A naming convention for atmospheric organic aerosol

B. N. Murphy$^{1,2}$, N. M. Donahue$^3$, A. L. Robinson$^4$, and S. N. Pandis$^{3,5}$

$^1$Department of Meteorology, Stockholm University, Stockholm, Sweden
$^2$Department of Applied Environmental Science, Stockholm University, Stockholm, Sweden
$^3$Department of Chemical Engineering, Carnegie Mellon University, 5000 Forbes Ave, Pittsburgh, Pennsylvania 15213, USA
$^4$Department of Mechanical Engineering, Carnegie Mellon University, 5000 Forbes Ave, Pittsburgh, Pennsylvania 15213, USA
$^5$Department of Chemical Engineering, University of Patras, Patra, Greece

Correspondence to: S. N. Pandis (spyros@andrew.cmu.edu)

Received: 13 September 2013 – Published in Atmos. Chem. Phys. Discuss.: 15 November 2013
Revised: 16 April 2014 – Accepted: 20 April 2014 – Published: 12 June 2014

Abstract. While the field of atmospheric organic aerosol scientific research has experienced thorough and insightful progress over the last half century, this progress has been accompanied by the evolution of a communicative and detailed yet, at times, complex and inconsistent language. The menagerie of detailed classification that now exists to describe organic compounds in our atmosphere reflects the wealth of observational techniques now at our disposal as well as the rich information provided by state-of-the-science instrumentation. However, the nomenclature in place to communicate these scientific gains is growing disjointed to the point that effective communication within the scientific community and to the public may be sacrificed. We propose standardizing a naming convention for organic aerosol classification that is relevant to laboratory studies, ambient observations, atmospheric models, and various stakeholders for air-quality problems. Because a critical aspect of this effort is to directly translate the essence of complex physicochemical phenomena to a much broader, policy-oriented audience, we recommend a framework that maximizes comprehension among scientists and non-scientists alike. For example, to classify volatility, it relies on straightforward alphabetic terms (e.g., semivolatile, SV; intermediate volatility, IV; etc.) rather than possibly ambiguous numeric indices. This framework classifies organic material as primary or secondary pollutants and distinguishes among fundamental features important for science and policy questions including emission source, chemical phase, and volatility. Also useful is the addition of an alphabetic suffix identifying the volatility of the organic material or its precursor for when emission occurred. With this framework, we hope to introduce into the community a consistent connection between common notation for the general public and detailed nomenclature for highly specialized discussion. In so doing, we try to maintain consistency with historical, familiar naming schemes, unify much of the scattered nomenclature presented in recent literature, reduce the barrier of comprehension to outside audiences, and construct a scaffold into which insights from future scientific discoveries can be incorporated.

1 Introduction

Atmospheric aerosols have documented, although not fully understood, impacts on public and environmental health as well as the climate system (Seinfeld, 2004; Seinfeld and Pandis, 2006; Stocker et al., 2013). As a result, researchers have worked for decades to quantify these impacts and to report their findings back to the public in hopes of improving societal outcomes through better-informed decision-making. Without a doubt, scientific understanding of atmospheric aerosol in general and specifically organic aerosol has grown considerably over the past two or three decades (Seinfeld and Pankow, 2003; Seinfeld, 2004; Fuzzi et al., 2006; Donahue et al., 2009). The atmospheric aerosol research community has invented and refined a large number of analytical techniques and conceptual models to characterize the observed complexity of the corresponding pollutants and their precursors.
As understanding of aerosols has been refined so has the language used to describe it. With the application of each new instrument, our ability to sort and classify pollutants according to previously undetectable properties (e.g., oxidation state) (Zhang et al., 2011) has emerged, or our ability to resolve familiar properties has increased (e.g., at one time separating particulate matter with a diameter smaller than 2.5 µm from the larger particles was considered challenging; now the scanning particle size magnifier enables detection of particles smaller than 2 nm) (Kulmala et al., 2013).

Concurrent with such advances, new labels typically arise to identify new categories or properties in order to facilitate discussion of any differences among them: different societal impacts, different emission sources, etc. As a result, the field has developed several useful schemes for classifying organic aerosol (OA) that are logical, self-consistent, and effective (see for example Seinfeld and Pandis, 2003; Pöschl, 2005; Fuzzi et al., 2006; Donahue et al., 2009; Mohr et al., 2009; Ervens et al., 2011; Zhang et al., 2011). But when viewed as a whole, the landscape of jargon, operationally defined terms, and subtle caveats in OA research nomenclature has become imposing even for scientific experts and insurmountable to stakeholders.

This resulting complexity certainly reflects the underlying complexity in the OA system. Myriad sources contribute significantly to the OA burden, complicating the analysis of formation, growth, and removal (Seinfeld and Pandis, 2006; Wallace and Hobbs, 2006). Wood burning (residential, prescribed outdoor, forest fires, etc.), electricity generation, manufacturing, personal transportation, solvent use, shipping (by boat, train, or aircraft), cooking, emission of volatile vapors from plants, sea spray, and other activities all contribute to OA burden at urban, regional, and/or global scales (Kanakidou et al., 2005; Mohr et al., 2009; Hallquist et al., 2009). After emission, these compounds are susceptible to a wealth of oxidation processes that often significantly alter their structure and properties (Hallquist et al., 2009). For these reasons, defining a succinct, self-consistent naming convention is challenging. An effective naming framework should be straightforward and readily understood by non-experts. However, it should also be detailed and flexible to communicate as much information as possible about the origin and chemical nature of OA material. Before proposing such a naming convention, we review past attempts to classify OA over the last two decades and the specific challenges that have emerged.

