OMI satellite observations of decadal changes in ground-level sulfur dioxide over North America

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Abstract. Sulfur dioxide (SO₂) has a significant impact on the environment and human health. We estimated ground-level sulfur dioxide (SO₂) concentrations from the Ozone Monitoring Instrument (OMI) using SO₂ profiles from the Global Environmental Multi-scale – Modelling Air quality and Chemistry (GEM-MACH) model over North America for the period of 2005–2015. OMI-derived ground-level SO₂ concentrations (r = 0.61) and trends (r = 0.74) correlated well with coincident in situ measurements from air quality networks over North America. We found a strong decreasing trend in coincidentally sampled ground-level SO₂ from OMI (−81 ± 19 %) and in situ measurements (−86 ± 13 %) over the eastern US for the period of 2005–2015, which reflects the implementation of stricter pollution control laws, including flue-gas desulfurization (FGD) devices in power plants. The spatially and temporally contiguous OMI-derived ground-level SO₂ concentrations can be used to assess the impact of long-term exposure to SO₂ on the health of humans and the environment.

1 Introduction

Sulfur dioxide (SO₂) is a short-lived atmospheric trace gas emitted into the atmosphere from natural (e.g. volcanic eruption, oxidation of dimethylsulfate (DMS) over oceans) and anthropogenic sources (e.g. combustion of fossil fuels and smelting of sulfur-containing metal ores), and plays a pivotal role in the global sulfur cycle. SO₂ has a short life-time of hours to days, and it oxidizes quickly in the atmosphere to produce sulfate aerosols that affect the climate (IPCC, 2013) and the environment from local to regional and global scales. Sulfate aerosols are a major contributor to PM₂.₅ (particulate matter with aerodynamic diameter < 2.5 μm) chemical composition and account for 17 and ~30 % of the annual mean PM₂.₅ mass globally and over the eastern United States (Philip et al., 2014). Sulfate aerosol formation leads to degradation in visibility and air quality (van Donkelaar et al., 2008) and deposition of sulfuric acid (Dentener et al., 2006; Vet et al., 2014), and poses a serious health hazard to the general population (Lee et al., 2015). The increased risk of premature mortality associated with SO₂ alone or its secondary pollutants has been emphasized in several epidemiological studies (Chinn et al., 1981; Derriennic et al., 1989; Hatzakis et al., 1986; Krzyzanowski and Wojtyniak, 1982). Furthermore, it has been recently reported by Lelieveld et al. (2015) using the EMAC (ECHAM5/MESy Atmospheric Chemistry) general circulation model that in the US, in addition to agricultural emissions (an important source of ammonia, NH₃), emission from coal-fired power plants (an important source of SO₂ and nitrogen oxides, NOₓ) was the largest contributor to premature mortality in 2010. Due to the adverse impact on the environment and human health, SO₂ and its oxidation products (i.e. fine particulate matter, PM₂.₅) are considered designated criteria pollutants in the European Union (European Commission, http://ec.europa.eu/environment/air/quality/standards.htm), the United States of America (US
Environmental Protection Agency (EPA), https://www.epa.gov/criteria-air-pollutants) and Canada (https://www.ec.gc.ca/Air/default.asp?lang=En&n=7C43740B-1).

Globally, atmospheric SO₂ is monitored regularly through a relatively small number of measurement networks that produce accurate measurements but over a limited spatial area. Satellite measurements have the advantage of providing complete daily global coverage of SO₂. Satellite observations of SO₂ vertical column density (VCD) began in the 1980s, but the launch of the Ozone Monitoring Instrument (OMI) (Krotkov et al., 2006; Yang et al., 2007) on the Aura satellite in 2004 has enabled large point sources to be resolved (Krotkov et al., 2006; Yang et al., 2007) on the Aura satellite. Satellite measurements of SO₂ have been used to identify and analyze emissions (Fioletov et al., 2011, 2013, 2015, 2016; Lee et al., 2011; McLinden et al., 2016a), track changes in total column density in various regions, including Canadian oil sands, the eastern US, eastern Europe, eastern China, India and the Middle East (McLinden et al., 2016b; Krotkov et al., 2016), and estimate dry deposition flux (Nowlan et al., 2014). In previous studies (Lee et al., 2011; Nowlan et al., 2011), ground-level SO₂ concentrations were estimated for only a 1-year period using satellite observations over North America. However, multi-year spatial variations in ground-level SO₂ have not yet been assessed from the satellite observations. In contrast to total column SO₂, long-term records of ground-level SO₂ concentrations from satellite observations will be directly useful to assess air quality and associated health risks. Recently, a decreasing trend in SO₂ emissions and particulate sulfate has been reported by Hand et al. (2012) over the United States from the early 1990s through 2010.

