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Supplement of

Size distribution and mixing state of black carbon particles during a heavy air pollution episode in Shanghai

Xianda Gong et al.

Correspondence to: Xin Yang (yangxin@fudan.edu.cn)

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1 **1. SP2 data analysis and a way to enhance the LEO-fit accuracy**

2 The SP2 data were analyzed using PSI v4.100 (Martin Gysel, Paul Scherrer Institute, 5232
3 Villigen, Switzerland) for the IGOR Pro software package (Wavemetrics, Inc., Portland, OR,
4 USA).

5 The small particles are not necessarily heated to full incandescence in SP2. Therefore, one can
6 get a peak that is smaller than it should be for a small mass of BC because the particle is not
7 getting sufficiently hot. Furthermore, a high-gain on the narrowband detector, as used in this
8 work, can introduce a decrease for the smallest particle sizes. The color ratio could possibly help
9 with this issue. The color ratio was calculated from the ratio of the broadband to narrowband
10 signals (Moteki and Kondo, 2010). We excluded BC-containing particles with color ratio in
11 excess of 3.0 from analysis. This improved the LEO-fit accuracy, especially for small core
12 rBC-containing particles.

13

14 **2. Biomass burning black carbon (BBBC) particles classification criteria**

15 To better classify BBBC particles, we combined ART-2a and ion-marker methods to validate the
16 classification. We have done a lab study and a field measurement on the chemistry of biomass
17 burning (mostly crop straw burning in China) BC-containing particles (Huo et al., 2015). Briefly,
18 in addition to the black carbon fragment ions (C_n^+ and C_n^-) in both positive and negative ion
19 mass spectra, +39 (K^+), -26 (CN^-), -42 (CNO^-) were used as the most important tracers for
20 BBBC particles. Given the extremely high detection sensitivity of potassium (due to the high
21 ionization cross-section of potassium at 266 nm) in SPAMS, it showed up in most mass spectra.
22 The criterion for attributing the potassium signal to BBBC particles was that +39 (K^+) signal had
23 to have the peak area of more than 1000, while the peak area of +56 (CaO^+/Fe^+) and -76 (SiO_3^-)
24 had to be less than 50. Indeed, the paucity of Si, Ca and Fe is the major characteristic of biomass
25 burning particles compared to coal burning particles (Pekney et al., 2006; Bein et al., 2007).
26 Because of the K-rich nature of biomass burning material, +113 (K_2Cl^+) or +213 ($K_3SO_4^+$) were
27 constantly observed in the mass spectra of biomass burning particles by ATOFMS. These ions
28 could be used as markers for BBBC particles instead of +39 (K^+) to confirm our assignments of
29 particles to the BBBC class. Lastly, -71 ($C_3H_3O_2^-$), as a significant fragment of levoglucosan,

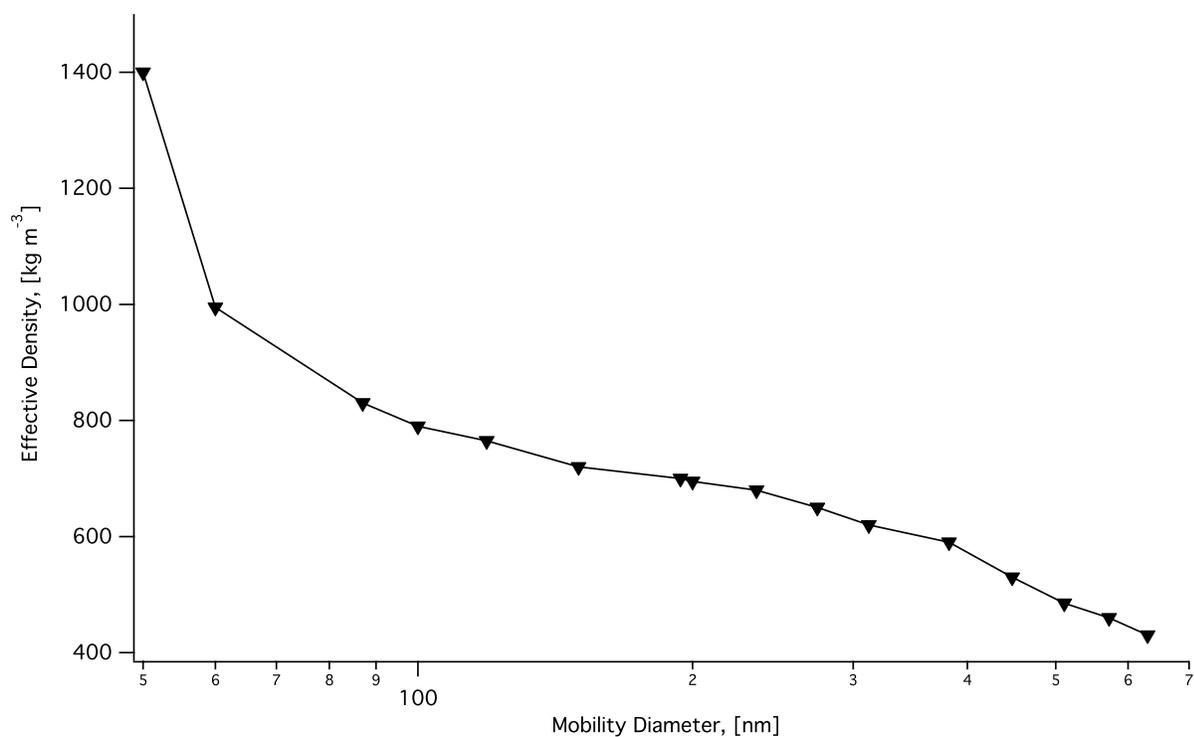
30 was an additional marker used to confirm our classification. We have applied the above criteria
31 when regrouping the ART-2a results.