Initially, OA was described by just two classifications: primary organic aerosol (POA) and secondary organic aerosol (SOA). POA described presumably non-volatile and inert OA emitted in the particle phase from a source, while SOA described material beginning its atmospheric lifetime as a volatile gas and, after oxidation and condensation, forming condensable (typically semivolatile) organic aerosol (Seinfeld and Pandis, 2006). In this original framework POA and SOA had different sources, volatility and chemical characteristics, and this simple categorization was sufficient to describe the then-understood complexity of OA (Pandis et al., 1993). Most chemical transport models (CTMs) using this simple framework predicted a majority of the OA in the atmosphere to be POA due to its nonvolatile and nonreactive nature (Chung and Seinfeld, 2002; Gaydos et al., 2007; Karydis et al., 2007).

As new observational techniques (e.g., aerosol mass spectrometry: AMS) emerged, studies such as Zhang et al. (2005) found it useful to distinguish between OA that is comprised of highly reduced molecules (hydrocarbon-like OA: HOA) and OA that is consistent with highly oxygenated compounds (oxygenated OA: OOA). Ambient OA was found to contain significantly more OOA than HOA with OOA fractional contributions routinely greater than 90% (Zhang et al., 2005; DeCarlo et al., 2010; Crippa et al., 2013). Following the common assumption that HOA generally aligned with POA and OOA with SOA, some traditional models were predicting SOA/OA ratios that were extremely low compared to observations (Volkamer et al., 2006; Shrivastava et al., 2008). Results from the European Monitoring and Evaluation Programme (EMEP) model, using higher SOA yields, showed higher SOA/OA ratios in suburban/rural areas of Europe (~70–80%) (Andersson-Sköld and Simpson, 2001; Simpson et al., 2007). Still, those results were consistent with the lower bound estimates of the very large OOA/HOA ratios measured by the AMS at urban, suburban, and remote sites during later campaigns (Kulmala et al., 2011; Crippa et al., 2013).

Several pieces of evidence emerged to help explain this discrepancy. The traditional model assumed that the effects of oxidation on organic compounds could be accounted for by considering only the first generation of reactions between organic molecules and atmospheric oxidants (e.g., hydroxyl radical, ozone, and nitrate radical) (Pandis et al., 1993; Griffin et al., 1999; Strader et al., 1999). It is now clear from smog-chamber experiments that semivolatile OA components formed from the oxidation of atmospherically relevant molecules like xylene (Loza et al., 2012), α-pinene (Salo et al., 2011; Tritscher et al., 2011; Donahue et al., 2012; Henry et al., 2012), and β-caryophyllene (Alfarra et al., 2012) continue to react with atmospheric oxidants. Whether this continued oxidation leads to a net enhancement or loss of ambient OA material is unclear due to the number and complexity of interactions that occur.

A second piece of evidence addressing the disagreement between the traditional conceptual model of POA/SOA and AMS observations of highly oxygenated material was reinforced when Lipsky et al. (2005), Griepshopp et al. (2009a) and Robinson et al. (2007) argued that POA emissions are substantially semivolatile when diluted to ambient levels. Observations of semivolatile partitioning were not new: Fraser et al. (1997, 1998) measured the concentration of semivolatile species in both the vapor and particle phases from ambient samples. The net transfer to the gas phase reduces the
influence of hydrocarbon-like, or reduced organic species in the conceptual model. Moreover, these gas-phase species are susceptible to rapid oxidation that can lead to condensation of secondary products back to the particle phase (Presto et al., 2010; Jathari et al., 2012). Communicating the nature of this highly dynamic OA material in an effective way is problematic. This material was originally accounted for as POA, but then it underwent a chemical change. Donahue et al. (2009) called this material oxygenated POA (OPOA), a term that identifies both the phase of the material upon emission as well as its chemical history. Both of these pieces of information are useful for scientific applications.

The added complexity associated with treating POA gas–particle partitioning and the effects of SOA and POA multi-generational oxidation led Donahue et al. (2006) to develop the volatility basis set (VBS) framework to organize all of these species into one continuum based on their volatility. In this scheme, organic material can be assigned to a volatility bin (lumped surrogate of many species with similar volatility) upon emission and can then be moved to higher or lower volatility in this space to account for the effects of oxidation. Chemical transport models have implemented this framework and explored the sensitivity of OA mass predictions to uncertainties in volatility of emissions and effects of oxidation (Lane et al., 2008; Hodzic et al., 2010; Murphy and Pandis, 2010; Fountoukis et al., 2011; Murphy et al., 2011; Ahmadov et al., 2012; Bergström et al., 2012). Observations of organic aerosol volatility have been refined as well through the use of gas chromatography (Isaacman et al., 2011; Presto et al., 2012; May et al., 2013a, b; Chan et al., 2013) and thermodenuder methods (Baltensperger et al., 2005; An et al., 2007; Saleh et al., 2008; Grieshop et al., 2009a, b; Hildeman et al., 2009). Because of the physical importance of volatility to gas–particle partitioning and the widespread attention volatility has received, it makes sense to anchor a proposed scheme to this property. However, there are some additional complexities that must be considered first in order to achieve a naming convention that is useful for both detailed scientific research and regulatory implementation.

