



# Long-term chemical analysis and organic aerosol source apportionment at nine sites in central Europe: source identification and uncertainty assessment

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**Abstract.** Long-term monitoring of organic aerosol is important for epidemiological studies, validation of atmospheric models, and air quality management. In this study, we apply a recently developed filter-based offline methodology using an aerosol mass spectrometer (AMS) to investigate the regional and seasonal differences of contributing organic aerosol sources. We present offline AMS measurements for particulate matter smaller than 10  $\mu\text{m}$  at nine stations in central Europe with different exposure characteristics for the entire year of 2013 (819 samples). The focus of this study is a detailed source apportionment analysis (using positive matrix factorization, PMF) including in-depth assessment of the related uncertainties. Primary organic aerosol (POA) is separated in three components: hydrocarbon-like OA related to traffic emissions (HOA), cooking OA (COA), and biomass burning OA (BBOA). We observe enhanced production of secondary organic aerosol (SOA) in summer, following the increase in biogenic emissions with temperature (summer oxygenated OA, SOOA). In addition, a SOA component was extracted that correlated with an anthropogenic secondary inorganic species that is dominant in winter (winter oxy-

genated OA, WOOA). A factor (sulfur-containing organic, SC-OA) explaining sulfur-containing fragments ( $\text{CH}_3\text{SO}_2^+$ ), which has an event-driven temporal behaviour, was also identified. The relative yearly average factor contributions range from 4 to 14 % for HOA, from 3 to 11 % for COA, from 11 to 59 % for BBOA, from 5 to 23 % for SC-OA, from 14 to 27 % for WOOA, and from 15 to 38 % for SOOA. The uncertainty of the relative average factor contribution lies between 2 and 12 % of OA. At the sites north of the alpine crest, the sum of HOA, COA, and BBOA (POA) contributes less to OA ( $\text{POA} / \text{OA} = 0.3$ ) than at the southern alpine valley sites (0.6). BBOA is the main contributor to POA with 87 % in alpine valleys and 42 % north of the alpine crest. Furthermore, the influence of primary biological particles (PBOAs), not resolved by PMF, is estimated and could contribute significantly to OA in  $\text{PM}_{10}$ .

## 1 Introduction

The development and field deployment of the Aerodyne aerosol mass spectrometer (AMS; Canagaratna et al., 2007) have greatly improved air quality monitoring by providing real-time measurements of the non-refractory (NR) submicron aerosol (PM<sub>1</sub>) components. The application of factor analysis on the collected organic aerosol (OA) mass spectra enabled the efficient disentanglement of aerosol factors, which could be subsequently related to specific aerosol sources and processes (Lanz et al., 2007, 2008; Jimenez et al., 2009; Ulbrich et al., 2009; Zhang et al., 2011; Ng et al., 2010; Crippa et al., 2014). Factors typically extracted include directly emitted primary OA (POA) from biomass burning (BBOA) or traffic (HOA), and oxygenated OA (OOA) that is typically associated with secondary OA (SOA), formed through the oxidation of organic vapour precursors or heterogeneous processes. The model is not capable of identifying the main SOA precursors, but often differentiates OOA based on its volatility and degree of oxygenation (semi-volatile fraction and low-volatility fraction) due to the available highly time-resolved data.

However, the cost and operational requirements of the AMS make its deployment impractical throughout a dense monitoring network and over longer time periods. As a result, most available datasets are often limited to a few weeks of measurements, and factors are extracted mainly based on diurnal variations in POA emission strength and SOA oxygen content (Zhang et al., 2011; El Haddad et al., 2013). Highly mobile measurements on platforms as aircrafts (e.g. DeCarlo et al., 2008) or vehicles (e.g. Mohr et al., 2011) are designed for regional studies, but are even more limited by cost, availability, and time than stationary studies. This hinders the determination of the aerosol regional and seasonal characteristics and evaluation of long-term emission trends, limiting the information required for model validation and development of efficient mitigation strategies. Furthermore, the negligible transmission efficiency of the AMS inlet for coarse particles prevents the characterization of their chemical nature and contributing sources.

The recent development of the aerosol chemical speciation monitor (ACSM; Ng et al., 2011, Fröhlich et al., 2013) has enabled the establishment of dense networks of long-term AMS-type measurements and source apportionment of the organic aerosol (e.g. Crippa et al., 2014, using AMSs for shorter campaigns within the EUCAARI project or EMEP/ACTRIS projects for longer multi-season campaigns using ACSMs). However, the mass spectrometers used by the ACSMs have far lower mass resolution than the AMS, reducing their performance for OA characterization and source apportionment. An alternate monitoring strategy involves extending AMS spatial and temporal coverage by measuring the nebulized water extracts of filter samples (Daellenbach et al., 2016; Mihara and Mochida, 2011). This approach allows the retroactive investigation of specific events, e.g. haze

events in China (Huang et al., 2014), as well as AMS measurements of coarse-mode aerosol (Bozzetti et al., 2016) and long-term source apportionment studies (Bozzetti, 2017a, b). Such an approach was also used in recent studies for identifying the different types of water-soluble chromophores (Chen et al., 2016). Additionally, such filters are routinely collected and are already available over multi-year periods at many air quality monitoring stations around the world for years and/or decades. Unlike single-season online AMS studies, the offline AMS analysis of filter samples may reveal seasonal and long-term variations in the emissions of POA and SOA precursors required for model validations and the establishment of efficient mitigation strategies.

Here, we present offline AMS measurements of PM<sub>10</sub> (particulate matter with an aerodynamic diameter smaller than 10 µm) at nine stations in central Europe with different exposure characteristics for the entire year of 2013 (819 samples). The sites cover rural and urban locations, including urban background and traffic and wood-burning-influenced stations. Such long-term multi-site analyses allow the quantitative description of the temporal and spatial variability in the main OA sources and may provide further insights into SOA precursors and formation pathways. This paper focuses on the identification of the main factors influencing the OA concentrations at the different sites and the assessment of the associated uncertainties. In a second paper, we will investigate the site-to-site differences and general trends in the factor time series and their relationship with external parameters.

## 2 Methods

### 2.1 Study area and aerosol sampling

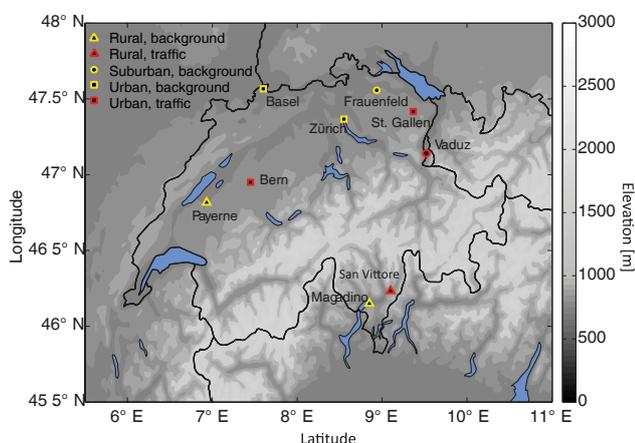
PM<sub>10</sub> samples were collected at nine sites in Switzerland and Liechtenstein (Table 1 and Fig. 1). Seven of the sites (Basel, Bern, Payerne, Zürich, Frauenfeld, St. Gallen, Vaduz) are located in northern Switzerland and Liechtenstein and two (Magadino and San Vittore) in southern Switzerland. Aerosol was sampled at the selected sites every fourth day for 24 h throughout the year 2013 on quartz fibre filters (14.7 cm diameter) using high-volume samplers (500 L min<sup>-1</sup>). Filters were then wrapped in aluminium foil or lint-free paper and stored at -20 °C. Field blanks were collected following the same approach.

### 2.2 Offline AMS analysis

The offline AMS analysis summarized below was carried out following the methodology developed by Daellenbach et al. (2016). For each analysed filter sample, four 16 mm diameter filter punches were sonicated together in 10 mL ultrapure water (18.2 MΩ cm, total organic carbon TOC < 5 ppb, 25 °C) for 20 min at 30 °C. Liquid extracts were then filtered (0.45 µm) and nebulized in synthetic air (80 % volume N<sub>2</sub>,

**Table 1.** Study sites with geographical location and classification.

Site (station code)	Classification	General location	Altitude
Basel, St. Johann (bas)	Urban/background	North of Alps/Swiss plateau	308 m
Bern, Bollwerk (ber)	Urban/traffic	North of Alps/Swiss plateau	506 m
Frauenfeld, Bahnhofstr. (fra)	Suburban/background	North of Alps/Swiss plateau	403 m
Payerne (pay)	Rural/background	North of Alps/Swiss plateau	539 m
St. Gallen, Rorschacherstr. (gal)	Urban/traffic	North of Alps/Swiss plateau	457 m
Zürich, Kaserne (zue)	Urban/background	North of Alps/Swiss plateau	457 m
Vaduz, Austrasse (vad)	Urban/traffic	North of Alps/alpine valley	706 m
Magadino, Cadenazzo (mag)	Rural/background	South of Alps/alpine valley	254 m
San Vittore, Zentrum (vi)	Rural/traffic	South of Alps/alpine valley	330 m

**Figure 1.** Map of study area with locations of sites indicating their characteristics. The topography is displayed as metres above sea level.