In this paper we first describe the OMI SO₂ product, in situ measurement network, the GEM-MACH (Global Environmental Multi-scale – Modelling Air quality and Chemistry) air quality model, ground-based SO₂ estimation from the OMI and trend analysis. We then use these data and this methodology to estimate ground-level SO₂ from the OMI and evaluate it with coincident in situ measurements over North America for the period of 2005–2015. These results are then used to determine the trend in ground-level SO₂ from both OMI and collocated in situ measurements.

2 Data sets and methodology

2.1 OMI

The OMI is a nadir-viewing UV-visible spectrometer boarded on the Aura satellite that was launched in July 2004 and is part of the NASA A-train constellation (Lelieveld et al., 2006). The Aura satellite overpasses the Equator in the early afternoon (13:00–14:30 local time) in a sun-synchronous ascending polar orbit. The OMI provides daily global coverage of aerosols and trace gases, including SO₂, with a variable ground spatial resolution of 13 km × 24 km at nadir to 140 km × 26 km at swath edge. We use the OMI operational principal component analysis (PCA) SO₂ product (OMSO2 v1.2.0), which is publicly available from the NASA Goddard Earth Sciences (GES) Data and Information Services Center (DISC) (http://disc.sci.gsfc.nasa.gov/Aura/data-holdings/OMI/OMSO2_v003.shtml). The details of the PCA algorithm can be found elsewhere (Li et al., 2013). In brief, this algorithm applies the PCA technique to OMI-measured radiances between 310.5 and 340 nm to extract principal components from each row of data on an orbital basis. The PCA algorithm replaced the band residual difference (BRD) algorithm (Krotkov et al., 2006) as the operational algorithm for the standard OMI SO₂ data because only the latter algorithm was biased (Fioletov et al., 2013; Krotkov et al., 2016). Also, SO₂ retrieval variability is reduced by a factor of 2 in the PCA algorithm relative to the BRD algorithm (Li et al., 2013). Even though the PCA algorithm directly estimates SO₂ vertical column density in one step using SO₂ Jacobians, the air mass factor (AMP) is effectively fixed at 0.36 (representing summertime conditions in the eastern USA), similar to the BRD algorithm. A better estimation of AMFs is needed for different regions to reduce these systematic errors that result from conditions that do not match these. For this, we re-calculated the AMFs using SO₂ profile information from the high-resolution (15 km × 15 km) GEM-MACH air quality forecast model (discussed in Sect. 2.3), monthly-varying surface reflectivity from the MODIS satellite instruments, and an improved identification of snow. More details on Environment Canada air mass factor calculation for SO₂ are discussed in McLinden et al. (2014, 2016b). Here, we exclude the cross-track pixels affected by the row anomaly (http://www.knmi.nl/omi/research/product/rowanomaly-background.php), which was first noticed in the data in June 2007. We use OMI SO₂ columns with cloud radiance fractions < 0.2, and solar zenith angles < 65° following Nowlan et al. (2014). We exclude from the analysis the OMI SO₂ data affected by the largest northern mid-latitude volcanic eruptions in the OMI time frame, namely Kasatochi (Aleutian Islands, Alaska, August 2008, 52° N) and Sarychev (Kuril Islands, eastern Russia, June 2009, 48° N). Here, we used the mean OMI values over a 32 km averaging radius (Fioletov et al., 2011) that is oversampled onto a 0.1° × 0.1° latitude–longitude grid.