It is also common practice to classify OA by its sources rather than its properties. Distinguishing between “anthropogenic” and “biogenic” OA, aSOA and bSOA respectively, is a common approach to assess the contribution of humans to atmospheric particles. Biomass-burning organic aerosols (BBOA) are emitted by natural forest fires, prescribed burning practices, biofuel use, and residential wood combustion among other sources. BBOA compounds are often classified separately due to the difficulty in assigning them to either anthropogenic or biogenic sources (Simoneit, 2002; Fast et al., 2009). Aqueous-phase SOA (aqSOA) is formed from the dissolution of organics in cloud droplets, followed by reaction in the aqueous phase and finally evaporation of the liquid water (Blando and Turpin, 2000). Recent work has shown that even volatile compounds like glyoxal and methylglyoxal can react in the aqueous phase and contribute to this source of organic aerosol (Carlton et al., 2008; Lim et al., 2010; Ervens et al., 2011; Myriokefalitakis et al., 2011) and the SOA products of these processes may be important on global scales (Liu et al., 2012). These distinctions (aSOA, bSOA, BBOA and aqSOA) are useful in discussing and reporting model results but are often difficult to identify using observational techniques.

In recent years, field observations have led to the emergence of several new classifications for OA. For example, analysis of AMS data has identified the importance of specific sources like cooking OA (cOA), marine OA (mOA), and traffic OA (tOA) (Mohr et al., 2009; Ovadnevaite et al., 2011). Individual organic compounds or molecular markers are often commonly used to apportion ambient OA to sources (Schauer et al., 1996). Much work has also been accomplished in using $^{14}$C concentrations to attribute OA to fossil (fOA) and non-fossil (nFOA) sources (Szidat et al., 2006; Simpson et al., 2007; Schichtel et al., 2008; Marley et al., 2009). The influence of water-soluble organic carbon (WSOC) has been assessed throughout the world with the particle into liquid sampler (PILS) (Sullivan et al., 2004; Hennigan et al., 2009).

Current ambient air-quality and emissions standards are, with respect to organic compounds, reflective of the traditional POA/SOA conceptual model. For example, the US EPA has historically required operators of stationary sources to report only emissions of VOCs and total particulate matter through assessment method 5 (Fed. Regist., 1971). Assessment method 5 dictated that particulate matter be withdrawn from the source collected on a glass fiber filter maintained at a temperature of 120 ± 14°C. The particulate matter mass was defined as any material that condensed at or above the filtration temperature. By encouraging methods 201A and 202 (Fed. Regist., 2010), the agency acknowledged the importance of capturing both filterable (particulate mass at stack temperature without dilution) and condensable particulate matter (particulate mass at 30°C without dilution), respectively. Method 201A built upon method 5 by introducing a PM$_{2.5}$ cyclone before the collection train and specified a cutoff temperature (30°C) for stack operation. If this cutoff temperature was exceeded, the “stack tester” was required to complete method 202: capture condensable particulate matter in a dry impinger, extract the material with hexane and water, dry the sample, and weigh it. Unfortunately, these methods are known to have serious positive and negative artifacts, precisely because semivolatile compounds tend to be both mobile and sticky. Dilution sampling equipment and techniques (Hildemann et al., 1989; Lipsky and Robinson, 2005; England et al., 2007; Li et al., 2011) have been developed over the last 30 years and are regarded as the most realistic method available for mimicking short-range atmospheric processing (Lee, 2010). Shirvastava et al. (2006), Donahue et al. (2009), and Robinson et al. (2010) argued that measurements should be taken at a range of dilution ratios to characterize the volatility distribution of a sample...
and its likely behavior at ambient conditions. These measurements should include high-dilution ratios above 200:1 and most importantly reach low concentrations similar to ambient conditions. However, the magnitude of necessary dilution depends on the OA mass loading in the emissions plume near the source. The same authors also pointed out interferences that could exist because partitioning of emissions to the real environment will depend on background OA concentrations. Because of these complications, the line between POA and SOA blurs and careful thought must be put into applying a naming convention to the reporting and enforcement of OA standards in air-quality management.

Systematic classifications for organic compounds have been proposed in the past. Donahue et al. (2009) recommended separating species by volatility in line with the VBS framework. Fuzzi et al. (2006) focused instead on distinguishing between 11 sources including biogenic, fossil fuel combustion, open biomass burning, and sea spray among others. That work also acknowledged, though, that classifications by source and those by property should begin to converge as more is learned about OA in general.

We propose an organized naming convention for communicating both OA sources and properties. The convention allows systematic inclusion or omission of detail depending on context and the target audience. In Sect. 2, we describe the framework itself, which is fundamentally based on the VBS conceptual model with compounds classified by their volatility. In Sect. 3, we describe extensions to this framework that incorporate the detailed OA classes that have become widely used with increased use of AMS, gas chromatography, and radiocarbon field observations. Finally in Sect. 4, we show how the proposed framework applies to methods used in air-quality management.

2 Proposed nomenclature

The proposed classification system describes intensive properties and emission sources of atmospheric organic compounds by relying on three components combined in the following syntax:

\[ \text{[current volatility]} - \text{[source][root name][phase]} - \text{[initial volatility]} \]  

(1)

where the current volatility of the classification indicated by an alphabetic capitalized prefix, the source and root name indicated by acronyms currently used in the field, and the initial volatility of the species upon emission (or its precursor if it is a secondary species) indicated by a lowercase alphabetic suffix.