20 % volume O<sub>2</sub>; Carbagas, Gümligen CH-3073 Switzerland) using a customized Apex Q nebulizer (Elemental Scientific Inc., Omaha, USA) operating at 60 °C. The resulting droplets were dried using a Nafion<sup>®</sup> dryer and then injected and analysed using the high-resolution time-of-flight AMS (HR-ToF-AMS). Three types of measurements were performed: (i) filter samples, (ii) field blanks (collected and treated in the same way as the exposed filters), and (iii) measurement blanks (nebulized ultrapure water without filter extract). The measurement blank was determined before and after every filter sample. Each sample was recorded for 480 s (AMS V-mode,  $m/z$  12–447), with a collection time for each spectrum of 30 s. Ultrapure water was measured for 720 s. Once per day, ultrapure Milli-Q water was nebulized with a particle filter interposed between the nebulizer and the AMS for the determination of the gas-phase contribution to the measured mass spectrum, which was then subtracted during analysis from both blanks and filter samples. The filters from Zürich were analysed twice with a time difference of approximately 5 months to assess the measurement repeatability. High-resolution mass spectral analysis was performed

for each  $m/z$  (mass to charge) in the range of 12–115. The measurement blank was subtracted from the sample spectra. In a previous study, it has been shown that the measurement blank is comparable to the organic blanks obtained from the nebulization of NH<sub>4</sub>NO<sub>3</sub> (Bozzetti et al., 2017a). The interference of NH<sub>4</sub>NO<sub>3</sub> in the CO<sub>2</sub><sup>+</sup> signal described by Pieber et al. (2016) was corrected as follows (Eq. 1):

$$\text{CO}_{2,\text{real}} = \text{CO}_{2,\text{meas}} - \left( \frac{\text{CO}_{2,\text{meas}}}{\text{NO}_{3,\text{meas}}} \right)_{\text{NH}_4\text{NO}_3,\text{pure}} \cdot \text{NO}_{3,\text{meas}} \quad (1)$$

The correction factor  $\left( \frac{\text{CO}_{2,\text{meas}}}{\text{NO}_{3,\text{meas}}} \right)_{\text{NH}_4\text{NO}_3,\text{pure}}$  was determined based on measurements of aqueous NH<sub>4</sub>NO<sub>3</sub> conducted regularly during the entire measurement period and varied between ~ 1 and ~ 5 % (Pieber et al., 2016).

### 2.3 Other chemical analysis

Organic and elemental carbon (OC, EC) content were measured using a thermo-optical transmission method with a Sunset OC/EC analyser (Birch and Cary, 1996), following the EUSAAR-2 thermal-optical transmission protocol (Cavalli et al., 2010). Water-soluble carbon was measured with water extraction followed by catalytic oxidation, non-dispersive infrared detection of CO<sub>2</sub> using a total organic carbon analyser, only for the samples from Magadino and Zürich. Water-soluble ions (K<sup>+</sup>, Na<sup>+</sup>, Mg<sup>2+</sup>, Ca<sup>2+</sup>, and NH<sub>4</sub><sup>+</sup> and SO<sub>4</sub><sup>2-</sup>, NO<sub>3</sub><sup>-</sup>, and Cl<sup>-</sup>) and methane sulfonic acid were analysed using ion chromatography (Piazzalunga et al., 2013 and Jaffrezo et al., 1998). Levoglucosan measurements were performed with a high-performance anion exchange chromatographer (HPAEC) with pulsed amperometric detection (PAD) using an ion chromatograph (Dionex ICS-1000) following Piazzalunga et al. (2010 and 2013). Free cellulose was determined using an enzymatic conversion to D-glucose (Kunit and Puxbaum, 1996) and subsequent determination of glucose with an HPAEC (Inuma et al., 2009). Online measurements of gas-phase compounds and meteorology were also performed at selected sites.

### 3 Source apportionment

#### 3.1 General principle

Source apportionment of the organic aerosol is performed using positive matrix factorization (PMF; Paatero and Tapper, 1994). PMF is a statistical un-mixing model explaining the variability in the organic mass spectral data ( $x_{i,j}$ ) as linear combinations of static factor profiles ( $f_{j,k}$ ) and their time-dependent contributions ( $g_{i,k}$ ); see Eq. (2) (where  $p$  is the number of factors). The index  $i$  represents a specific point in time,  $j$  an ion, and  $k$  a factor. The elements of the model residual matrix are termed  $e_{i,j}$ .

$$x_{i,j} = \sum_{k=1}^p g_{i,k} f_{k,j} + e_{i,j} \quad (2)$$

In the input data matrix, each filter sample was represented on average by 11 mass spectral repetitions to examine the influence of the AMS measurement repeatability on the PMF outputs. A preceding blank from nebulized ultrapure water was subtracted from each mass spectrum. The input errors  $s_{i,j}$  required for the weighted least-squares minimization by the model consist of the blank variability ( $\sigma_{i,j}$ ) and the uncertainty related to ion counting statistics and ion-to-ion signal variability at the detector ( $\delta_{i,j}$  Allan et al., 2003; Ulbrich et al., 2009). We applied a minimum error according to Ulbrich et al. (2009) and a down-weighting factor of 3 to all fragments with an average signal-to-noise ratio lower than 2 (Ulbrich et al., 2009). Input data and the corresponding error matrices consisted of 202 organic ions. The organic fragments,  $x'_{i,j}$ , obtained from offline AMS analyses do not directly represent ambient concentrations. Therefore, the signal of each fragment was converted to such an ambient concentration ( $x_{i,j}$  in  $\mu\text{g m}^{-3}$ ) by multiplying the fraction of this signal with the estimated organic matter (OM) concentration. The latter was calculated as the product of the OC concentrations measured by the Sunset OC/EC analyser and the OM/OC from the offline AMS measurements ( $(\text{OM}/\text{OC})_{\text{AMS}}$  (Eq. 3). Note that such scaling does not change the outcome of Eq. (2) since both data and error matrices are scaled in the same manner and the fingerprints ( $f_{k,j}$ ) are not changed.

$$x_{i,j} = \frac{x'_{i,j}}{\sum_i x'_{i,j}} \cdot \text{OC} \cdot (\text{OM}/\text{OC})_{\text{AMS}} \quad (3)$$

The Source Finder toolkit (SoFi v.4.9; Canonaco et al., 2013) for the Igor Pro software package (Wavemetrics, Inc., Portland, OR, USA) was used to configure the PMF model and for post-analysis. The PMF algorithm was solved using the multilinear engine-2 (ME-2; Paatero, 1999). Normalization of the PMF solution during the iterative minimization process is disabled as implemented in SoFi (Canonaco et al., 2013). ME-2 enables an efficient exploration of the solution

space by constraining the  $f_{k,j}$  elements a priori within a certain range defined by the scalar  $a$  ( $0 \leq a \leq 1$ ) from a starting value  $f'_{k,j}$ , such that the modelled  $f_{k,j}$  in the solution satisfies Eq. (4):

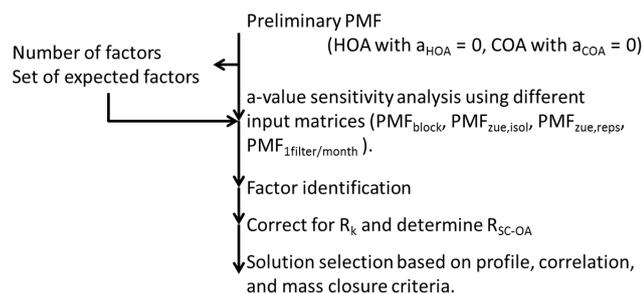
$$f_{k,j} = f'_{k,j} \pm a \cdot f'_{k,j} \quad (4)$$

$f'_{k,j}$  is the starting value used as a priori knowledge from previous studies and  $f_{k,j}$  is the resulting value in the solution. In all PMF runs (unless mentioned otherwise), we used the high-resolution mass spectra for HOA and COA (cooking OA) from Crippa et al. (2013b) as constraints, i.e. two rows of  $f'_{k,j}$  were set equal to the mass spectra of HOA and COA. Ions that were present in our datasets but not in the reference profiles for HOA and COA were inferred from published unit mass resolution (UMR) profiles (Ng et al., 2011 and Crippa et al., 2013c). For this purpose, the fraction of signal at a specific  $m/z$  in the UMR reference spectrum ( $f_{\text{UMR},m/z}$ ) was compared to the fraction of signal of all ions at this  $m/z$  in the HR reference spectrum ( $f_{\text{HR},m/z}$ ). The difference  $f_{\text{UMR},m/z} - f_{\text{HR},m/z}$  was used as an entry in  $f'_{k,j}$  for such missing ions. For these ions, an  $a$  value of unity was set. For the other factors, the factor elements were fitted using ME-2. Alternatively, such missing ions can be also treated as ordinary factor elements, to be fitted using ME-2 with all other ordinary factor elements.

Source apportionment analysis was performed following the scheme shown in Fig. 2 and discussed below. Unconstrained and constrained exploratory PMF runs provided information on the number of interpretable factors (Sect. 3.2). Multiple constrained PMF runs were then performed to assess the model sensitivity to the chosen  $a$  value, the model starting point and input matrix (entire dataset: PMF<sub>block</sub>; only Zürich: PMF<sub>zue,isol</sub>; one filter per site and month: PMF<sub>filter/month</sub>; repeated measurements for Zürich: PMF<sub>zue,reprs</sub>), and repeated measurements (Sect. 3.3). The factors obtained were then classified and corrected for their recovery (Sect. 3.4 and 3.5). Finally, the different solutions were evaluated and only the solutions that satisfied a set of predefined criteria (Sect. 3.6 and Supplement) were considered.