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33 **Table S1.** Symbols and abbreviations

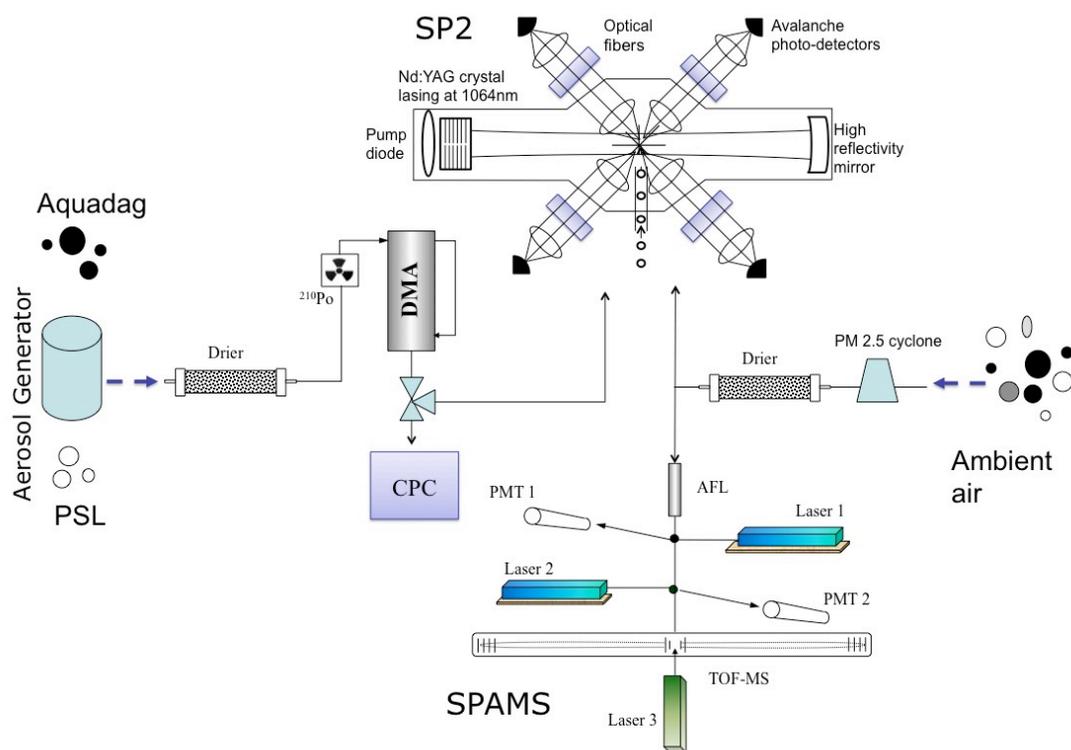
Symbol or abbreviation	Meaning
BC	Black carbon
rBC	Refractory black carbon
D_c	The black carbon core diameter
D_p	The entire particle diameter
D_{ME}	Mass equivalent diameter
D_{va}	The vacuum aerodynamic diameter
SP2	Single-particle soot photometer
SPAMS	Single particle aerosol mass spectrometer
sccm	Standard cubic centimeter per minute
ACT	Absolute coating thickness
RCT	Relative coating thickness

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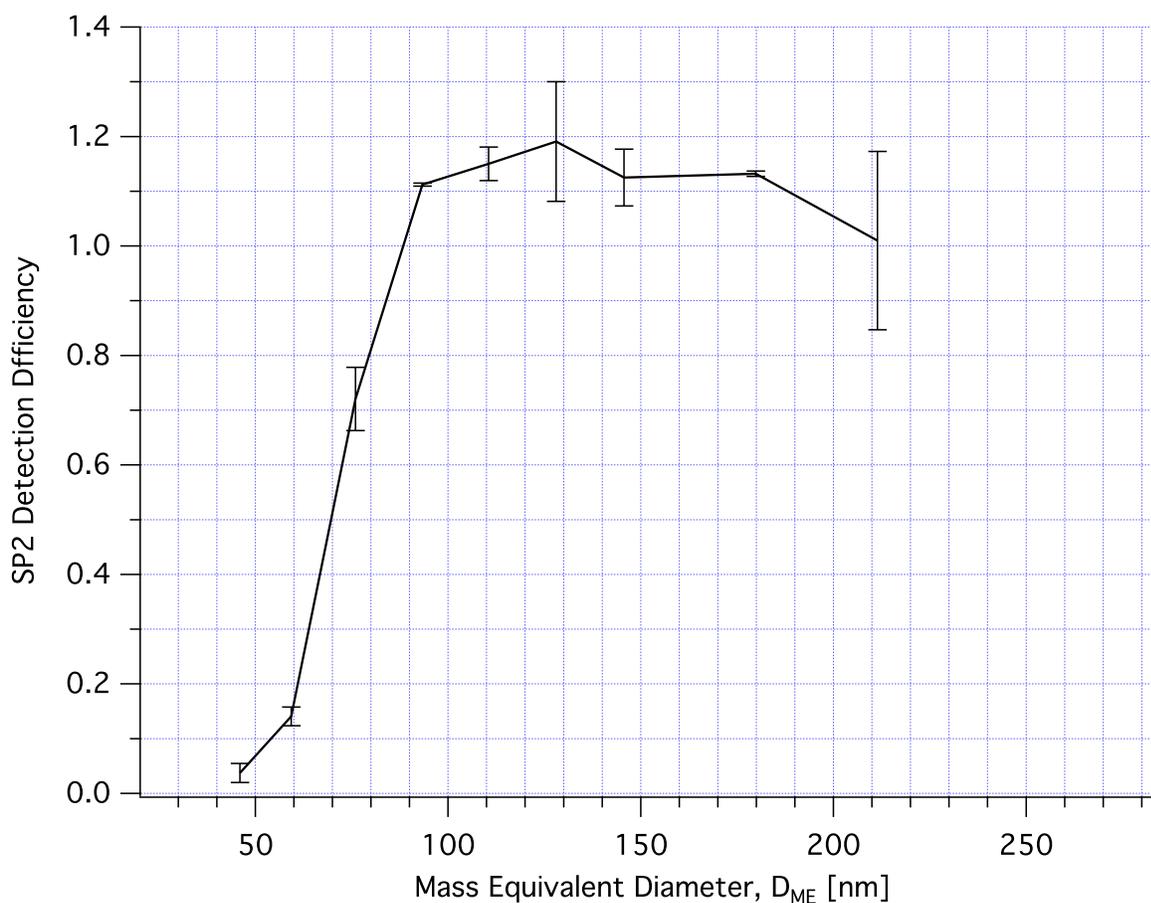
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36 **Figure S1.** Effective density of Aquadag[®] black carbon as a function of mobility diameter.