The root name (Table 1) along with the phase subscript concisely describes several aspects of the organic species of interest. This core of the framework is the most familiar to the atmospheric aerosol community. It begins with designation of the species as a primary (P) or secondary (S) constituent followed by a token identifying all of the organic material (OA) or just the material from carbon (OC). Here, we define pollutant material as primary if it has not undergone a chemical change in the atmosphere (i.e., it could have changed phase). Pollutant material is secondary if it has been chemically changed in the atmosphere. The specific phase of the material is then identified with a subscripted word (or letter for shorthand); particle (p), vapor (v), or total (t). One can easily identify other phases as well (see Table 2). We finally propose one critical addendum to this scheme. If no phase subscript is provided (e.g., OA, POC, SOA), the acronym is assumed to refer only to condensed-phase material (i.e., no subscript “p” is needed). This addition effectively clarifies discussions with the non-aerosol and non-scientific community when the distinction of phase causes more confusion than clarity and is anyway subordinate to broader issues.

The source modifier preceding the root name identifies the emission source with terminology and abbreviations commonly used in the research community (Table 3). Anthropogenic (a) and biogenic (b), biomass burning (bb), cooking (c) and marine (m) categories are all subjects of ongoing work and important on local, regional, and global scales. Further, it is quite straightforward to combine these identifiers to further resolve material. For example, “abbOA” would distinguish biomass burning organic aerosol as a result of anthropogenic activity from “bbbOA”, biomass burning organic aerosol from natural activities.

We have chosen to omit the common modifier “aq” for identifying organic aerosol formed during reaction in an aqueous phase. While this formation pathway is an area of intensive and exciting study, our scheme focuses on attributes of OA relevant to its state at emission or current state, not on the formation process. Hypothetically, confusion arises when OA compounds formed via gas-phase reaction react in a dry particle with compounds formed via aqueous-phase reaction to form new products. Is this product material also aqSOA? More information is needed regarding the importance of this formation pathway, and the fate of its products in order for it to be accurately incorporated into the proposed scheme. Meanwhile, the phase subscript offers a method to identify hydrophilic organic material currently in an aqueous phase.

The alphabetic volatility prefix (Table 4) uses five broad categories to classify organic species by their current volatility (when measured in the lab or field, written to model output, etc.). Extremely low volatility (ELV-), low volatility (LV-), semivolatile (SV-), intermediate volatility (IV-) and volatile (V-) all describe organic compounds along a spectrum of effective saturation concentration at 298 K. Donahue et al. (2006) recommended separating volatility by one order of magnitude in effective saturation concentration, or \( C^\ast \) (\( \mu g m^{-3} \)), and this work follows that framework. Each volatility category includes several \( C^\ast \) bins and the boundaries of each classification have been chosen as the base-10 logarithmic average of the representative saturation concentrations (Table 4). For example, the boundary in effective saturation concentration between semivolatile and
Table 1. Examples of root names for OA classifications.

<table>
<thead>
<tr>
<th>Term</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>OA</td>
<td>Organic aerosol (primary + secondary). It consists of all of the atoms in the constituent molecules including carbon, oxygen, hydrogen, nitrogen, and sulfur, etc.</td>
</tr>
<tr>
<td>POA</td>
<td>Primary organic aerosol. This material has not undergone a chemical reaction.</td>
</tr>
<tr>
<td>SOA</td>
<td>Secondary organic aerosol. This material has undergone a chemical reaction in the atmosphere in either the particle or vapor phase.</td>
</tr>
<tr>
<td>OC</td>
<td>Organic carbon (primary + secondary). It consists of just the carbon contribution to the organic material.</td>
</tr>
<tr>
<td>POC</td>
<td>Primary organic carbon. This material has not undergone a chemical reaction.</td>
</tr>
<tr>
<td>SOC</td>
<td>Secondary organic carbon. This material has undergone a chemical reaction in the atmosphere in either the particle or vapor phase.</td>
</tr>
</tbody>
</table>

Table 2. Examples of phase subscripts for OA classifications.

<table>
<thead>
<tr>
<th>Subscript</th>
<th>Examples</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>[no subscript]</td>
<td>OA, OC</td>
<td>The acronym specifies only the condensed-phase material.</td>
</tr>
<tr>
<td>particle (p)</td>
<td>OA_{particle}, OA_{p}, OC_{particle}, OC_{p}</td>
<td>Condensed-phase material. This is completely interchangeable and synonymous with omitting the phase subscript.</td>
</tr>
<tr>
<td>vapor (v)</td>
<td>OA_{vapor}, OA_v, OC_{vapor}, OC_{v}</td>
<td>Material currently in the vapor phase regardless of the phase state of its precursor.</td>
</tr>
<tr>
<td>total (t)</td>
<td>OA_{total}, OA_{t}, OC_{total}, OC_{t}</td>
<td>Total material in all phases.</td>
</tr>
<tr>
<td>organic (o)</td>
<td>OA_{organic}, OA_{o}, OC_{organic}, OC_{o}</td>
<td>Condensed organic phase material. In other words, material partitioning to the organic phase (as opposed to the aqueous phase, for example) in a multi-phase condensed system.</td>
</tr>
<tr>
<td>aerosol water (aw)</td>
<td>OA_{aerosol\ water}, OA_{aw}, OC_{aerosol\ water}, OC_{aw}</td>
<td>Material hydrophilic enough to partition to the aqueous phase in aerosol particles suspended in air that is subsaturated with respect to water.</td>
</tr>
<tr>
<td>cloud water (cw)</td>
<td>OA_{cloud\ water}, OA_{cw}, OC_{cloud\ water}, OC_{cw}</td>
<td>Material absorbed into cloud droplets.</td>
</tr>
<tr>
<td>glassy (gl)</td>
<td>OA_{glassy}, OA_{gl}, OC_{glassy}, OC_{gl}</td>
<td>Material exhibiting properties of glassy substances such as low diffusivities and long mixing timescales in the condensed phase.</td>
</tr>
<tr>
<td>semisolid (ss)</td>
<td>OA_{semisolid}, OA_{ss}, OC_{semisolid}, OC_{ss}</td>
<td>Similar to glassy. Material that is exhibiting long mixing timescales in the particulate phase.</td>
</tr>
<tr>
<td>liquid (l)</td>
<td>OA_{liquid}, OA_{l}, OC_{liquid}, OC_{l}</td>
<td>Material exhibiting high diffusivities and quite short mixing timescales within particles.</td>
</tr>
</tbody>
</table>