#### 3.2 Preliminary PMF

We explored constrained PMF solutions, ranging from 1 to 10 factors. This investigation is performed on the entire dataset, including all stations and seasons (details in the Supplement). The impact of the number of factors on the residuals is examined in the Supplement. The introduction of two factors, in addition to HOA and COA, resulted in a significant reduction in the residuals and the separation of BBOA and OOA contribution. BBOA exhibited a prominent seasonal variation with a significant increase during winter and contributed most to the explained variation in the fragment  $\text{C}_2\text{H}_4\text{O}_2^+$ , originating from the decomposition of anhydrous sugars, i.e. from cellulose pyrolysis. OOA was identified



**Figure 2.** Step-by-step outline of adopted source apportionment approach (factor recoveries  $R_k$ ).  $a_{\text{HOA}}$  and  $a_{\text{COA}}$  represent the  $a$  value applied for HOA and COA, respectively.

based on its mass spectral fingerprint, with high contribution from oxygenated ions at  $m/z = 43$  and  $44$ . A further increase in the number of factors did not significantly contribute to the reduction in the residuals. However, the introduction of a fifth factor allowed the separation of the OOA into two different factors, with distinct seasonal variability and different relative contributions from oxygenated fragments at  $m/z = 43$  and  $44$ . The two OOA factors will be referred to as winter and summer OOA (WOOA and SOOA) according to their seasonality. The introduction of a sixth factor allowed the resolving of a factor with a distinct time series explaining the variability of sulfur-containing fragments (e.g.  $\text{CH}_3\text{SO}_2^+$ ). This factor will be referred to as sulfur-containing organic aerosol (SC-OA). We explored higher-order solutions, but could not interpret the resulting factor separations. Therefore, we further consider a six-factor solution below.

### 3.3 Sensitivity analysis

We assessed the model sensitivity to the chosen  $a$  value for HOA and COA and the model starting point (independently for all four PMF inputs, as described below). The  $a$  values were independently varied for HOA and COA ( $a$  value from 0 to 1 with increments of 0.1, giving 121  $a$ -value combinations). For every  $a$ -value combination, the model was initiated from five different pseudo-random starting points (seeds), yielding 605 total runs. As the selection of the  $a$ -value combination was randomized, the process was repeated four times in order to ensure that every  $a$ -value combination was represented at least once (2420 runs), which in turn provided an assessment of the seed effect on the results.

While this approach has been proven very effective in selecting a range of environmentally relevant solutions (Elser et al., 2016a, b, and Daellenbach et al., 2016), the resulting uncertainties may be underestimated. Paatero et al. (2014) compared the effectiveness in estimating uncertainties of factor elements using two different approaches: the displacement (DISP) and bootstrap analysis (BS). BS involves applying the model to input matrices consisting of a subset of the entire dataset. DISP involves running PMF several times using

systematically perturbed factor profile elements of a reference solution, but allowing a defined difference in  $Q$  from the reference solution. Both approaches are computationally intensive, especially DISP. Because of such computational limitations, the combination of BS and DISP was not feasible for the dataset presented here, especially in combination with  $a$ -value sensitivity tests. Therefore, we chose to perform four sensitivity tests performing PMF runs using four different input datasets, presented in the following. These sensitivity tests allow conclusions on the stability of PMF analysis when reducing the temporal or spatial resolution as well as the influence of the measurement repeatability.

1.  $\text{PMF}_{\text{block}}$ : PMF was performed on data from all seasons and all sites combined (all measured in October 2014). The corresponding data and error matrices involved 819 samples from nine sites with 202 ions and, on average, 11 spectra per sample. This represents the base case.
2.  $\text{PMF}_{\text{zue,isol}}$ : PMF was performed on data from Zürich alone (isolated from  $\text{PMF}_{\text{block}}$  input). The corresponding data and error matrices involved 91 samples with 202 ions and on average 11 spectra per sample.
3.  $\text{PMF}_{\text{filter/month}}$ : PMF was performed on data from all sites but only considering the first filter collected for every month (12 filters per site), as for these samples levoglucosan and cellulose data were available. The corresponding data and error matrices involved 108 samples with 202 ions and, on average, 11 spectra per sample.
4.  $\text{PMF}_{\text{zue,repr}}$ : PMF was performed on data from the repeated measurements of Zürich samples. The corresponding data and error matrices comprised 91 samples with 196 ions and, on average, 14 spectra per sample.

For each of the four PMF datasets, 2420 PMF runs were performed for evaluating the sensitivity of the model to the chosen  $a$  value and the seed. The quality of each of the 2420 PMF runs was individually assessed using criteria lined out in Sect. 3.6.

### 3.4 Factor classification

From the sensitivity analysis, a large number of solutions were generated. Systematic analysis of these solutions required automatic identification and classification of the retrieved factors within each solution. We applied a sequential classification algorithm as follows. Since HOA and COA were initially constrained on preselected rows of  $f_{k,j}$ , they did not need to be identified. In a second step, the factor showing the highest explained variation for  $\text{C}_2\text{H}_4\text{O}_2^+$  among the four remaining factors was identified as BBOA. In a third step, the factor with the highest explained variation for  $\text{CH}_3\text{SO}_2^+$  among the three remaining factors was identified as SC-OA. From the last two factors, the one with the highest explained variation in  $\text{CO}_2^+$  was identified as WOOA and the other as SOOA.

### 3.5 Recovery and blank corrections

After factor identification, factor time series are corrected using factor-specific recoveries (Eq. 5, resulting in  $OA_{i,k}$ ) determined in Daellenbach et al. (2016) for HOA, COA, BBOA, and OOA.

$$OA_{i,k} = \frac{\frac{g_{i,k}}{R_k}}{\sum_k \frac{g_{i,k}}{R_k}} \cdot OA_i, \quad (5)$$

where  $g_{i,k}$  values are the concentrations of factor  $k$  at the time point  $i$ ,  $R_k$  the recoveries of the respective factor, and  $OA_i$  the OA concentration. For a limited number of PMF runs (PMF<sub>block</sub>), the field blank analyses were also included in the PMF input data. This provides the contributions of different factors to the field blanks, which were used to correct the output factor time series. Uncertainties induced by the blank subtraction were propagated.

### 3.6 Solution selection

Each of the 2420 PMF solutions per PMF dataset (PMF<sub>block</sub>, PMF<sub>zue,isol</sub>, PMF<sub>zue,reprs</sub>, PMF<sub>filter/month</sub>) was evaluated based on their factor profiles, time series, and the OC mass closure. Solutions were selected if they satisfied the following set of criteria:

1.  $fCO_2^+ < 0.04$  in HOA and COA factor profiles (HOA based on Aiken et al., 2009; Mohr et al., 2012; Crippa et al., 2013b, 2014, and COA based on Crippa et al., 2013b, 2013c; Mohr et al., 2012);
2.  $fC_2H_4O_2^+ < 0.004$  and 0.01 in HOA and COA, respectively (HOA based on Aiken et al., 2009; Mohr et al., 2012; Crippa et al., 2013b, 2014, and COA based on Crippa et al., 2013b, 2013c; Mohr et al., 2012);
3. HOA correlates significantly with  $NO_x$  being the sum of NO and  $NO_2$  (defined below);
4. HOA correlates significantly better with  $NO_x$  than COA; BBOA correlates significantly with levoglucosan (defined below);
5. SC-OA correlates significantly with  $CH_3SO_2^+$  (defined below);
6. for samples from Zürich and Magadino, where water-soluble organic carbon (WSOC) data are available, modelled and measured OC masses are comparable for a set of different conditions (see below and in the Supplement).

The first two criteria (1–2) ensure an appropriate separation of HOA and COA from OOA and BBOA, respectively. Criteria 3–5 relate to the evaluation of the correlation between

factor and marker time series. This was achieved by computing the Fisher-transformed correlation coefficient  $z$  at different stations (Eq. 6):

$$z = 0.5 \cdot \ln \left( \frac{1+r}{1-r} \right) = \arctan(r), \quad (6)$$

where  $r$  is the correlation coefficient between the factor and marker at a given station. The  $z$  values obtained at the different stations are subsequently averaged and transformed back to  $r_{avg}$  before further analysis. A  $t$  test is then used to verify the significance ( $\alpha = 0.5$ ) of the average correlation coefficient between factor and marker time series,  $r_{avg}$  (Eq. 7):

$$t_{avg} = \frac{r_{avg}}{\sqrt{\frac{1-r_{avg}^2}{N-2}}}. \quad (7)$$

Here,  $r_{avg}$  is the correlation coefficient averaged over the different stations, derived from the average  $z$  value,  $t_{avg}$  is the corresponding  $t$  value, and  $N$  is the average number of samples at the different stations. Results with a significance level of  $\alpha = 0.05$  are summarized in Fig. S8 in the Supplement.

To evaluate whether HOA correlated significantly better with  $NO_x$  than COA did, the average  $z$  values obtained between HOA and  $NO_x$  and between COA and  $NO_x$  (Eq. 6) were compared using a standard error on the  $z$  distribution of  $1/\sqrt{N-3}$  (Zar, 1999). The last criterion (6) relates to OC mass closure. A Monte Carlo approach was applied to evaluate whether a combination of water-soluble factor time series and recovery parameters would achieve OC mass closure, as described in the following. For the samples from Zürich and Magadino, for which WSOC concentrations were available (in contrast to the other samples), offline AMS measurements were scaled to the water-soluble organic matter (WSOM) calculated using the WSOC measurements and OM/OC from the HR AMS analysis. The water-soluble contributions from an identified aerosol source in a sample  $i$  were rescaled to their total organic matter concentrations ( $OA_{i,k}$ ), where  $k$  represents a given factor, using combinations of factor recoveries as determined by Daellenbach et al. (2016, medians of the combinations used being  $R_{HOA}$ : 0.11,  $R_{COA}$ : 0.54,  $R_{BBOA}$ : 0.65, and  $R_{OOA}$ : 0.89, used for WOOA and SOOA). For SC-OA, whose recovery was not previously determined, a recovery value was stochastically generated between 0 and 1. The  $OA_{i,k}$  concentrations obtained were then converted to OC concentrations  $OC_{i,k}$ , using factor-specific OM/OC determined from the factor profiles. The sum of  $OC_{i,k}$  from all factors  $k$  (mod- $OC_i$ ) was then evaluated against the measured OC (meas- $OC_i$ ). For this, the residual OC mass (res- $OC_i$ ) for each sample was calculated (meas- $OC_i - mod-OC_i$ ), and the residual distributions were examined for different conditions that are specified in the Supplement. In summary, a solution was only accepted if res- $OC_i$  values were normally distributed around 0 considering all points and subsets of points: (a) summer,

(b) winter, (c) Magadino, (d) Zürich, and (e) low and high concentrations of the single factors (see Table S1 in the Supplement).