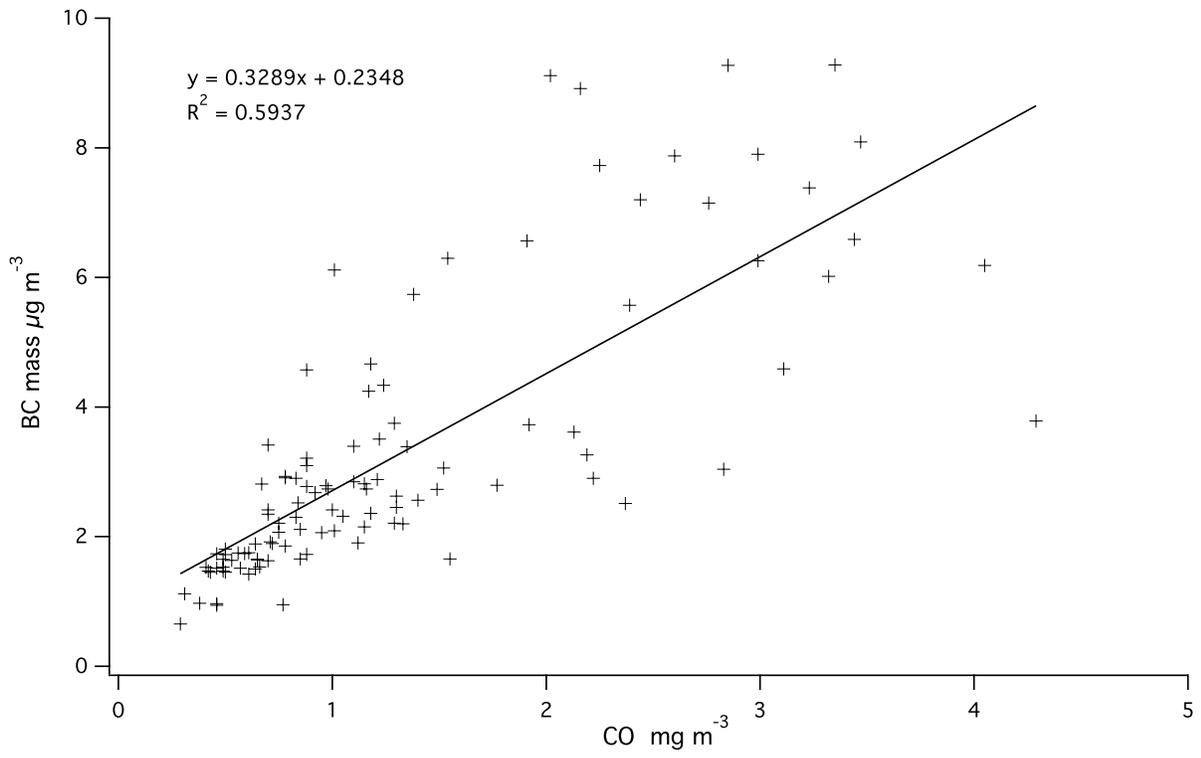


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38 **Figure S2.** A schematic diagram of the calibration and measurement system. The DMA, CPC,
 39 SP2 and SPAMS represent Differential Mobility Analyzer, Condensation Particle Counter,
 40 Single Particle Soot Photometer, and Single Particle Aerosol Mass Spectrometer, respectively.