2.2 SO₂ monitoring networks

To evaluate the OMI-derived ground-level SO₂ we use hourly in situ SO₂ measurements from the Air Quality System (AQS) network of the US EPA (http://www.epa.gov/tnn/airs/airsaqsdetaildata/downloadaqsdatalogo.htm) and Environment and Climate Change Canada’s National Air Pollution Surveillance (NAPS) network (http://maps-cartes.ec.gc.ca/rnspa-naps/data.aspx) over the US and Canada for the period of 2005–2015. US-EPA AQS site locations vary from
regional background to urban and industrial locations, and measure SO\textsubscript{2} using continuous gas monitors. The Canadian NAPS sites are generally located in populated areas. The hourly in situ measurements are averaged over a 2 h period (13:00–15:00 local time) to correspond to the satellite observation times over North America.

2.3 Model information

We use the Global Environmental Multi-scale – Modelling Air quality and CHEmistry (GEM-MACH) model for the tropospheric SO\textsubscript{2} profile to relate the OMI SO\textsubscript{2} column to ground-level concentrations. GEM-MACH is the Canadian regional air quality forecast model used operationally to predict the concentrations of O\textsubscript{3}, NO\textsubscript{2}, and PM\textsubscript{2.5} over North America (Moran et al., 2010; Gong et al., 2015). The GEM-MACH model utilizes emissions inventories from US EPA and Environment Canada data for the year 2006. It uses detailed tropospheric processes for gas and particle chemistry and microphysics originating in the offline AURAMS model (A Unified Regional Air-quality Modelling System; Gong et al., 2006), and incorporates them online into the Canadian weather forecast model (Global Environmental Multiscale model, Côté et al., 1998). A detailed description of the chemical processes found in AURAMS and GEM-MACH is provided elsewhere (Kelly et al., 2012). The results used here are from archived forecasts from 2010 to 2011 for a domain covering North America at 15 km × 15 km resolution. The lowest model layer, which is 20 m thick, is taken as the ground-level concentration.

2.4 Estimation of ground-level SO\textsubscript{2} from the OMI

The ground-level SO\textsubscript{2} mixing ratio from the OMI is estimated using the approach described by Lambsal et al. (2008) over North America for the period of 2005–2015. The ground-level SO\textsubscript{2} mixing ratio \( S \) is estimated from the local OMI tropospheric SO\textsubscript{2} column \( \Omega \) as

\[
S_{\text{OMI}} = \frac{\Omega_{\text{OMI}} \times S_{\text{model}}}{\Omega_{\text{model}}}. \tag{1}
\]

The subscript model represents the GEM-MACH model. More details on the procedure are discussed in McLinden et al. (2014).

2.5 Trend analysis

We analyzed the trends in monthly ground-level SO\textsubscript{2} over North America from OMI and in situ measurements for the period of January 2005–December 2015. We applied a general least squares regression following Boys et al. (2014) and Kharol et al. (2015) using the basic model

\[
x = z\beta + e, \quad e \sim N\left(0, \sigma^2\mathbf{V}\right), \tag{2}
\]

where, for a time series of \( n \) months, \( x \) is a time series vector \((n \times 1)\) containing SO\textsubscript{2} surface mixing ratio values; \( z \) is a design matrix \((n \times 2)\) for the linear model; \( \beta \) is a vector \((2 \times 1)\) containing the intercept and slope of the linear model; \( e \) is an error vector \((n \times 1)\) containing the residuals which, for validity, should be approximately normally distributed with zero mean, but which is permitted to covary with adjacent values according to \( \mathbf{V} \) – a positive definite, symmetric covariance matrix, to accommodate possible autocorrelation between adjacent months. Correlated errors between adjacent months are represented by a first-order autoregressive model of \( e \), which can be expressed as

\[
e_t = \omega e_{t-1} + w_t \quad t = 1, \ldots, n, \quad w \sim N\left(0, \sigma^2 I\right), \tag{3}
\]

where the residual \( e_t \) for month \( t \) is a fraction \( \omega \) of the previous month’s residual \( e_{t-1} \) with a white noise component \( w_t \), which, for validity, should be approximately normally distributed with zero mean, constant variance and be independent \( J \). We deseasonalized the monthly time series by subtracting the climatological monthly median prior to regression. Note that the trend is more heavily weighted toward summer, when observations are more frequent.