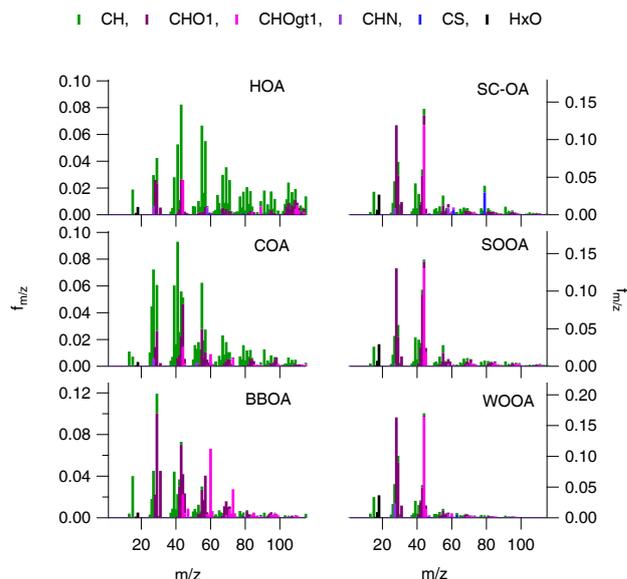
For each of the Monte Carlo simulations, criteria 1–6, which satisfy the water-soluble factor time series, were used together with a combination of factor recoveries from Daellenbach et al. (2016) as input data. The WSOC used for scaling the  $G_{i,k}$  matrix and the meas-OC<sub>*i*</sub> used for residual calculation were varied within their uncertainties (5 %) and biases (5 %) assuming a normal distribution of the errors. Likewise, constant biases were also introduced into the initial recovery distributions from Daellenbach et al. (2016). Monte Carlo simulations were performed and simulations for which res-OC<sub>*i*</sub> distributions were significantly different from 0 ( $Q_{25} < 0 < Q_{75}$ , details in the Supplement) were discarded until 500 acceptable simulations were found. Thereby, 331 PMF runs were selected for PMF<sub>block</sub> (230 for PMF<sub>zue,isol</sub>, 99 for PMF<sub>zue,reprs</sub>, and 269 for PMF<sub>filter/month</sub>). Median factor time series and recovery parameters from all retained simulations were then determined and the interquartile range (IQR) represents our best estimate of the uncertainties for the single PMF datasets. The Monte Carlo process was repeated for the four different PMF datasets described above and the resulting median time series of their estimated uncertainties were compared. The resulting uncertainty estimates and the method are described in Sect. 4.2.1 and in the Supplement.

## 4 Results and discussions

In this section, the final source apportionment results are presented and validated. The source signatures are presented in Fig. 3 for PMF<sub>block</sub> colour-coded with the ion family. Figure 4 shows the time series for Zürich obtained from all PMF approaches and Table 2 summarizes the correlation coefficients between factor and marker time series for Zürich (all PMF runs) and the other sites in the study area (PMF<sub>block</sub>), while the relation between factor and marker time series is displayed in Figs. 4 and 5. Presented are median (and quartile) results for all PMF runs accepted following the criteria described above.

### 4.1 Interpretation of PMF factors

**HOA:** HOA profile elements were constrained using the reference profile from Crippa et al. (2013b). The final factor profile (Fig. 3) maintains the same features, characterized by high contributions from hydrocarbon fragments. The fraction of oxygenated organic fragments that were missing in the initial reference profile, which were added based on UMR spectra, show an increased contribution to the ions above  $m/z = 100$  (see Sect. 3.1). While this indicates a possible overestimation of the contribution of these fragments, using this methodology, this increase does not substantially affect the results: e.g. the HOA OM / OC remains low (1.32, IQR 1.30–



**Figure 3.** PMF factor profiles of HOA, COA, BBOA, SOOA, WOOA, and SC-OA, colour-coded with the ion family of PMF<sub>block</sub> (average).  $f_{m/z}$  is the relative intensity at a specific mass-to-charge ratio ( $m/z$ ).

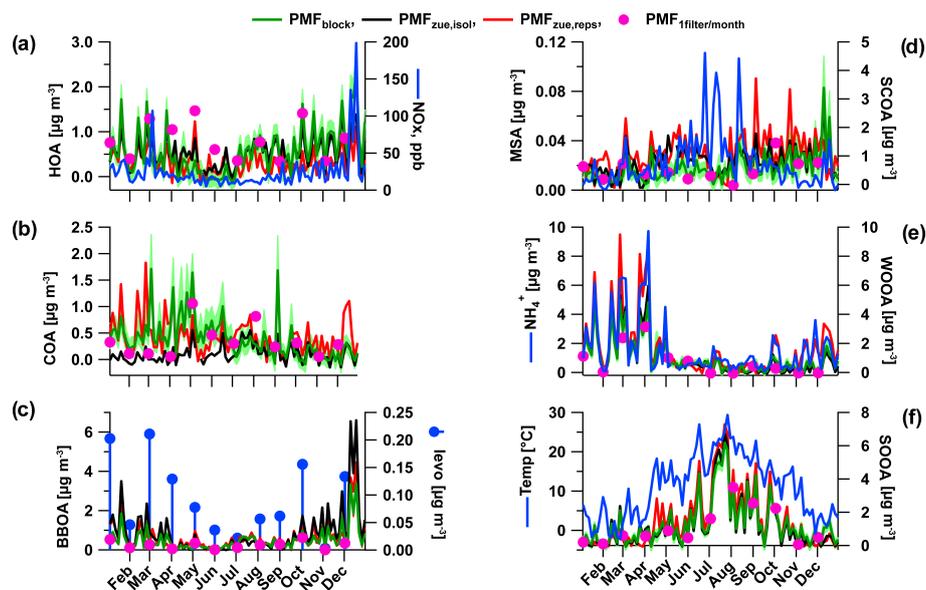
1.33). The HOA time series follows an expected pattern that matches the NO<sub>x</sub> yearly cycle (Fig. 4a), except for San Vittore, which is very likely due to the extremely high contribution of biomass burning at this site during winter, which may result in additional NO<sub>x</sub> inputs and/or may affect the separation of HOA by PMF. The HOA / NO<sub>x</sub> (Fig. 5a) at the different sites ( $0.015 \pm 0.011 \mu\text{g m}^{-3} \text{ppb}^{-1}$ ) lies within the range of literature values ( $0.001$  to  $0.028 \mu\text{g m}^{-3} \text{ppb}^{-1}$ , Lanz et al., 2007 and Kirchstetter et al., 1999). A similar average ratio was obtained for Zürich from the different sensitivity tests, but with high variability ( $0.013 \pm 0.009 \mu\text{g m}^{-3} \text{ppb}^{-1}$ ) similar to that obtained between the different sites. This implies that the observed site-to-site differences are not statistically significant given our uncertainty in extracting HOA contributions.

**COA:** COA profile elements were constrained using the COA profile from Crippa et al. (2013b) and the obtained factor profile maintains the same features (OM / OC of 1.32, IQR 1.30–1.33, Fig. 3). For COA, no molecular marker is available for validation purposes. Daellenbach et al. (2016) demonstrated that COA concentrations can be estimated with offline AMS (in Zürich at the same site) by constraining its signatures, but only with a high uncertainty. This was performed by comparing offline AMS results to those from a collocated ACSM, which, owing to its higher time resolution, enabled the identification of cooking emissions based on their diurnal cycles (Canonaco et al., 2013). Here, while no ACSM data were available, we followed the same methodology used in Daellenbach et al. (2016) to estimate the contribution of COA. The average COA contributions estimated

**Table 2.** Comparison of factor time series to reference data for different PMF input datasets runs (with Pearson and Spearman correlation coefficients,  $R_p^2$  and  $R_s$ ). Displayed are the results for PMF<sub>block</sub> unless stated otherwise.

$R^2$ (number of points)	HOA vs. NO <sub>x</sub> , $R_p^2$	BBOA vs. levo, $R_p^2$	WOOA vs. NH <sub>4</sub> <sup>+</sup> , $R_p^2$	SOOA vs. T, $R_s$	SC-OA vs. NO <sub>x</sub> , $R_p^2$
Basel	0.31 (91)	0.91 (11)	0.66 (91)	0.70 (91)	0.17 (91)
Bern	0.22* (90)	0.48 (12)	0.53 (90)	0.63 (90)	0.17 (90)
Frauenfeld	0.40 (89)	0.73 (12)	0.77 (90)	0.63 (90)	0.28 (89)
St. Gallen	0.23 (91)	0.39 (12)	0.78 (91)	0.72 (91)	0.50 (91)
Magadino	0.18 (91)	0.55 (12)	0.54 (91)	0.72 (91)	0.63 (91)
Payerne	0.48 (91)	0.65 (12)	0.44 (91)	0.68 (91)	0.17 (91)
Vaduz	0.38 (91)	0.90 (12)	0.77 (91)	0.68 (91)	0.46 (91)
San Vittore	0.02 (90)	0.99 (12)	0.36 (90)	0.76 (68)	0.01 (90)
<b>Zürich</b>					
PMF <sub>block</sub>	0.35 (91)	0.43 (12)	0.79 (90)	0.65 (91)	0.40 (91)
PMF <sub>zue,isol</sub>	0.29 (91)	0.59 (12)	0.82 (90)	0.66 (91)	0.27 (91)
PMF <sub>zue,reprs</sub> (only 12 points)	0.32 (12)	0.23 (12)	0.84 (12)	0.85 (12)	0.01 (12)
PMF <sub>filter/month</sub>	0.30 (91)	0.44 (12)	0.77 (90)	0.59 (91)	0.53 (91)

\* One outlier removed.