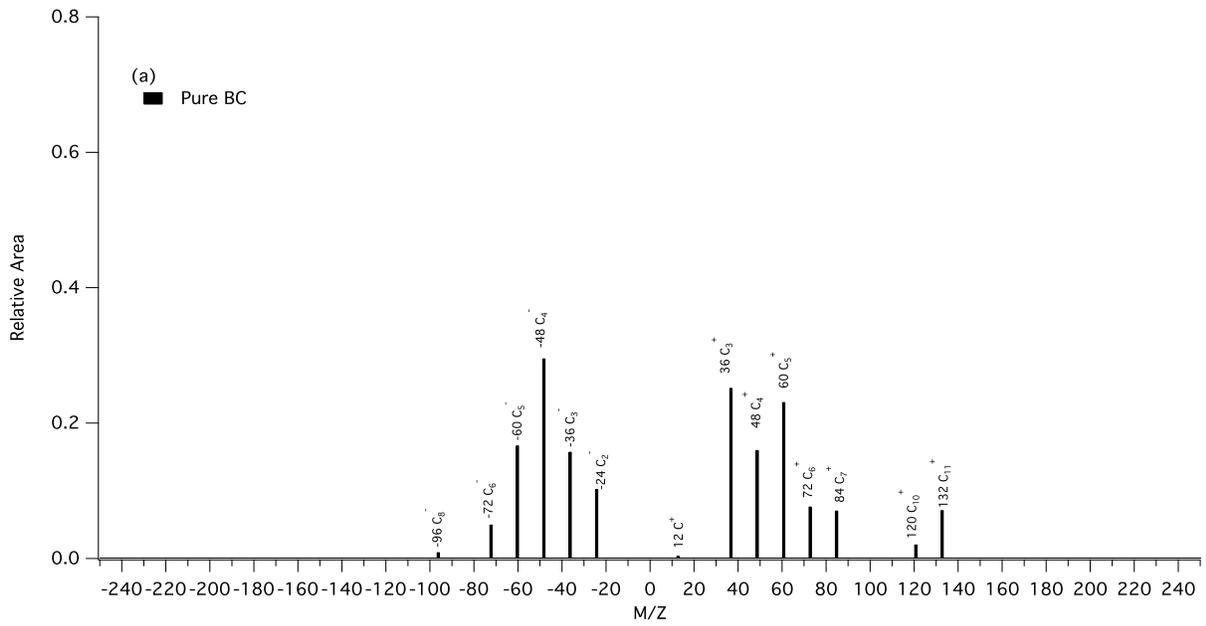
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 42 **Figure S3.** The average detection efficiencies in each rBC size-bin at a fixed laser
 43 intensity (1750 mA). Whiskers represent the standard deviation of the values in each size
 44 bin. In order to understand the mass and number size distribution of ambient rBC
 45 particles, here we transformed the mass equivalent diameter (D_{ME}) of Aquadag[®] BC to
 46 D_{ME} of ambient rBC according to their mass and different density. The detection
 47 efficiency of $D_{ME} = 45$ nm rBC was about 3.7%. The detection efficiency of 50%
 48 corresponded to $D_{ME} = 75$ nm.



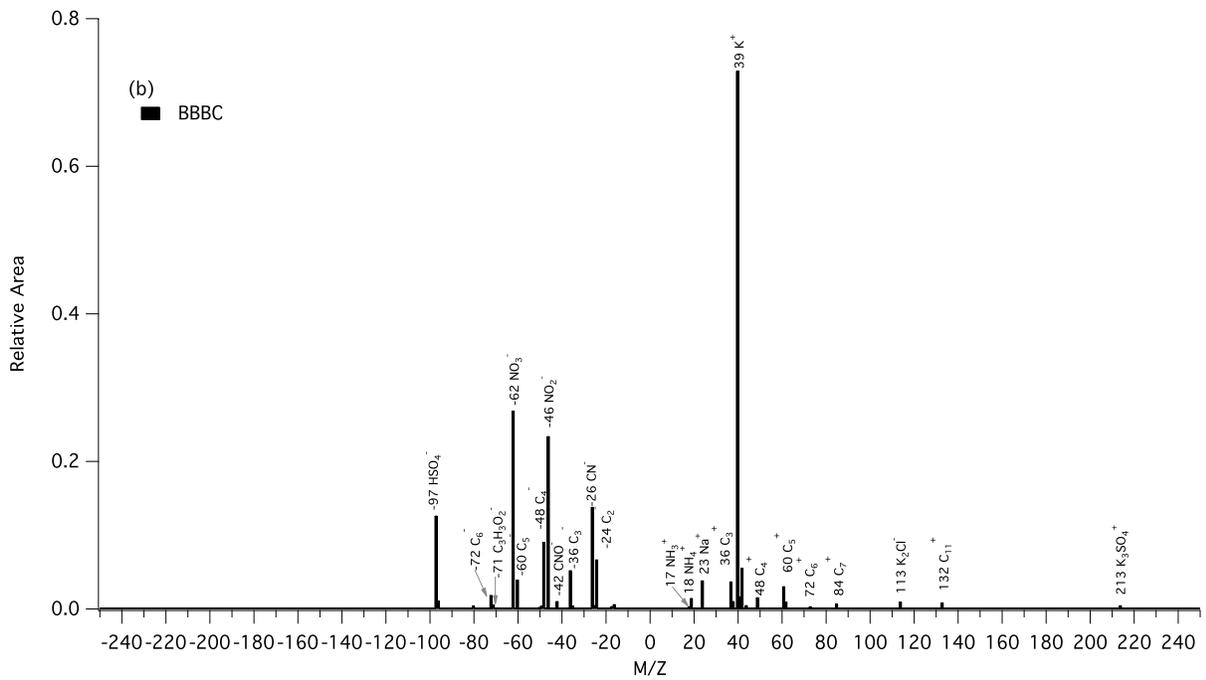
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50 **Figure S4.** A comparison between the measured CO and rBC mass concentrations.