The mapping of each of the semi-quantitative volatility classes to quantitative measures in $C^*$ space has been carefully considered. Semivolatile material (SV; 0.32 µg m$^{-3} < C^* < 320$ µg m$^{-3}$) partitions significantly to both condensed and vapor phases at OA concentrations relevant for the troposphere. Extremely low volatility (ELV) material on the other hand, has such low-vapor phase levels that it is essentially inaccessible to gas-phase oxidation and experiences no appreciable aging via this route during its atmospheric lifetime. At the other end of the spectrum, volatile material with $C^* > 3.2 \times 10^6$ µg m$^{-3}$ is consistent with the legacy acronym, volatile organic compound (VOC), and does not partition at all to the aerosol phase in the atmosphere.

In practice, the definition of effective saturation concentration includes the activity coefficient of each species and so the classification used here may depend on mixing effects. Characterizing these interactions is currently an area of vigorous research, and they may be incorporated into this framework when more thorough understanding has been gained. One approach, similar to the issue of temperature, would be to define reference compositions to further standardize the classification.

Table 5 shows examples of terms under the proposed framework and explanations of their contents. The list is not exhaustive; it just illustrates useful possibilities. In general, the framework allows the communication of available
Table 3. Examples of emission source designations for OA classifications.

<table>
<thead>
<tr>
<th>Source</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>a</td>
<td>Material from anthropogenic sources (e.g., aPOA, aSOA, aSOA&lt;sub&gt;total&lt;/sub&gt;)</td>
</tr>
<tr>
<td>b</td>
<td>Material from biogenic sources (e.g., bSOA, bPOA, bPOA&lt;sub&gt;vapor&lt;/sub&gt;)</td>
</tr>
<tr>
<td>bb</td>
<td>Material from biomass burning processes (e.g., bbOA, bbPOA, bbSOA&lt;sub&gt;vapor&lt;/sub&gt;)</td>
</tr>
<tr>
<td>c</td>
<td>Material from cooking sources (e.g., COA)</td>
</tr>
<tr>
<td>m</td>
<td>Material from marine or sea-spray sources (e.g., mOA)</td>
</tr>
</tbody>
</table>

Connecting this approach to the notation proposed by Donahue et al. (2009) is straightforward. The definitions of low volatility, semivolatile and intermediate-volatility organic compounds (LVOC, SVOC, and IVOC, respectively) are exactly as in that work. The updated scheme proposed here, though, systematically communicates the OA volatility of precursors from different sources as well. This is particularly important since characterizing the volatility of the most important OA precursors directly informs control strategies to mitigate OA atmospheric concentrations. Moreover, knowledge of how OA volatility evolves in the atmosphere sheds additional light on how concentrations will respond to changing meteorological conditions (for instance, in a changing climate). The scheme more directly distinguishes between OPOA (SV-SOA-sv and LV-SOA-sv), non-traditional SOA (SV-SOA-iv and LV-SOA-iv), and for the first time includes a classification for the low-volatility products of particle-phase reactions involving POA (LV-SOA-lv). It can also be adapted to the large number of specific source categories of OA already mentioned (aSOA, bSOA, bbOA, etc.).

This framework is powerful when applied to developing models of organic compound evolution in the atmosphere. Although primary organic aerosol emitted in the particle phase at low volatility (LV-POA-lv or LV-POA) can contribute significantly to the total OA burden near sources, it is dwarfed in many regions of the atmosphere by contributions from SOA (Zhang et al., 2007). To understand and predict these contributions, one has to understand the processes that transform compounds with relatively high volatility into compounds with volatility low enough to partition significantly to the particle phase. The naming convention proposed here is designed to emphasize the importance of these transformations by identifying the current volatility of material but also the volatility of its precursor upon emission. It becomes conceivable, for instance, for a regional-scale modeling study to report the relative contributions to semivolatile OA (SV-OA) by semivolatile emissions (SV-OA-sv), intermediate-volatility emissions (SV-OA-iv), and volatile emissions (SV-OA-iv) as a function of season or proximity to major source areas. Although this kind of information has been reported before (Murphy et al., 2010; Tsimpidi et al., 2010; Jathar et al., 2012), imprecise terms like “non-traditional SOA” arose that can be replaced by much more systematic and clear terms when the proposed nomenclature is applied.

Rather than reporting relative contributions of OA from several volatility classes, one could envision reporting a single mass- or molar-weighted volatility. However, the usefulness of such a result is debatable given the strong non-linear dependence of partitioning on volatility. Furthermore, the nature of the proposed naming framework, with its reliance on alphabetic rather than numeric identifiers, is well-suited for communicating broad concepts quickly, rather than relying on the audience’s knowledge of the relationships between $\log_{10}(C^*)$ and partitioning.