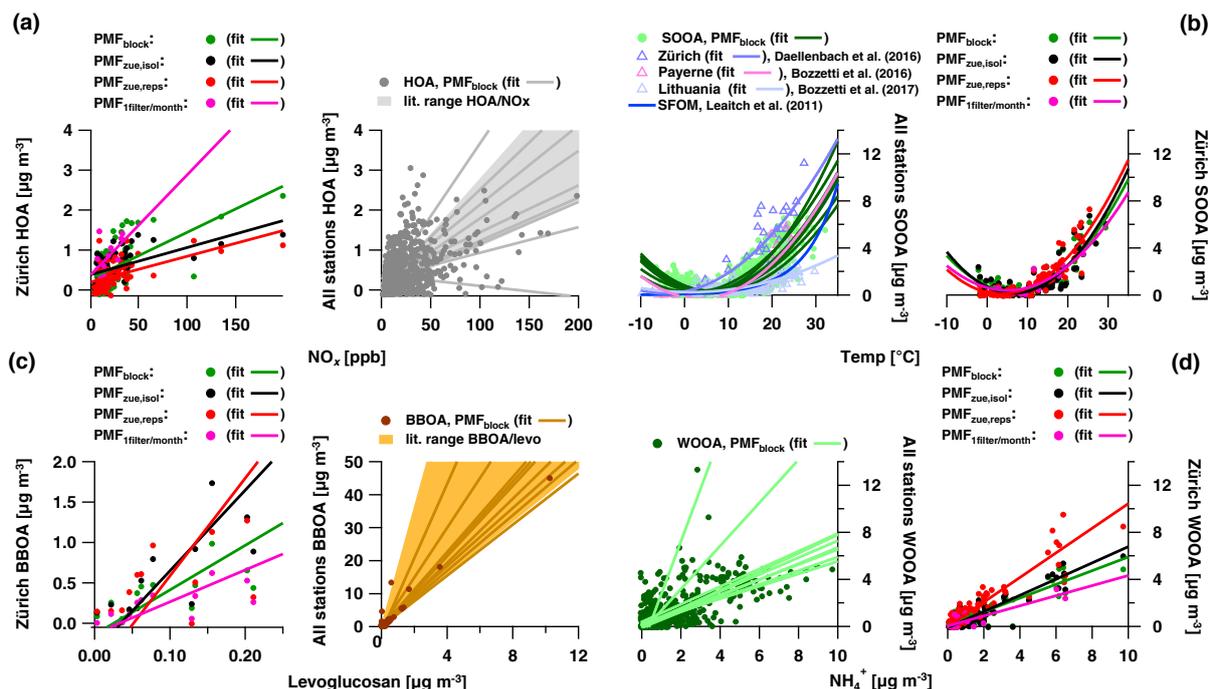


**Figure 4.** HOA, COA, BBOA, WOOA, SOOA, and SC-OA and their respective marker concentrations as a function of time for Zürich in 2013. Depicted are the median factor time series results for the different PMF datasets (median) including the uncertainties for PMF<sub>block</sub> (first and third quartiles) (green: PMF<sub>block</sub>; black: PMF<sub>zue,isol</sub>; red: PMF<sub>zue,reprs</sub>; pink bullets: PMF<sub>filter/month</sub>).

here and their yearly variability are similar to those from previous studies at the same sites, but as expected have high uncertainties (Fig. 4b).

**BBOA:** BBOA is identified based on its spectral fingerprint (OM / OC of 1.74, IQR 1.74–1.75; Fig. 3), which, similar to previously extracted BBOA factors at other locations (Daellenbach et al., 2016; Lanz et al., 2007; Crippa et al., 2014), exhibits high contributions from oxygenated fragments (CHO<sup>+</sup>, C<sub>2</sub>H<sub>4</sub>O<sub>2</sub><sup>+</sup>, C<sub>3</sub>H<sub>5</sub>O<sub>2</sub><sup>+</sup>) from anhydrous sugar fragmentation (see comparison to nebulized levoglucosan in Supplement Fig. S6). Similar to levoglucosan, the BBOA

time series shows an expected seasonal variation with high concentrations in winter, supporting the identification of this factor (Fig. 4c). Except for Bern and Magadino (7.5 and 11.2), a similar ratio of BBOA to levoglucosan is found at all other sites (3.9 to 5.7), despite apparent site-to-site differences in the model residuals during winter due to significantly higher contributions of BBOA at the southern stations (Fig. 5b). The ratios obtained are within the range of values reported in literature (between 4 and 18 assuming OM / OC) between 1.6 and 1.8 for the non-AMS analyses; Zotter et al., 2014; Herich et al., 2014; Minguillón et al., 2011; Crippa et



**Figure 5.** Scatter plots for the different extreme sensitivity tests for Zürich and for all sites for  $\text{PMF}_{\text{block}}$  median concentrations: (a) HOA vs.  $\text{NO}_x$ , (b) BBOA vs. levoglucosan, (c) SOOA vs. temperature, and (d) WOOA vs.  $\text{NH}_4^+$ .

al., 2013a; and Favez et al., 2010). We note that a similar ratio is also found for the different PMF datasets performed for the case of Zürich (BBOA / levoglucosan between 3.9 and 12.1). Taken together, the high (for most sites) correlation ( $R^2 = 0.78$  for all sites, single sites in Table 2) between levoglucosan and BBOA and their consistent ratios at different sites and between the different PMF datasets indicates that BBOA is well resolved by PMF at all sites, despite potential site-to-site differences in BBOA composition.

**SC-OA:** Sulfur-containing fragments (e.g.  $\text{CH}_3\text{SO}_2^+$ ) are predominantly apportioned to this factor, which also has a high OM / OC (1.82, IQR 1.80–1.93; Fig. 3). As mentioned in Sect. 3.6, the recovery of SC-OA was unknown and had to be determined by mass closure, while the recoveries of the other factors were determined by comparison to their online counterparts (albeit for a different dataset; Daellenbach et al., 2016). In the lack of specific constraints (like an online counterpart), the recovery of SC-OA is highly uncertain and thus the factor time series is also highly uncertain. A similar factor profile had been extracted from previous online AMS datasets and was related to the fragmentation of methane sulfonic acid (MSA) present in  $\text{PM}_1$  particles, a secondary product of marine origin (Crippa et al., 2013b; Zorn et al., 2008). However, the SC-OA factor extracted here did not seem to be related to marine emissions because neither its variability nor its levels matched those of MSA (Fig. 4d). First we compared the MSA levels measured in Zürich using ion chromatography to those estimated based on the concentration

of sulfur-containing fragments from offline AMS measurements in SC-OA (Eq. 8), based on Crippa et al. (2013b):

$$\text{MSA}_{i,\text{est}} = \text{SC-OA}_i \frac{f_{\text{SC-OA}}(\text{CH}_2\text{SO}_2^+) + f_{\text{SC-OA}}(\text{CH}_3\text{SO}_2^+) + f_{\text{SC-OA}}(\text{CH}_4\text{SO}_3^+)}{0.147}. \quad (8)$$

Here,  $\text{MSA}_{i,\text{est}}$  is the estimated MSA concentration,  $\text{SC-OA}_i$  the factor concentration of the sulfur-containing factor,  $f_{\text{SC-OA}}(\text{CH}_2\text{SO}_2^+)$  and the following summands the fractional contributions of the respective organic fragment to SC-OA, and 0.147 is a scaling factor from Crippa et al. (2013b). The estimated MSA levels are 6 times higher than the measured MSA, indicating the presence of another source of sulfur-containing species. Second, unlike marine OA factors from previous online datasets (lower size cut-off, typically  $\text{PM}_{10}$ ), the SC-OA time series does not correlate with MSA ( $R^2 = 0.02$ ). While MSA concentrations show a clear enhancement during summer, the SC-OA time series exhibit a very weak seasonal variability with slightly higher concentrations in winter. SC-OA instead exhibits low background levels episodically intercepted by remarkable 10-fold enhancements, especially at urban sites affected by traffic emissions (e.g. the SC-OA contribution is significantly higher at sites with higher yearly  $\text{NO}_x$  average levels). The hypothesis of an influence of traffic activity on SC-OA is provided by the correlation of the yearly average concentrations with  $\text{NO}_x$  ( $R_{\text{s,SC-OA,NO}_x} = 0.65$ ,  $n = 9$ ,  $p < 0.06$ ), which is, however, comparable to the correlation of HOA and COA (e.g.

$R_{s,HOA,NO_x} = 0.68$ ,  $n = 9$ ,  $p < 0.05$ ;  $R_{s,COA,NO_x} = 0.68$ ,  $n = 9$ ,  $p < 0.05$ ). In addition, the SC-OA time series also correlates with that of  $NO_x$  (overall  $R^2 = 0.32$ , for sites in Table 2). While HOA and BBOA also correlate with  $NO_x$ , both of the secondary factors, WOOA and SOOA, do not, supporting the hypothesis that SC-OA consists of locally emitted anthropogenic (primary) OA. The site-to-site differences in SC-OA concentrations and temporal behaviour suggest that this factor, which to the best of our knowledge is reported here for the first time, is influenced by primary sources.

**Oxygenated OA factors:** Unlike oxygenated OA factors from limited-duration intensive online campaigns characterized by a high temporal resolution in which factor variability is thought to be primarily driven by volatility and/or local oxidation reactions, OOA factors are resolved based on differences in their seasonal behaviour: SOOA (in summer) and WOOA (in winter). The SOOA and WOOA mass spectral signatures (Fig. 3) show similarities with OOA from earlier measurements (Ng et al., 2011; Canonaco et al., 2013, 2015), with high contributions of  $C_2H_3O^+$  and  $CO_2^+$  and high OM/OC, though SOOA (OM/OC = 1.89, IQR 1.88–1.89) is less oxidized than WOOA (OM/OC = 2.12, IQR 2.11–2.14). The mass spectral fingerprints (Fig. 3), the temporal behaviour (Fig. 4e and f), and the relation to markers (Fig. 5c and d) of the two factors are in agreement with those from earlier work at other locations, including Zürich (Daellenbach et al., 2016), Payerne (Bozzetti et al., 2016), and Lithuania (Bozzetti et al., 2017a). This OOA separation appears to be typical for PMF analysis of long-term, low-time-resolution OA mass spectra of filter samples.