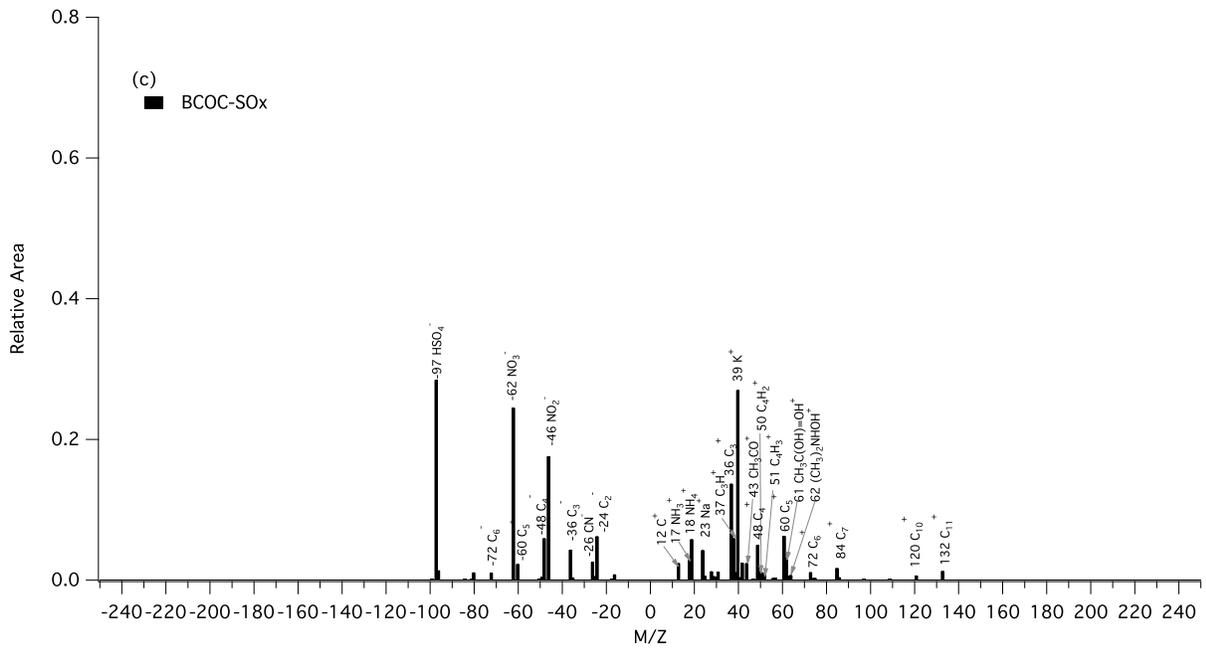
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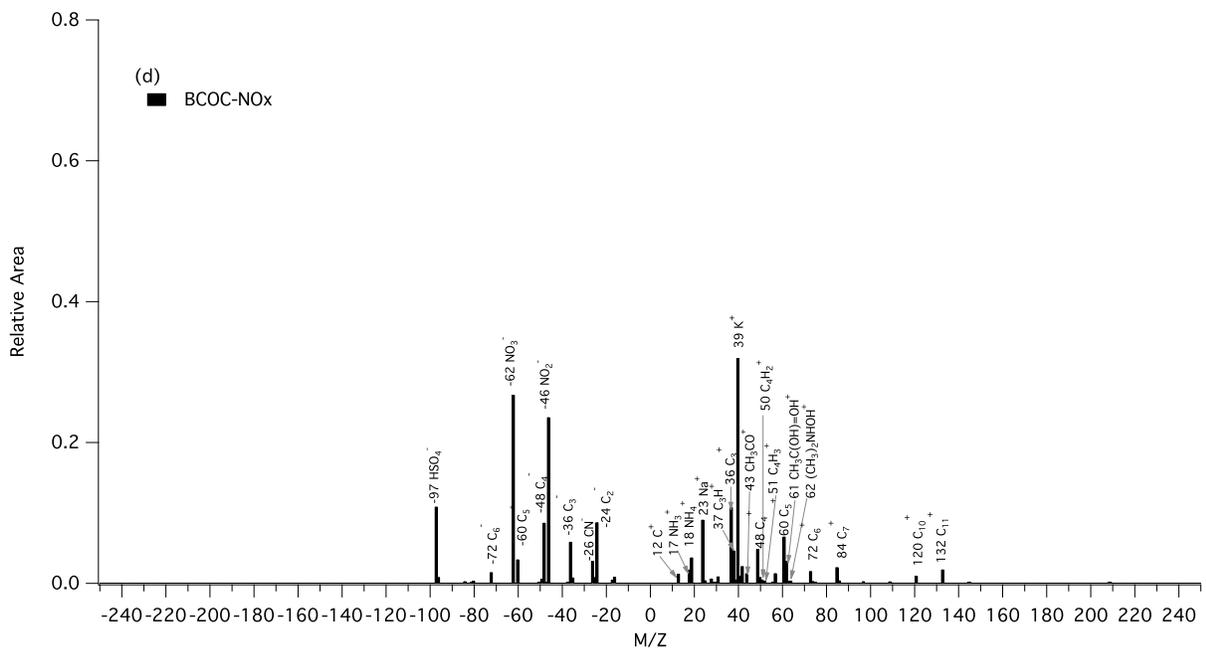
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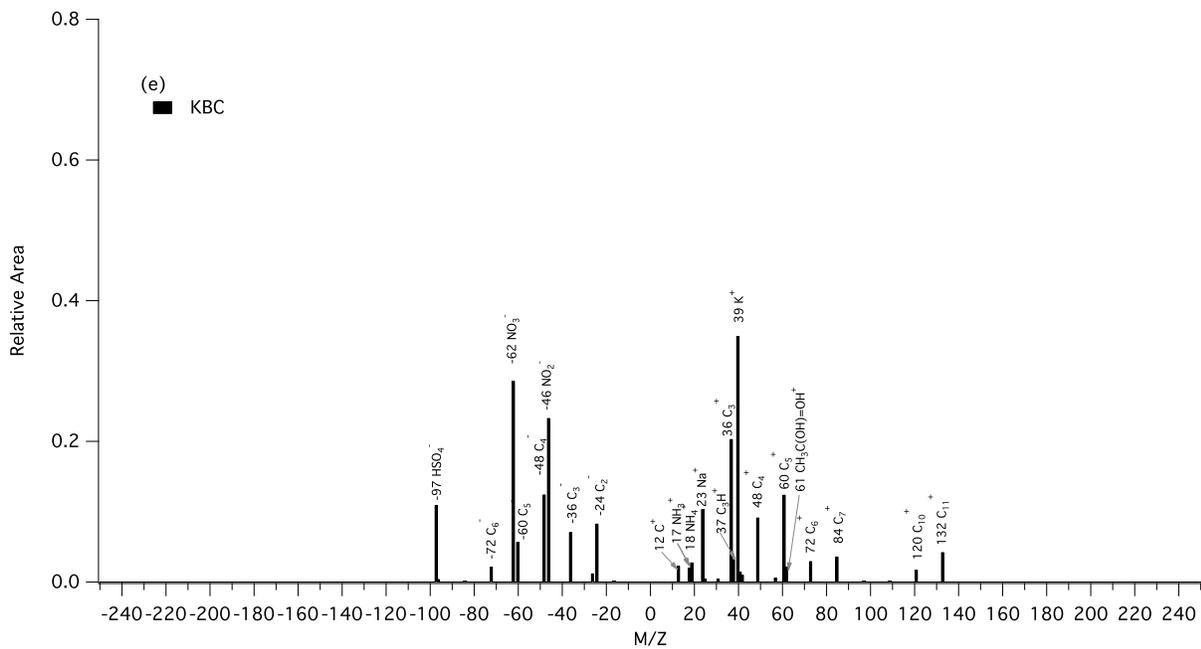
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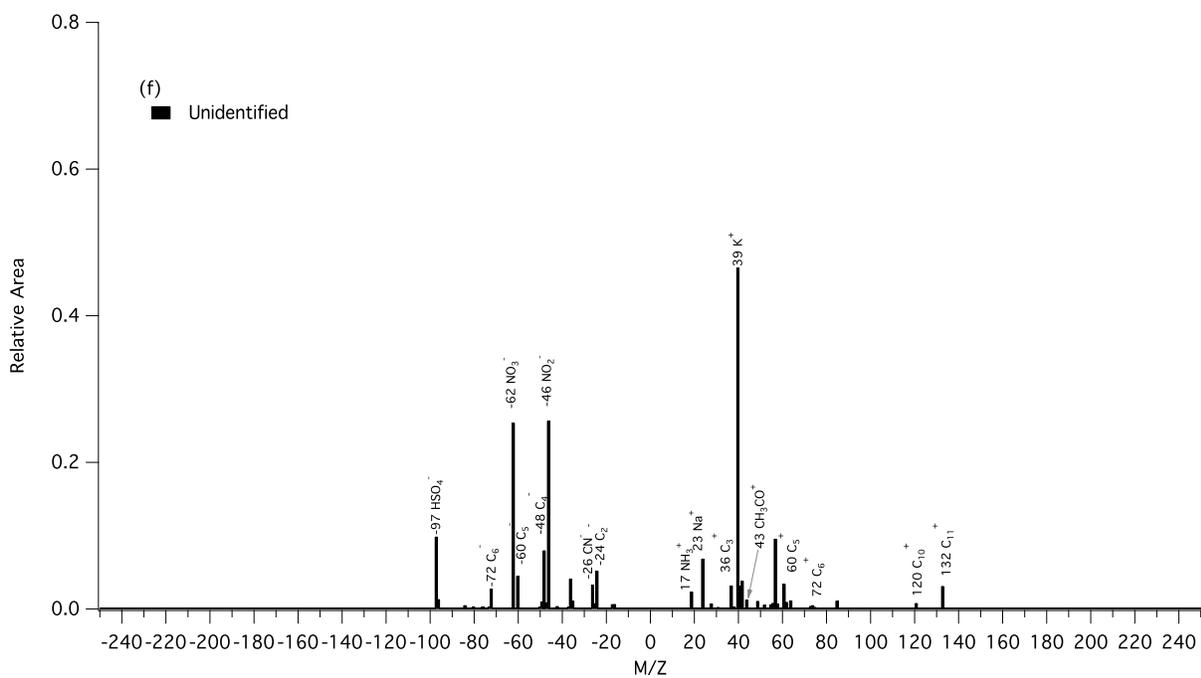
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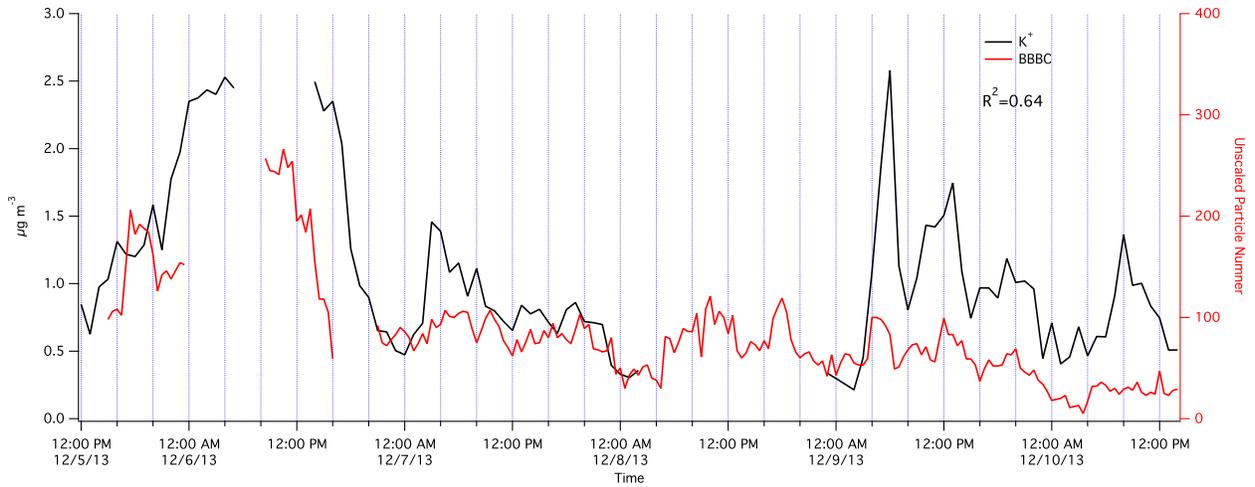