3 Results and discussion

Figure 1 shows the spatial distribution of mean OMI-derived ground-level SO\textsubscript{2} over North America for the periods of 2005–2007, 2008–2010, 2011–2015 and 2005–2015. The major SO\textsubscript{2} hotspots (that is, locations of high SO\textsubscript{2} associated with a large nearby source) are primarily located in the eastern US from coal-fired power plants and industrial activities (Krotkov et al., 2016). There are far fewer sources in the western US and Canada, with a few notable exceptions such as Flin Flon (54.77\degree N, 101.88\degree W; copper smelter), Sudbury (46.52\degree N, 80.95\degree W; copper and nickel smelter), Thompson (55.74\degree N, 97.85\degree W; metal ore mining), Montreal (45.50\degree N, 73.56\degree W), the oil sands region in northern Alberta and power plants nearby Edmonton. The spatial distribution of annual mean OMI-derived ground-level SO\textsubscript{2} for each year is shown in supporting information in Fig. S1 in the Supplement. A noticeable decrease in OMI-derived ground-level SO\textsubscript{2} is apparent from Fig. 1 during 2008–2010 and 2011–2015 compared to 2005–2007. These US reductions correspond to the installation of flue-gas desulfurization (FGD) units at many power plants to meet stricter emissions limits introduced by the Clean Air Interstate Rule. The closure of Flin Flon (54.77\degree N, 101.88\degree W) copper smelter in June 2010 is also apparent in OMI-derived ground-level SO\textsubscript{2} during 2011–2015 (Fig. 1). The OMI-derived ground-level SO\textsubscript{2} concentrations over a large geographical area could be useful to assess its impact on human health and environment. It can also provide valuable information to policy makers where air quality network measurements are not available.

To verify these satellite findings, we compared the OMI-derived ground-level SO\textsubscript{2} concentrations with in situ measurements over North America for the period of 2005–2015.

Figure 2. Scatter plot of the annual mean OMI-derived ground-level SO$_2$ versus collocated in situ measurements for the years of 2005–2015. Filled black circles represent the original in situ values, and red circles represent the comparison with spatially inhomogeneity adjusted in situ values.

The original OMI-derived ground-level SO$_2$ concentration (black circles) moderately correlates with collocated in situ measurements ($r = 0.61$), but has a significant difference in slope ($slope = 0.39$) (Fig. 2). The departure from unity of the slope is a common feature of virtually all satellite-surface comparisons of this kind (Kharol et al., 2015), and can be a result of both the in situ monitor placements (i.e. mainly located in the cities and close to pollution sources) and differences in the spatial sampling of the two types of observations. To quantify this inhomogeneity effect we utilized output from the GEM-MACH model at high resolution (2.5 km × 2.5 km; supporting information in Fig. S2) over a region in central Canada. These high-resolution GEM-MACH SO$_2$ columns at the locations of the in situ monitors were taken as representative of point (in situ) measurements. The model SO$_2$ columns were then progressively averaged up (smoothed) to 30 km × 30 km, approximately representing the spatial size of an OMI pixel. The smoothed columns are regressed against the unsmoothed columns. The slope and correlation coefficient continue to decrease from unity as the smoothing is increased. We used this estimate of the spatial inhomogeneous sampling obtained from the original (2.5 km) vs. smoothed (30 km) GEM-MACH SO$_2$ column (supporting information in Fig. S3) to derive a scaling factor (in situ scaled = 0.52 × (in situ) + 0.04, $R = 0.83$) that is used to adjust the in situ measurements to be representative of the OMI pixel size over all of North America. We noticed an ~ 92% increase in slope to 0.75 when comparing the spatial inhomogeneity adjusted in situ measurements with the OMI ground-level SO$_2$ (red circles in Fig. 2). In comparison to previous studies, Lee et al. (2011), comparing ground-level SO$_2$ mixing ratios derived from SCIAMACHY
and the OMI with in situ measurements from US-EPA AQS and NAPS monitoring networks over the United States and Canada for the year of 2006, reported slightly higher correlation ($r = 0.86$, slope $= 0.91$ for SCIAMACHY and $r = 0.80$, slope $= 0.79$ for the OMI). In their study they used a 15 km coincidence criterion and included only AQS sites measuring less than 6 ppbv at satellite overpass times. Nowlan et al. (2011) estimated ground-level SO$_2$ from GOME-2 and compared with in situ measurements over North America from the Clear Air Status and Trends Network (CASTNET; $r = 0.85$) and US-EPA AQS and NAPS ($r = 0.40$) for 2008.