SOOA correlates significantly among the different sites (also south and north of the alpine crest) and with local temperature (Fig. 5c). The SOOA exponential increase with average daily temperatures from 5 to 30 °C is consistent with the exponential increase in terpene emissions, which are dominant biogenic SOA precursors (Guenther et al., 2006). This is also consistent with the mass spectral fingerprint of this factor, characterized by an  $f_{C_2H_3O^+}$  of 0.10 and an  $f_{CO_2^+}$  of 0.13, which are similar to values reported for chamber SOA from terpenes or at an urban location (Zürich) during summer (Canonaco et al., 2015). A similar temperature dependence of biogenic SOA concentrations has been observed for a terpene-dominated Canadian forest (Leaith et al., 2011) and for the case in Switzerland, using a similar source apportionment model (Daellenbach et al., 2016; Bozzetti et al., 2016). Taken together, these observations suggest that SOOA principally derives from the oxidation of biogenic precursors during summer. Site-to-site SOOA concentrations were not statistically different within our model errors, assessed from the different sensitivity tests for the case of Zürich. Therefore, even though the behaviour of SOOA at the different sites studied here might be controlled by various parameters, including tree cover, available OA mass, air mass photochemical age, and oxidation conditions (e.g.  $NO_x$  concentrations), temperature seems to be the main driver of the

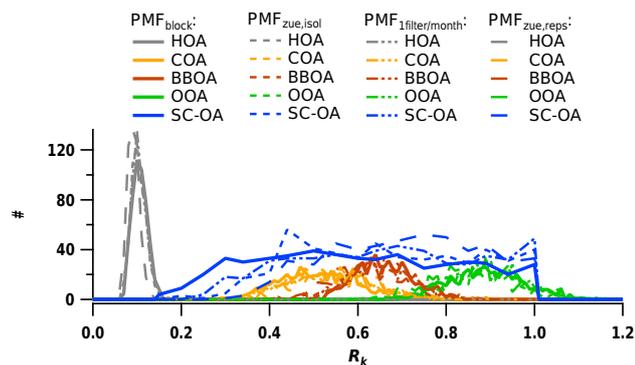
SOOA concentrations. Indeed, the aforementioned parameters may contribute, together with model and measurement uncertainties, to the observed scatter in the data. Biogenic volatile organic compound emissions might even be non-negligible in winter (Oderbolz et al., 2013; Schurgers et al., 2009; Holzke et al., 2006). Therefore, significant wintertime SOOA concentrations are not in disagreement with the hypothesized biogenic origin. The lower SOOA concentrations in the temperature range between 7 and 12 °C might be explained by often-occurring precipitation in this temperature range. We note that relative uncertainties related with SOOA increase with decreasing concentrations (Fig. 7). A small error in modelling sources with high contributions (BBOA, WOOA) in winter can result in a large error of SOOA with its small contribution during winter. Furthermore, some other sources like primary biological OA (PBOA; see Sect. 4.2.2) might also mix into SOOA.

Compared to SOOA, the WOOA profile can be distinguished by a higher contribution from  $CO_2^+$  and a lower contribution from  $C_2H_3O^+$  (Fig. 3), similar to OOA factors previously extracted in this region during winter based on ACSM measurements. This fingerprint is characteristic of highly oxidized SOA from non-biogenic precursors with low H/C (e.g. aromatic compounds from wood combustion emissions; Bruns et al., 2016). WOOA is well correlated with  $NH_4^+$  (Fig. 5d; overall  $R^2 = 0.65$  for all sites, overall  $R^2 = 0.81$  for all PMF runs for Zürich in Table 2), which is in agreement with earlier studies (e.g. Zürich in Lanz et al., 2008). This is probably explained by its correlation with other inorganic secondary ions  $NO_3^-$  and  $SO_4^{2-}$  (driven like WOOA by meteorological factors including boundary layer height and temperature), which govern the  $NH_4^+$  concentration in the aerosol. Here, we have used ammonium as a proxy for aged aerosols affected by anthropogenic emissions, as WOOA correlates better with ammonium than with nitrate sulfate. We note that in winter, when WOOA is highest, 56 % of ammonium can be attributed to nitrate, whereas in summer ammonium sulfate dominates (97 % of ammonium can be attributed to sulfate). Therefore, WOOA correlates more with nitrate ( $R^2 = 0.64$ ) than sulfate ( $R^2 = 0.48$ ). WOOA exhibits a regional behaviour and its concentrations are correlated at all sites on the Swiss plateau. The WOOA mass spectral fingerprint, its seasonal variability, and its high correlation with long-range transported anthropogenic inorganic secondary ions suggest that this factor is characteristic of a highly aged OOA influenced by wintertime anthropogenic emissions (e.g. biomass burning).

## 4.2 Uncertainty analysis

### 4.2.1 Model uncertainties

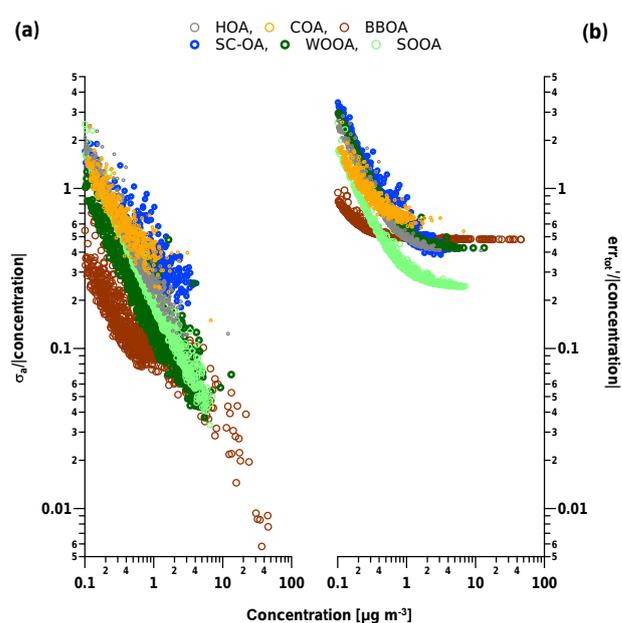
PMF uncertainties depend on the factor contribution. According to Ulbrich et al. (2009), reliable interpretation of factors with a low relative contribution is challenging. However,



**Figure 6.** Distributions of  $R_k$  for HOA, COA, BBOA, OOA (WOOA plus SOOA), and SC-OA (500 pairs). A priori information for HOA, COA, BBOA, and OOA on  $R_k$  is used from Daellenbach et al. (2016), with propagated errors and biases, while  $R_{SC-OA}$  is determined in this study. Distributions of all factors have a resolution of  $dR_k = 0.01$ , except for  $dR_{SC-OA} = 0.05$ .

the specificity of the time series and factor profile (caused by rotational ambiguity), and in this sense also solution acceptance criteria, influence the uncertainty as well. In our analysis, we correct our results from WSOM to OM using  $R_k$  and thereby introduce additional uncertainties (caused by the uncertainty of  $R_k$  or an unknown  $R_k$ ). The more uncertain  $R_k$ , the higher the additional uncertainty in the extrapolation (Eq. 5). As mentioned in Sect. 3.5,  $R_k$  constraints (recovery combinations for different factors) are available for  $R_{HOA}$ ,  $R_{COA}$ ,  $R_{BBOA}$ , and  $R_{OOA}$  but not for  $R_{SC-OA}$  and not for individual OOA factors (Daellenbach et al., 2016). With the available constraints of mass closure (for Magadino and Zürich),  $R_{SC-OA}$  can only be determined with a high uncertainty (Fig. 6).

The variability in the factor time series for the single PMF sensitivity tests ( $PMF_{block}$ ,  $PMF_{zue,isol}$ ,  $PMF_{1filter/month}$ ,  $PMF_{zue,reprs}$ ) is used as an uncertainty estimate (shaded area in Fig. 4). This estimate ( $\sigma_a$ ) depends on the measurement repeatability (10 single mass spectra included for each sample) and on the selected PMF solution and  $R_k$  combinations, and therefore also on the  $a$  value. However, the variability depending (1) on the choice of input points (time and site;  $PMF_{block}$ ,  $PMF_{zue,isol}$ ,  $PMF_{1filter/month}$ ) and (2) on the instrumental reproducibility ( $PMF_{zue,reprs}$ ) of the offline AMS measurements is not accounted for. The contribution of (1) and (2) to the uncertainty is assessed through the sensitivity tests by examining the variability of the median factor time series ( $\sigma_b$ ).  $\sigma_b$  is the variability of the median factor concentrations from the PMF sensitivity tests using  $PMF_{block}$ ,  $PMF_{zue,isol}$ ,  $PMF_{1filter/month}$ ,  $PMF_{zue,reprs}$  for the 12 samples common to all 4 PMF datasets. For the 12 filters common in all PMF datasets ( $PMF_{block}$ ,  $PMF_{zue,isol}$ ,  $PMF_{1filter/month}$ ,  $PMF_{zue,reprs}$ ), we calculate a best estimate of the overall uncertainty ( $err_{tot}$ ), by propagating both error terms:  $\sigma_a$  and  $\sigma_b$ . As  $\sigma_b$  is not available for all datapoints, we parametrized

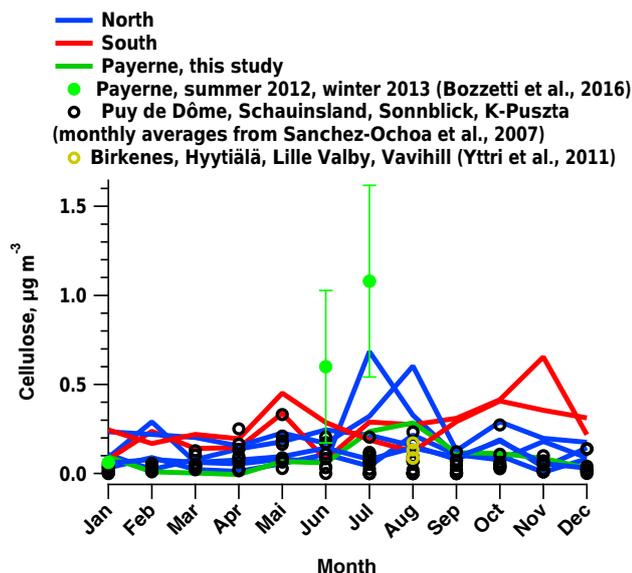


**Figure 7.** Relative  $\sigma_a$  (a) and  $err'_{tot}$  (b) for factor concentrations  $> 0.1 \mu\text{g m}^{-3}$  as a function of factor concentration.  $err'_{tot}$  includes the uncertainties from the  $a$  value, seed variability, and  $R_k$ , and the different PMF datasets.