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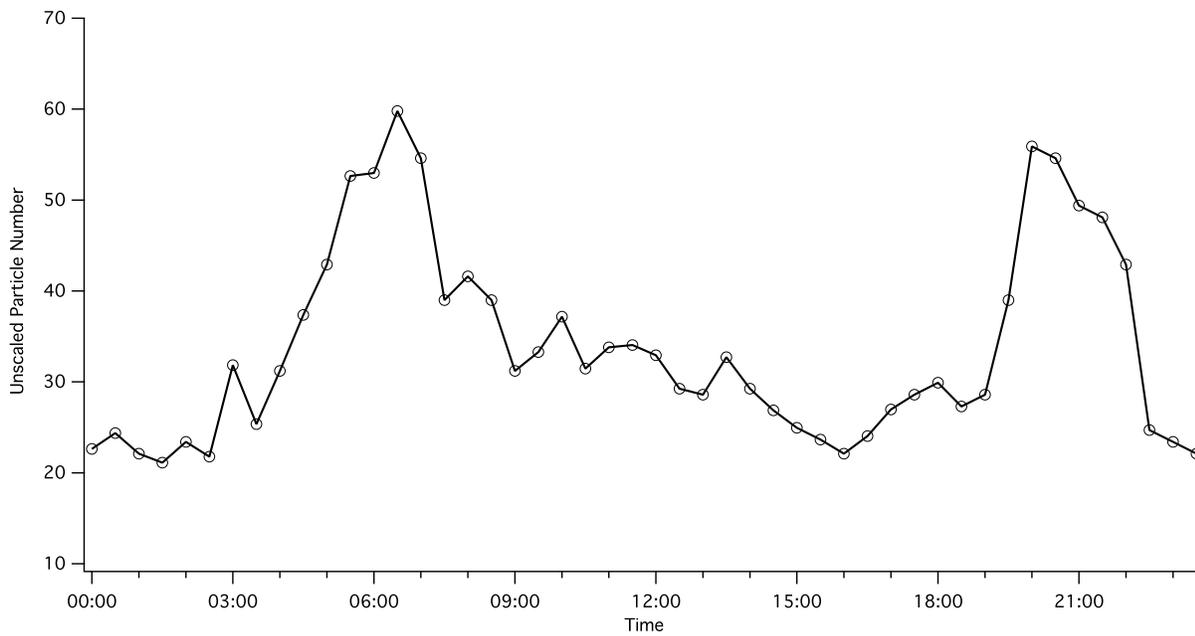
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58 **Figure S5.** Averaged mass spectra of different types of BC-containing particles. Major
 59 peaks are labeled with the most probable assignments.