One can think of other attributes to accommodate with this scheme: for instance, oxidation state (or O : C ratio) or functional group as detected by Fourier transform infrared spectroscopy (Russell et al., 2011). Although there is a wealth of data and analysis in recent literature showing the importance and usefulness of these properties, volatility is most directly relevant for gas–particle partitioning under typical atmospheric conditions, which is most relevant for predicting condensed-phase concentrations and societal impacts. Oxidation state can be further incorporated into this scheme if future observations warrant (e.g., if a direct connection to negative human health outcomes is shown).

We further emphasize that use of this scheme does not depend on use of the volatility basis set modeling framework as introduced by Donahue et al. (2006). Other model results or measurements can be easily described with this scheme by estimating the effective volatility of the material to be classified. In the simplest case, one can drop the volatility classification altogether and make use of the phase and source descriptors, while maintaining consistency throughout the community.

3 Application to laboratory and field measurements

A versatile naming system must accommodate atmospheric and laboratory observations. The proposed framework specifically identifies the current volatility of organic compounds, a property already measured and reported by many field and lab campaigns (Huffman et al., 2009; Lee et al., 2010; Isaacman et al., 2011; Chan et al., 2013; May et al., 2013a, b). As thermodenuder, dilution, and gas chromatography experiments become more common in the future, it will be critical to report current volatility in a consistent, succinct way.
The root term OOA and other root terms that have been used for classifying observations are presented in Table 6. Under this framework, they can be combined with effective saturation concentration prefixes just like the terms from Table 6, if volatility information is available. Total OOA is approximately equal to total SOA:

\[
\text{OOA} \approx \text{SOA} = \text{ELV-SOA} + \text{LV-SOA} + \text{SV-SOA} + \text{IV-SOA} + \text{V-SOA},
\]  

(2)

since SOA is distinguished from POA here as material that has been oxidized in the atmosphere. There are exceptions because some primary compounds, notably biomass burning emissions and some biological VOCs, may be partially oxidized upon emission. These compounds are technically POA under the proposed framework.

The prefixes LV and SV, identifying low-volatile and semivolatile species, respectively, have already been used extensively to describe two factors that result from positive matrix factorization (PMF) analysis of aerosol mass spectrometer (AMS) data, LV-OOA and SV-OOA (oxygenated organic aerosol) (Jimenez et al., 2009; DeCarlo et al., 2010). Because the AMS does not measure volatility, it can only be inferred. The original designations were based in part on the association of LV-OOA with (effectively non volatile) sulfate and SV-OOA with (semivolatile) nitrate, including a tendency to increase with decreasing temperature at night (Lanz et al., 2007). In addition, Jimenez et al., (2009) reported the effective saturation concentration of LV-OOA to be at or below 3.2 µg m\(^{-3}\) and that of SV-OOA to be between 0.01 and 100 µg m\(^{-3}\), while thermal denuder measurements have been used to infer the volatility distribution of the OOA factors (Cappa and Jimenez, 2010; Hildebrandt et al., 2010). These estimates generally align with the volatility cutoffs proposed in the current work, but do not strictly agree. As future studies yield more information about the volatility of LV- and SV-OOA, possibly through volatility-dependent mass spectrometry observations, these uncertainties can be reconciled with the strict boundaries proposed here. The same is true for the other root terms. The proposed naming framework uses the semi-quantitative lumped effective saturation concentration to distinguish between classes, not qualitative observations.

The flexibility of the proposed framework with regard to describing phase, volatility and source type simultaneously will be very useful once measurement techniques are able to distinguish this information routinely. Any type of organic aerosol observed in the field or lab and described in the literature can be incorporated simply by using it as the root term. This includes OOA, HOA, WSOC, traffic OA (tOA), fossil-fuel OA (fOA), etc. Lab studies will be able to use the suffix this framework includes, as they will generally be able to identify the volatility of the species at the beginning of an experiment. However, field campaigns will have limited or no access to this information. In these cases, the suffix may be omitted and the classification will identify all material as described in Sect. 2.

The treatment of biomass burning OA is a specific example of how the proposed framework can contribute to a more precise description of OA species. BBOA is often classified separately from anthropogenic and biogenic OA in source attribution exercises with the aid of chemical tracers (Simoneit et al., 1999, 2002; Abas et al., 2004) or factor analysis of mass spectra (Zhang et al., 2011 and references therein). Although the latter analysis typically assumes that the BBOA factor represents primary OA emissions from biomass burning events, the distinction between primary and secondary often blurs with mixing and chemical processing as pollutants are transported downwind (Aiken et al., 2010; DeCarlo et al., 2010). Recent studies have investigated the effects of aging on biomass burning emissions (Cubison et al., 2011; Hennigan et al., 2011; Jolleys et al., 2012). The proposed framework easily divides BBOA between particulate matter from primary emissions (bbPOA) and particulate matter formed through secondary processing (bbSOA). Moreover, phase and volatility information can be incorporated if this information is known. When characterizing source contributions or chemical interactions (e.g., with NO\(_x\)), one can envision the utility of differentiating low-volatility primary biomass burning OA (LV-bbPOA) from semivolatile secondary OA emitted as intermediate-volatility species (SV-bbSOA-iv).

Other potentially useful applications of the proposed scheme in the context of field and laboratory observations include (1) OA is captured at an observation station and the chemical composition is measured as a function of volatility with the thermal desorption–gas chromatography–mass spectrometry (TD-GC-MS). When combined with positive
### Examples of organic aerosol classifications under the proposed naming convention.