We determined the trend in ground-level SO$_2$ from the OMI using the monthly time series from January 2005 to December 2015. Figure 3 illustrates the spatial distribution of the OMI-derived ground-level SO$_2$ trend over North America for the period of 2005–2015. We noticed a strong decreasing trend in ground-level SO$_2$ over the eastern US and Flin Flon in Canada. The observed decrease in ground-level SO$_2$ concentration in the eastern US corresponds to stricter pollution control laws implemented to reduce SO$_2$ emissions and the installation of FGD devices in power plants (Fioletov et al., 2011; Krotkov et al., 2016). Furthermore, we estimated the trend in ground-level SO$_2$ at in situ locations collocated with the OMI. Figure 4a and b show the trend in ground-level SO$_2$ from the OMI and collocated in situ measurements over North America for the period of 2005–2015. Both in situ and OMI-derived ground-level SO$_2$ mixing ratios show a strong decreasing trend over the eastern US mainly at locations close to power plants. Figure 4c shows the scatter plot of trends in ground-level SO$_2$ from collocated in situ measurements and the OMI. The OMI-derived trends are significantly correlated ($r = 0.74$) with collocated in situ trends. As expected the slope of 0.43 is similar to the absolute concentration slope (Fig. 2) and reveals the difference in absolute trend.

Figure 5 shows the percentage change compared to 2005 in annual mean ground-level SO$_2$ concentration from coincidently sampled OMI and in situ measurements and total SO$_2$ emissions from power plants over the eastern US. The geographical locations of stations considered over the eastern US are shown inside the blue color box within the inset map. Both OMI and in situ measurements show $-81 \pm 19\%$ and $-86 \pm 13\%$ decreases in ground-level SO$_2$ over the eastern US, respectively. Earlier OMI SO$_2$ column studies reported 40% (Fioletov et al., 2011) and 80% (Krotkov et al., 2016) decreases near power plants in the eastern US and Ohio River Valley for the periods of 2005–2010 and 2005–2015, respectively. Furthermore, we derived a decrease of 64 ± 18% from spatially averaged OMI-derived ground-level SO$_2$ (Fig. 3) over the eastern US from the entire domain (blue box in Fig. 5). The observed decrease in ground-level SO$_2$ from OMI and in situ measurements is in agreement with the US EPA reported decrease of about 70% in total US SO$_2$ emissions (https://www3.epa.gov/airtrends/aqtrends.html). Figure 6 shows that bottom-up SO$_2$ emissions and OMI-derived ground-level SO$_2$ concentrations are temporally correlated even for larger individual point sources, namely the Bowen power plant (34.13° N, 84.92° W), USA, and Flin Flon copper smelter (54.77° N, 101.88° W), Canada. The bottom-up emissions data for these sites are obtained from the US EPA (2016) and National Pollutant Release Inventory (NPRI, 2017), respectively.

Recently Philip et al. (2014) analyzed the PM$_{2.5}$ chemical composition over North America from the satellite data and reported that sulfate aerosols contribute $\sim 30\%$ in ground-level PM$_{2.5}$ mass concentration over the eastern US. Here, the ground-level sulfate PM$_{2.5}$ mass concentration is estimated by applying the sulfate fraction from Philip et al. (2014) to the total PM$_{2.5}$ mass concentration inferred using the method of van Donkelaar et al. (2010), which uses information from satellites, models and monitors. Fig.
Figure 4. Trends in ground-level SO\textsubscript{2} for the period of 2005–2015. Panels (a, b) show trends inferred from in situ measurements at the OMI overpass and from the OMI for the period of 2005–2015. The filled circle represents where trend \( p \) values < 0.05 and trend \( p \) values > 0.05 are shown as empty circles. Panel (c) contains scatter plots of trends for the period of 2005–2015.