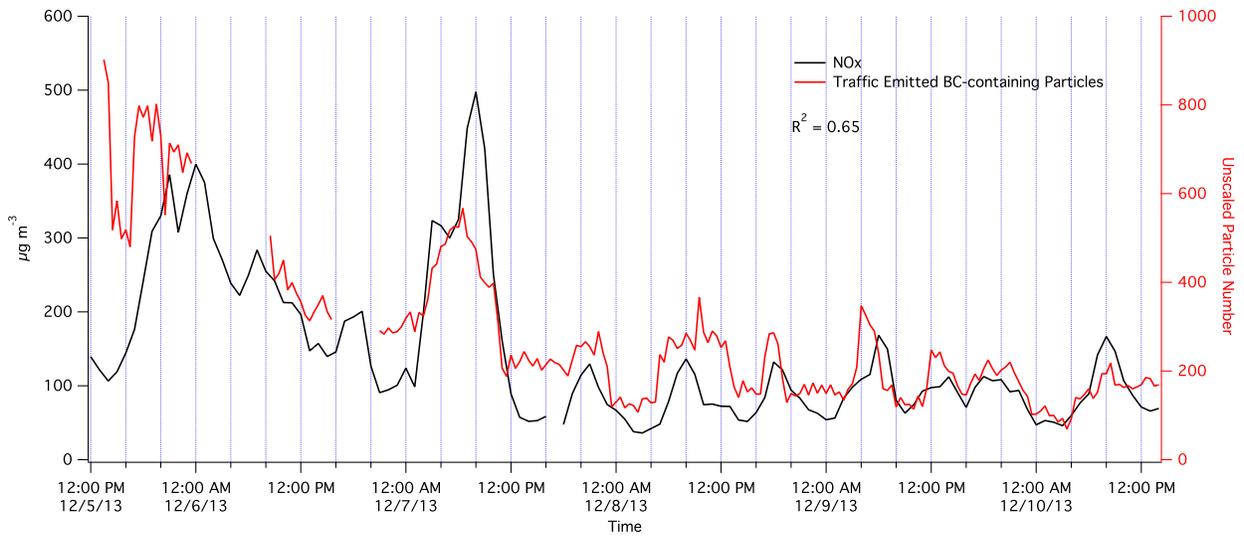
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61 **Figure S6.** Temporal variations of K^+ mass concentration in particles (measured with MARGA)
 62 and biomass burning BC-containing particles (measured with SPAMS).