<table>
<thead>
<tr>
<th>Notation</th>
<th>Description</th>
<th>Emissions</th>
</tr>
</thead>
<tbody>
<tr>
<td>ELV-POA-elv or ELV-POA&lt;sub&gt;p-elv&lt;/sub&gt;</td>
<td>Extremely low volatility primary organic aerosol emitted with extremely low volatility.</td>
<td>Extremely low volatility – Full partitioning to the particle phase can be assumed for typical atmospheric conditions.</td>
</tr>
<tr>
<td>LV-SOA-elv or LV-SOA&lt;sub&gt;p-elv&lt;/sub&gt;</td>
<td>Organic aerosol that is emitted with extremely low volatility and undergoes some chemical change that increases its volatility enough to partition slightly to the gas-phase under certain conditions.</td>
<td>Low volatility – Partitions mostly to the particle-phase at atmospheric conditions</td>
</tr>
<tr>
<td>LV-POA-lv</td>
<td>Primary organic aerosol existing at low volatility and emitted in the low-volatility range. Has not reacted.</td>
<td>Semivolatile – Partitions between particle and gas phases at atmospheric conditions</td>
</tr>
<tr>
<td>LV-bbOA-lv</td>
<td>Low-volatility emissions from biomass-burning processes</td>
<td>Intermediate volatility – Partitions mostly to gas phase at atmospheric conditions</td>
</tr>
<tr>
<td>SV-POA-sv or SV-POA</td>
<td>Semivolatile primary organic aerosol that partitions between the particle and gas phases at atmospherically relevant conditions</td>
<td></td>
</tr>
<tr>
<td>SV-POA&lt;sub&gt;vapor&lt;/sub&gt;-sv or SV-POA&lt;sub&gt;vapor&lt;/sub&gt;</td>
<td>Semivolatile primary organic gas that partitions between the particle and gas phases at atmospherically relevant conditions</td>
<td></td>
</tr>
<tr>
<td>SV-POA&lt;sub&gt;total&lt;/sub&gt;-sv or SV-POA&lt;sub&gt;total&lt;/sub&gt;</td>
<td>Sum of SV-POA&lt;sub&gt;particle&lt;/sub&gt; and SV-POA&lt;sub&gt;vapor&lt;/sub&gt;</td>
<td></td>
</tr>
<tr>
<td>LV-SOA-sv</td>
<td>Low-volatility, particle-phase products of the oxidation of semivolatile primary organic compounds</td>
<td></td>
</tr>
<tr>
<td>OA-sv, OA&lt;sub&gt;p&lt;/sub&gt;-sv, or OA&lt;sub&gt;particle&lt;/sub&gt;-sv</td>
<td>All particle-phase compounds emitted as semivolatile compounds.</td>
<td></td>
</tr>
<tr>
<td>IV-POA&lt;sub&gt;vapor&lt;/sub&gt;-iv or IV-POA&lt;sub&gt;v&lt;/sub&gt;</td>
<td>Intermediate-volatility organic gases that have not reacted</td>
<td></td>
</tr>
<tr>
<td>SV-SOA&lt;sub&gt;iv&lt;/sub&gt;</td>
<td>Semivolatile particle-phase products of the oxidation of intermediate-volatility gases</td>
<td>Intermediate volatility – Partitions mostly to gas phase at atmospheric conditions</td>
</tr>
<tr>
<td>LV-SOA&lt;sub&gt;iv&lt;/sub&gt;</td>
<td>Low-volatility, particle-phase products of the oxidation of intermediate-volatility gases</td>
<td>Intermediate volatility – Partitions mostly to gas phase at atmospheric conditions</td>
</tr>
<tr>
<td>SV-SOA&lt;sub&gt;total&lt;/sub&gt;-&lt;sub&gt;iv&lt;/sub&gt; or SV-SOA&lt;sub&gt;particle&lt;/sub&gt;-&lt;sub&gt;iv&lt;/sub&gt;</td>
<td>Sum of semivolatile gases and particles from oxidation of intermediate-volatility organic compounds</td>
<td>Intermediate volatility – Partitions mostly to gas phase at atmospheric conditions</td>
</tr>
<tr>
<td>OA-iv, OA&lt;sub&gt;p&lt;/sub&gt;-&lt;sub&gt;iv&lt;/sub&gt;, or OA&lt;sub&gt;particle&lt;/sub&gt;-&lt;sub&gt;iv&lt;/sub&gt;</td>
<td>All particle-phase compounds emitted as intermediate-volatility compounds</td>
<td>Intermediate volatility – Partitions mostly to gas phase at atmospheric conditions</td>
</tr>
<tr>
<td>SV-SOA&lt;v&gt;</td>
<td>Semivolatile secondary organic aerosol emitted as volatile gas precursors (e.g., toluene, high-volatility alkanes, isoprene, α-pinene)</td>
<td>Volatile – Partitions almost entirely to gas phase at atmospheric conditions</td>
</tr>
<tr>
<td>LV-SOA&lt;v&gt;</td>
<td>Low-volatility products from the aging of traditional SOA compounds</td>
<td>Volatile – Partitions almost entirely to gas phase at atmospheric conditions</td>
</tr>
<tr>
<td>SV-SOA&lt;sub&gt;4-v&lt;/sub&gt;</td>
<td>Sum of semivolatile gases and particles from oxidation of volatile organic compounds</td>
<td>Volatile – Partitions almost entirely to gas phase at atmospheric conditions</td>
</tr>
<tr>
<td>SV-bSOA&lt;v&gt;</td>
<td>Semivolatile biogenic secondary organic aerosol emitted as volatile gas precursors (e.g., isoprene, α-pinene, terpinene, β-caryophyllene)</td>
<td>Volatile – Partitions almost entirely to gas phase at atmospheric conditions</td>
</tr>
<tr>
<td>SV-aSOA&lt;v&gt;</td>
<td>Semivolatile anthropogenic secondary organic aerosol emitted as volatile gas precursors (e.g., toluene, high-volatility alkanes and alkenes)</td>
<td>Volatile – Partitions almost entirely to gas phase at atmospheric conditions</td>
</tr>
<tr>
<td>LV-bbOA&lt;v&gt;</td>
<td>Low-volatility products from oxidation of volatile biomass burning emissions</td>
<td>Volatile – Partitions almost entirely to gas phase at atmospheric conditions</td>
</tr>
<tr>
<td>LV-POA</td>
<td>Low-volatility primary organic aerosol</td>
<td>Volatile – Partitions almost entirely to gas phase at atmospheric conditions</td>
</tr>
<tr>
<td>OA, OA&lt;sub&gt;p&lt;/sub&gt;, or OA&lt;sub&gt;particle&lt;/sub&gt;</td>
<td>Total organic aerosol</td>
<td>Volatile – Partitions almost entirely to gas phase at atmospheric conditions</td>
</tr>
<tr>
<td>LV-aSOA</td>
<td>Low-volatility anthropogenic secondary organic aerosol</td>
<td>Volatile – Partitions almost entirely to gas phase at atmospheric conditions</td>
</tr>
<tr>
<td>LV-bbOA</td>
<td>Low-volatility particle-phase compounds from biomass burning</td>
<td>Volatile – Partitions almost entirely to gas phase at atmospheric conditions</td>
</tr>
<tr>
<td>SV-bbPOA</td>
<td>Semivolatile biogenic primary organic aerosol</td>
<td>Volatile – Partitions almost entirely to gas phase at atmospheric conditions</td>
</tr>
<tr>
<td>SV-SOA</td>
<td>Semivolatile SOA</td>
<td>Volatile – Partitions almost entirely to gas phase at atmospheric conditions</td>
</tr>
</tbody>
</table>