$\sigma_b$  as a function of the factor concentration (details in the Supplement) and subsequently used this parameterized  $\sigma_b$ ,  $\sigma'_b$ , to calculate an approximated overall error,  $err'_{tot}$ .  $err'_{tot}$  is displayed in Fig. 7b in comparison with  $\sigma_a$  (Fig. 7a). For all factors,  $err'_{tot}$  are in general high, especially for low factor concentrations ( $\sim$  a factor of 2). It is worthwhile to note that for major factors exhibiting a similar seasonality, i.e. WOOA and BBOA, a great part of the uncertainty arises from  $\sigma_b$ . Thus the variability between the PMF solutions using  $PMF_{block}$ ,  $PMF_{zue,isol}$ ,  $PMF_{1filter/month}$ ,  $PMF_{zue,reprs}$  ( $\sigma_b$ ) and, therefore, the sensitivity of the factor concentrations on the chosen PMF dataset, significantly contribute to the uncertainty. By contrast, for moderately soluble fractions constrained in the PMF, COA and HOA, the major part of  $err'_{tot}$  is related to  $\sigma_a$ .

#### 4.2.2 Influence of unresolved primary biological OA

Unresolved sources in PMF are an inherent uncertainty of source apportionment analyses. As Bozzetti et al. (2016) show, PBOA can present considerable contributions to OA in  $PM_{10}$  (constituting a large part of coarse OA). In the present analysis, PBOA could not be separated by PMF (neither unconstrained nor using the mass spectral signature from Bozzetti et al., 2016). This inability might be caused by the low water solubility and the absence of  $PM_{2.5}$  filters in the dataset. Since these coarse particles are only abundant in  $PM_{10}$  and not in  $PM_{2.5}$  or  $PM_1$ , the presence of both  $PM_{10}$  and  $PM_{2.5}$  samples, exhibiting a large gradient in PBOA,



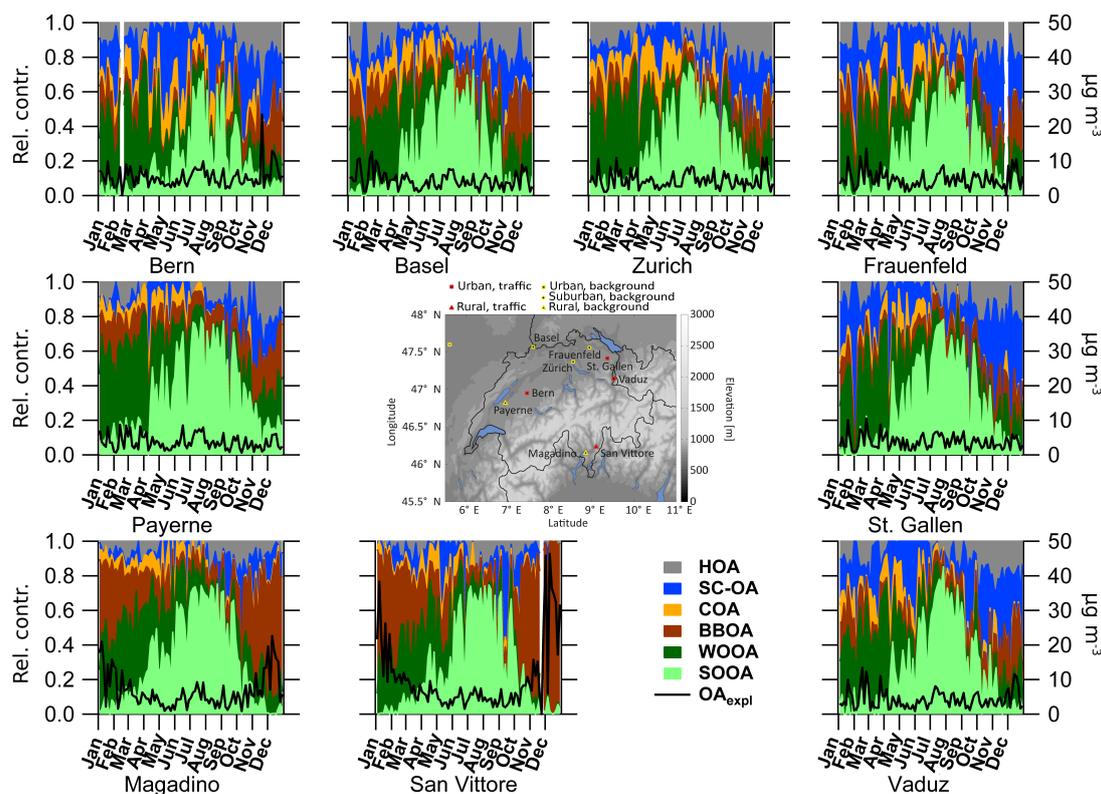
**Figure 8.** Cellulose concentrations as a function of the season and site. For comparison, literature data from other years are added at the European sites: Payerne (Bozzetti et al., 2016, error bars representing the standard deviation of the measurements in June and July), Puy de Dôme, Schauinsland, Sonnblick, K-Puszt (Sanchez-Ochoa et al., 2007), Birkenes, Hyytiälä, Lille Valby, and Vavihill (Yttri et al., 2011).

might allow an unambiguous separation of PBOA. The aim of this section is to estimate the influence of PBOA on the source apportionment results. A quantification of this fraction is, however, beyond the scope of this paper. In the following, we estimate the influence of PBOA in three alternative ways.

- **Based on factor profiles:** Bozzetti et al. (2016) identified the AMS fragment  $C_2H_5O_2^+$  as a possible tracer ion for PBOA. Based on the seasonality of SOOA (high in summer and low in winter), one can assume that SOOA in this study is a linear combination of PBOA and SOOA identified in  $PM_{2.5}$  and  $PM_{10}$ . Based on the relative contribution of the ion  $C_2H_5O_2^+$  to the factor profiles of SOOA from this analysis and literature profiles of PBOA and SOOA from Bozzetti et al. (2016, study site: Payerne), we estimate that 17 % of the water-soluble SOOA is in fact PBOA (between 2 and 23 % for the different sensitivity tests). Using this approach, we estimate that PBOA contributes  $0.30 \mu\text{g m}^{-3}$  during the warm months (site-to-site variability computed as the standard deviation of the average concentration of all sites of  $0.03 \mu\text{g m}^{-3}$ ). During the same period, SOOA concentrations are  $1.78 \mu\text{g m}^{-3}$  (site-to-site variability of  $0.18 \mu\text{g m}^{-3}$ ) and OA concentrations are  $4.32 \mu\text{g m}^{-3}$  (site-to-site variability of  $0.44 \mu\text{g m}^{-3}$ ). This approach is very uncertain, mainly due to the uncertainty in PBOA and SOOA profiles, the assumption of a constant

PBOA contribution to SOOA, and also the uncertainty of  $R_{\text{PBOA}}$ .