Figure 5. Percent change in the ground-level SO\textsubscript{2} mixing ratio from 2005 over the eastern US and southern Ontario, Canada. The in situ and OMI ground-level SO\textsubscript{2} percent change are shown in black and red color, respectively. Blue circles show changes in total SO\textsubscript{2} emissions. The locations of in situ measurement stations over the eastern US and southern Ontario, Canada (blue box), are shown in the inset map. The error bars represent the 1 standard error of the mean.

Figure 7 shows the spatial distribution of OMI SO\textsubscript{2} vertical column density (panel a) and sulfate PM\textsubscript{2.5} mass concentration (panel b) over the eastern US for the period of 2005–2008. The locations of large (> 18.98 kt[SO\textsubscript{2}] yr\textsuperscript{−1} in 2006) power plants (largest contributor to SO\textsubscript{2} emissions) and 2005–2008 average boundary-layer winds from an ECMWF (European Center for Medium range Weather Forecasting) reanalysis (Dee et al., 2011) are overlaid on the plots as circles and arrows, respectively. This demonstrates that SO\textsubscript{2} VCD influences air quality locally due to its shorter atmospheric lifetime. However, sulfate PM\textsubscript{2.5}, with a longer atmospheric lifetime, influences air quality locally as well as downwind through long-range transport. It is evident from Fig. 7 that column SO\textsubscript{2} and sulfate PM\textsubscript{2.5} hotspots are collocated around and downwind of power plant locations. There is only a moderate spatial correlation \( (r = 0.60) \) between OMI SO\textsubscript{2} and sulfate PM\textsubscript{2.5}, but given that sulfate is largely a secondary pollutant, this is not surprising. It was also found that there is a saturation effect at high SO\textsubscript{2} VCDs (Fig. S4).

4 Conclusions

We examined the spatial and temporal characteristics of the ground-level SO\textsubscript{2} concentration from the OMI over North America during the period from 2005 to 2015. OMI-derived ground-level SO\textsubscript{2} concentrations and trends correlate well with in situ measurements \( (r = 0.61 \) and 0.74, respectively), with a significant bias in slope. Once the in situ observa-
Figure 6. Time series of bottom-up annual SO\textsubscript{2} emissions and OMI-derived ground-level SO\textsubscript{2} concentrations for Bowen power plant (34.13° N, 84.92° W), USA, and Flin Flon copper smelter (54.77° N, 101.88° W), Canada. The dashed orange line represents the zero line in the Flin Flon, Canada, plot. Bottom-up SO\textsubscript{2} emissions data are not available after 2011 due to the closure of Flin Flon copper smelter. The error bars represent the 1 standard error of the mean.

Figure 7. Spatial distribution of (a) satellite-derived SO\textsubscript{2} vertical column density (VCD) and (b) sulfate PM\textsubscript{2.5} mass concentration over the eastern US and southern Ontario, Canada. The power plant locations overlaid on both panels are shown as circles. ECMWF model-derived ground-level winds overlaid on the sulfate PM\textsubscript{2.5} mass concentration map are shown with arrows.

Data availability. The OMI operational principal component analysis (PCA) SO\textsubscript{2} product (OMSO2 v1.2.0) was obtained from the NASA Goddard Earth Sciences (GES) Data and Information Services Center (DISC) (http://disc.sci.gsfc.nasa.gov/Aura/data-holdings/OMI/omso2_v003.shtml). The in situ SO\textsubscript{2} measurements were obtained from the Air Quality System (AQS) network of the US EPA (http://www.epa.gov/tnn/airs/airsaqs/detaildata/ downloadaqdata.htm) and Environment and Climate Change Canada’s National Air Pollution Surveillance (NAPS) network (http://maps-cartes.ec.gc.ca/rnspa-naps/data.aspx). The bottom-up
emissions data were obtained from the US EPA (2016) (http://
www.epa.gov/air-emissions-inventories) and the National Pollutant
Release Inventory (NPRI, 2017) (http://www.epa.gov/air-emissions-
inventory/default.asp?lang=En&n=0EC58C98-). The OMI-SO2 data used in
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