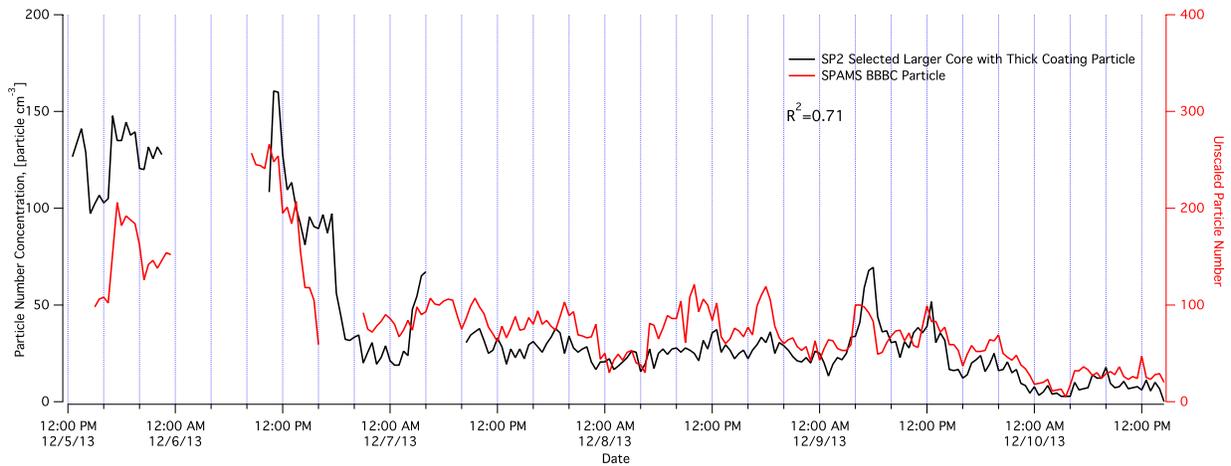
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64 **Figure S7.** Diurnal variation of KBC particles measured with SPAMS.



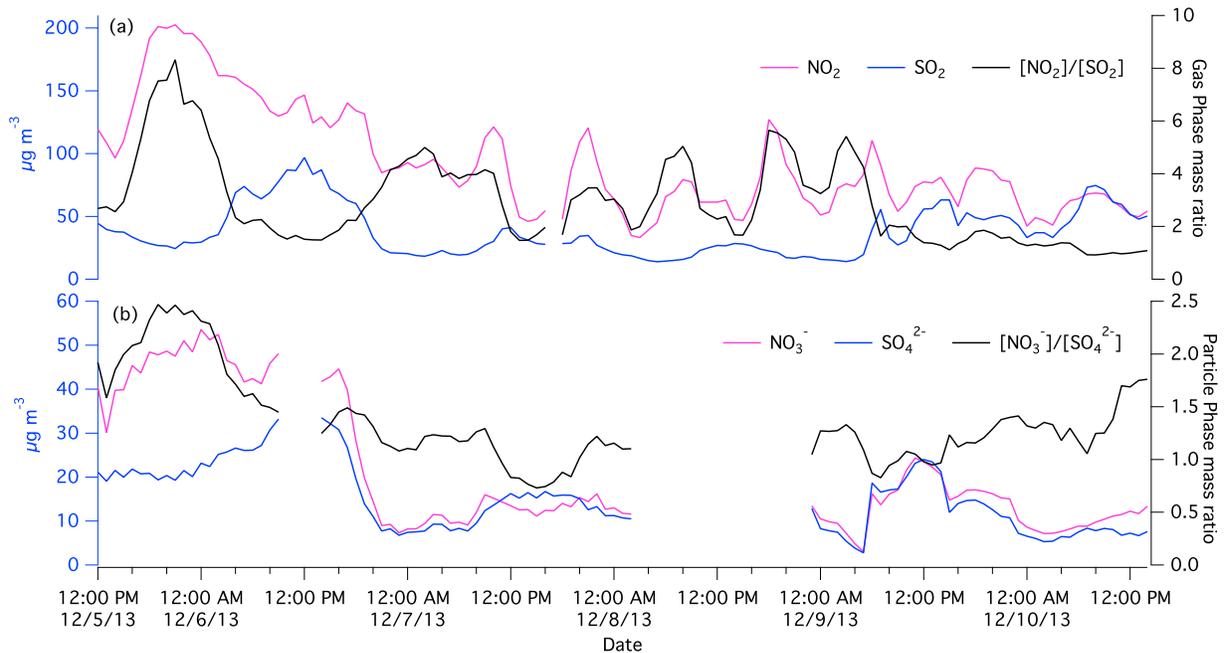
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66 **Figure S8.** Temporal variation of NO_x mass concentration and traffic-emitted BC-containing
 67 particles measured with SPAMS.



68

69 **Figure S9.** A comparison of the SPAMS-detected and SP2-detected biomass burning
 70 BC-containing particles.



71

72 **Figure S10.** (a) Temporal variations of the NO_2 and SO_2 mass concentration in the
 73 atmosphere and mass ratio of NO_2/SO_2 with 60 min resolution. (b) Temporal variation
 74 of NO_3^- and SO_4^{2-} mass concentration in particles and mass ratio of $\text{NO}_3^-/\text{SO}_4^{2-}$
 75 with 60 min resolution.

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