- **Based on coarse OC:** Bozzetti et al. (2016) showed that coarse OC ( $OC_{\text{coarse}} = OC_{\text{PM}_{10}} - OC_{\text{PM}_{2.5}}$ ) in summer is dominated by PBOA for samples collected at a rural site in Switzerland (Payerne). For a subset of the samples used in the present work, OC in the  $PM_{2.5}$  fraction was also analysed (Basel, Bern, Magadino, Payerne, Zürich accounting for 149 samples in total). For these samples, the  $OC_{\text{coarse}}$  contribution to OC in the  $PM_{10}$  fraction is 16 % higher in summer than in winter (site-to-site variability of 4 %). This part of OC might be related to resuspension caused by traffic or emissions of primary biological particles. The ion  $C_2H_5O_2^+$  (indicator for PBOA) shows higher concentrations with increasing  $OC_{\text{coarse}}$  concentrations. Therefore, this increment can tentatively be ascribed to PBOA, which leads to a contribution of  $0.55 \mu\text{g m}^{-3}$  to OC in summer (site-to-site variability  $0.16 \mu\text{g m}^{-3}$ ). This results in an average summer PBOA concentration of  $1.21 \mu\text{g m}^{-3}$  with a site-to-site variability of  $0.39 \mu\text{g m}^{-3}$  when assuming an OM / OC of 2.2 (or  $0.66 \pm 0.21 \mu\text{g m}^{-3}$ , for OM / OC = 1.2; OM / OC range according to Bozzetti et al., 2016). For Magadino (2014, Vlachou et al., 2017),  $OC_{\text{coarse}}$  represents 8 % of OC in  $PM_{10}$  in winter while this ratio is 25 % in summer. It can be assumed that the difference of 17 % in summer can be attributed to PBOA. Extrapolating this estimate to the overall dataset from 2013 considered in this study and assuming an OM / OC of 2.2, PBOA contributes on average  $0.97 \mu\text{g m}^{-3}$  to OA in  $PM_{10}$  in summer, with a site-to-site variability of  $0.13 \mu\text{g m}^{-3}$  (or  $0.63 \pm 0.07 \mu\text{g m}^{-3}$  OA with for OM / OC of 1.2).
- **Based on cellulose:** It has previously been shown that free cellulose contributes strongly to PBOA (25 % of PBOA mass, for measurements made in Payerne during summer 2012 and winter 2013; Bozzetti et al., 2016). Therefore, we can attempt to use cellulose analyses on a subset of samples (the same one as for levoglucosan but Bern; see Sect. 2.3) to estimate PBOA concentrations (Fig. 8). As seen for the case of  $OC_{\text{coarse}}$ , cellulose concentrations also increase with higher  $C_2H_5O_2^+$  concentrations. For the sites with cellulose measurements available (all sites in the study but Bern), cellulose average concentrations of  $0.17 \mu\text{g m}^{-3}$  (site-to-site variability of  $0.08 \mu\text{g m}^{-3}$ , in the warm season  $0.18 \pm 0.07 \mu\text{g m}^{-3}$ ) are observed, which corresponds to  $0.69 \mu\text{g m}^{-3}$  PBOA with a site-to-site variability of  $0.34 \mu\text{g m}^{-3}$  (in the warm season  $0.77 \pm 0.29 \mu\text{g m}^{-3}$ ), using the cellulose / PBOA from Bozzetti et al. (2016). In this last study conducted during summer (15 days in June–July 2012), PBOA concentrations of  $3 \mu\text{g m}^{-3}$  on average (with cellulose concentrations of  $0.8 \mu\text{g m}^{-3}$ ) were estimated, which is clearly above the observation



**Figure 9.** Map of Switzerland with yearly cycles. Negative concentrations were set to 0 prior to normalization for display. The OA mass explained by the source apportionment analysis is termed  $OA_{expl}$ .

made here. However, Bozzetti et al. (2016) assessed a shorter time period with diurnal resolution, instead of one sample per month as in the present work. Cellulose concentrations from other European sites during other years are consistent with the results in this study (Sanchez-Ochoa et al., 2007; Yttri et al., 2011). In general, the background cellulose concentrations at the southern alpine sites are higher and also the temporal behaviour deviates from that observed at the northern sites: the maximal concentrations are not reached in July–August but rather in May or October–November. The different seasonality might be caused by different agricultural procedures. The higher background concentrations of cellulose for the southern Alpine sites might be caused by interferences from wood burning, which in the absence of glucose analyses cannot be excluded.

All these PBOA estimates (between 0.3 and  $1.0 \mu\text{g m}^{-3}$  during the warm season) are consistently lower than reported in Bozzetti et al. (2016), with a factor 3 to 10 times lower depending on the site. One should keep in mind that these estimates are based on limited datasets in both studies (30 samples in Bozzetti et al. (2016) and 12 samples from the same site in this study).

### 4.3 Factor relative contribution at different sites

In general, the seasonality of the factor time series is consistent for all nine sites in the entire study area (Fig. 9). In summer, SOOA is the main contributor to OA, while in winter POA (HOA+COA+BBOA) becomes more important, although WOOA still contributes significantly. In comparison to the sites in northern Switzerland, OA in the southern alpine valleys is dominated by BBOA in winter, while in the north WOOA also plays a role. The different factors contribute  $0.47 \pm 0.12$  (HOA, average and site-to-site variability),  $0.31 \pm 0.13$  (COA),  $1.37 \pm 1.77$  (BBOA),  $0.67 \pm 0.31$  (SC-OA),  $1.11 \pm 0.23$  (WOOA), and  $1.31 \pm 0.13$  (SOOA)  $\mu\text{g m}^{-3}$  for all sites during the entire year (Table 3). In northern Switzerland, POA contributes less to OA ( $POA/OA = 0.3$ ) than in the southern alpine valleys, where  $POA/OA$  is equal to 0.6. Among POA, BBOA is the most important, with 87 % of POA in the south and 42 % in the north. The higher relative contribution of BBOA to POA in the southern alpine valleys than at the northern sites supports the conclusion that the high BBOA concentrations (e.g.  $2.45 \mu\text{g m}^{-3}$  in Magadino compared to  $0.62 \mu\text{g m}^{-3}$  in Vaduz) are not only a consequence of the meteorological situation in the valleys (strong thermal inversion close to the valley

**Table 3.** Yearly average contribution and uncertainty of resolved factors for PMF<sub>block</sub> run for the different sites and the average for all sites. The uncertainty is calculated based on the variability in the yearly averages from PMF<sub>block</sub> and the variability between the sensitivity tests.

Factor contribution and uncertainty $\mu\text{g m}^{-3}$ (%)	HOA	COA	BBOA	SC-OA	WOOA	SOOA
Basel	$0.65 \pm 0.23$ (14)	$0.35 \pm 0.19$ (8)	$0.72 \pm 0.15$ (16)	$0.51 \pm 0.24$ (11)	$1.08 \pm 0.24$ (24)	$1.21 \pm 0.30$ (27)
Bern	$0.61 \pm 0.23$ (11)	$0.59 \pm 0.29$ (11)	$0.64 \pm 0.14$ (12)	$1.25 \pm 0.45$ (23)	$1.21 \pm 0.28$ (22)	$1.11 \pm 0.29$ (21)
Frauenfeld	$0.56 \pm 0.22$ (12)	$0.28 \pm 0.19$ (6)	$0.64 \pm 0.14$ (14)	$0.96 \pm 0.35$ (20)	$0.98 \pm 0.22$ (21)	$1.30 \pm 0.32$ (27)
St. Gallen	$0.40 \pm 0.20$ (11)	$0.15 \pm 0.16$ (3)	$0.42 \pm 0.09$ (11)	$0.71 \pm 0.27$ (19)	$0.83 \pm 0.19$ (22)	$1.22 \pm 0.30$ (33)
Magadino	$0.41 \pm 0.20$ (6)	$0.27 \pm 0.21$ (4)	$2.45 \pm 0.50$ (37)	$0.41 \pm 0.20$ (6)	$1.53 \pm 0.32$ (23)	$1.54 \pm 0.35$ (24)
Payerne	$0.34 \pm 0.19$ (9)	$0.15 \pm 0.16$ (4)	$0.54 \pm 0.12$ (15)	$0.26 \pm 0.16$ (7)	$1.00 \pm 0.22$ (27)	$1.41 \pm 0.33$ (38)
Vaduz	$0.43 \pm 0.20$ (10)	$0.27 \pm 0.19$ (6)	$0.62 \pm 0.14$ (14)	$0.84 \pm 0.30$ (20)	$0.93 \pm 0.22$ (22)	$1.22 \pm 0.30$ (28)
S. Vittore	$0.33 \pm 0.18$ (4)	$0.28 \pm 0.22$ (3)	$5.78 \pm 1.16$ (59)	$0.51 \pm 0.23$ (5)	$1.39 \pm 0.30$ (14)	$1.45 \pm 0.33$ (15)
Zürich	$0.54 \pm 0.22$ (12)	$0.41 \pm 0.21$ (9)	$0.51 \pm 0.11$ (12)	$0.62 \pm 0.28$ (14)	$1.01 \pm 0.23$ (23)	$1.35 \pm 0.33$ (30)
Average	$0.47 \pm 0.21$ (9)	$0.31 \pm 0.20$ (6)	$1.37 \pm 0.28$ (26)	$0.67 \pm 0.28$ (13)	$1.11 \pm 0.25$ (21)	$1.31 \pm 0.32$ (25)

ground) but mainly reflect the emission strength. SC-OA, which is possibly linked to a local source of rather primary origin, shows clear site-to-site differences, with high concentrations at a traffic site in Bern ( $1.25 \mu\text{g m}^{-3}$ ) and low concentrations at a rural site in Payerne ( $0.26 \mu\text{g m}^{-3}$ ), for example. SOOA, believed to have strong influences from biogenic SOA, shows consistently low concentrations at all sites for low temperatures ( $0.76 \pm 0.67 \mu\text{g m}^{-3}$  at  $5\text{--}15^\circ\text{C}$ ) and clearly increased concentrations under warmer conditions ( $4.85 \pm 1.51 \mu\text{g m}^{-3}$  at  $25\text{--}35^\circ\text{C}$ ).

## 5 Conclusions

Sources contributing to OA are quantitatively separated and their uncertainty estimated statistically at nine sites in central Europe throughout the entire year 2013 (819 samples). Thereby, three primary (HOA, COA, BBOA) OA sources are separated from two secondary (WOOA, SOOA) categories and a yet unknown source explaining sulfur-containing fragments (SC-OA). BBOA exhibits clearly higher concentrations at the alpine valley sites in southern Switzerland than at the sites in northern Switzerland. SOOA, characterized by high concentrations in summer, shows a more than linear in-

crease with rising temperatures as is observed from biogenic volatile organic compound emissions and biogenic SOA concentrations. WOOA, the dominant SOA category during winter, closely correlates with  $\text{NH}_4^+$ . The influence of PBOA, not resolved by PMF, is estimated using, among others, cellulose analyses and could be an important contributor. Cellulose's temporal behaviour suggests maximal PBOA contributions in northern Switzerland during summer, while at the southern alpine sites maximal concentrations are reached in spring and autumn.

*Data availability.* The data are available upon request from the corresponding author.

**The Supplement related to this article is available online at <https://doi.org/10.5194/acp-17-13265-2017-supplement>.**

*Competing interests.* The authors declare that they have no conflict of interest.

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