth rates of SO$_2$ VCD in other two provinces (Gansu and Shaanxi) are negative, the magnitudes of the negative growth rates are smaller than those in the BTH, YRD, and PRD, except for Zhejiang province in the YRD. This regional contrast reflects both their economic and energy development activities and the SO$_2$ emission control measures implemented by the local and central governments of China. Although China has set a national target of 10% SO$_2$ emission reduction (relative to 2005) during 2006–2010 and 8% (relative to 2010) during 2011–2015 (CSC, 2007, 2011b), under the Grand Western Development Program of China, the regulation for SO$_2$ emission control was waived in those energy-abundant provinces of northwestern China in order to speed up the large-scale energy industrial bases and local economic development and improve local personal income. Also, although FGDs were widely installed in coal-fired power plants and other industrial sectors since the 1990s, by 2010 as much as 57% of these systems were installed in eastern and southern China (Zhao et al., 2013). The capacity of small power generators which were shut down in western China was merely about 10 808 MW, only accounting for about 19% of the capacity of total small power plants which were eliminated.
Figure 10. Annually averaging OMI-retrieved vertical column densities of SO$_2$ (DU) in two major point sources: the MEIB in Xinjiang (a) and the NECIB in Ningxia (b).

Figure 11. Annually averaged SO$_2$ emissions (kt yr$^{-1}$) and SO$_2$ VCD (DU) in the NECIB and MEIB as well as their fractions in provincial total SO$_2$ emissions and ratios between SO$_2$ VCD in these two regions and that in the provinces. (a) SO$_2$ emissions (blue bar) in the NECIB and its fraction (red solid line) of the total provincial SO$_2$ emissions in Ningxia. The left y axis is SO$_2$ emissions, the right y axis denotes the fraction (%) at the upper panel, and the error bars denote the standard deviations of source-detection-algorithm-estimated SO$_2$ emission point sources; (b) same as Fig. 11a but for the MEIB. (c) SO$_2$ VCD (blue bar) in the NECIB and the ratio (red solid line) between SO$_2$ VCD in the NECIB and that in Ningxia. The left y axis stands for SO$_2$ VCD (DU) and the right y axis denotes the ratio at the lower panel; (d) same as Fig. 11c but for the MEIB.
in China (55 630 MW) during the 11th 5-year plan period (2006–2010) (Cui et al., 2016). As shown in Table 1, the SO$_2$ emission reduction plans virtually specified the zero percentage of SO$_2$ emission reductions in Qinghai, Gansu, and Xinjiang and lower reduction percentage in the emission reduction in Ningxia and Inner Mongolia as compared to eastern and southern China during the 11th (2006–2010) and 12th (2011–2015) 5-year plans. As a result, the average growth rate for thermal power generation, steel production, and coal consumption from 2005 to 2015 in northwestern China reached 14.1, 35.7, and 11.9 % yr$^{-1}$, considerably higher than the averaged growth rates over eastern and southern China (5.9 % yr$^{-1}$ in the BTH, 0.8 % yr$^{-1}$ in the YRD, and 2.3 % yr$^{-1}$ in the PRD).

4 Conclusions

The spatiotemporal variation in SO$_2$ concentration during 2005–2015 over China was investigated by making use of the PBL SO$_2$ column concentrations measured by the OMI. The highest SO$_2$ VCD was found in the NCP, the most heavily SO$_2$-polluted area in China, including Beijing–Tianjin–Hebei, Shandong, and Henan. Under the national regulation for SO$_2$ control and emission reduction, the SO$_2$ VCD in eastern and southern China underwent widespread decline during this period. However, the OMI-measured SO$_2$ VCD detected two “hot spots” in the EGT (Ningxia–Shaanxi–Inner Mongolia) and Midong (Xinjiang) energy industrial bases, in contrast to the declining SO$_2$ emissions in eastern and southern China, displaying an increasing trend with the annual growth rate of 3.4 % yr$^{-1}$ in the EGT and 1.8 % yr$^{-1}$ in Midong. The trend analysis further revealed enhanced SO$_2$ emissions in most provinces of northwestern China likely due to the national strategy for energy industry expansion and relocation in energy-abundant northwestern China. As a result, per capita SO$_2$ emissions in northwestern China have exceeded industrialized and populated eastern and southern China, making increasing contributions to the national total SO$_2$ emissions. The estimated SO$_2$ emissions in the Ningdong (Ningxia) and Midong (Xinjiang) energy industrial bases from OMI-measured SO$_2$ VCD showed that the SO$_2$ emissions in these two industrial bases made significant contributions to the total provincial emissions. This indicates, on one hand, that the growing SO$_2$ emissions in northwestern China would increasingly come from those large-scale energy industrial bases under the national energy development and relocation plan. On the other hand, this fact also suggests that it is likely more straightforward to control and reduce SO$_2$ emissions in northwestern China because the SO$_2$ control measures could be readily implemented and authorized in those state-owned large-scale energy industrial bases.

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Data availability. The OMI SO$_2$ product (OMSO2 L3 V003) is publicly available from the NASA Goddard Earth Sciences (GES) Data and Information Services Center (DISC) at https://mirador.gsfc.nasa.gov/cgi-bin/mirador/presentNavigation.pl?tree=project&dataGroup=L3_V003. Other data reported in this article are available upon request. Please contact the corresponding authors, Jianmin Ma (jianminma@lzu.edu.cn) or Tao Huang (huangt@lzu.edu.cn).

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