Matrix factorization techniques, source attribution combined with knowledge of the volatility distribution could yield a suite of OA classes in need of identification. (2) A series of lab experiments are designed to age several OA precursors of varying carbon number (and thus volatility) in a flow tube. The OA is characterized with a thermodenuder and the resulting OA yields measured during the campaign can be organized using both volatility prefixes (that of the OA produced in the chamber) and suffixes (that of the precursor species). (3) The emissions of a power plant plume can be captured in a portable smog chamber and measured with a GC × GC vacuum ultra-violet high-resolution time-of-flight mass spectrometer to obtain a volatility and polarity distribution. The captured emissions can then be aged inside the chamber.
chamber and resampled to observe effects of aging on volatility. The chemical composition information would yield valuable insights on the apportionment of primary vs. secondary OA and the dependence of this apportionment on initial and final volatility.

4 Application to source measurement and policy

Organic aerosol model, field and laboratory results are synthesized and used to inform policy decisions. Conversely, regulations and policy goals are often used to frame scientific inquiry and results (e.g., estimates of anthropogenic environmental impacts with respect to future emission scenarios). Although specific, effective communication within the organic aerosol scientific community is vital, it is likewise important to address the larger community of people interested in air quality. One major point of confusion is the specific definition of primary versus secondary OA. It is now widely understood in the organic aerosol community that the line between primary and secondary OA categories is blurred, especially for semivolatile and intermediate-volatility compounds (Donahue et al., 2009).

Historically, segregation of primary and secondary OA has focused on bulk OA mass, not individual compounds. For example, a typical approach has been to first measure particle-phase organic mass directly emitted to the atmosphere from a source, classifying it as primary. Then any detected increase in organic mass downwind from the source has been attributed to secondary OA (presuming therefore that the OA enhancement resulted from oxidation of organic vapors and condensation of some of those products). This analysis assumes that all primary OA mass is inert. Knowing that the dynamic condensation and evaporation of OA compounds does occur, three atmospheric evolution trajectories become difficult to categorize as either primary or secondary OA:

1. Emitted vapor mass condenses to the particle phase, adding mass downwind that has not reacted (SV-POA-sv or SV-POA under the proposed framework).

2. Emitted particle-phase mass evaporates, reacts and condenses back to the particle phase, changing the chemical nature of the carbon mass without changing the loading (SV-SOA-sv under the proposed framework).

3. Emitted low-volatility, particle-phase mass reacts in the particle phase. This mass likely never went through a condensation process but changed the chemical nature of the carbon mass without changing the loading (LV- SOA-lv under the proposed framework).

The difficulty in the first two examples derives from the error in treating primary OA compounds with the static, inert assumption. The difficulty in the third example results from the conflict between the historical definition of secondary OA – bulk mass (either emitted in the vapor phase or formed from the oxidation of vapor-phase emissions) that, through condensation, has added mass to the particle phase sometime later – and the more chemically precise definition of secondary OA – compounds in the particle phase that have chemically reacted at least once in the atmosphere.

One option to address these contradictions would be to recommend a simplified nomenclature, replacing the POA / SOA paradigm and more precisely reflecting the conceptual models currently adopted by the organic aerosol community. On the other hand, the traditional POA / SOA model has a long history and, in general, adequately emphasizes the importance of atmospheric reactivity, partitioning, and aging that are important from a policy perspective. Thus, we propose to bridge the gap by (1) standardizing the conditions (temperature and organic aerosol concentration) at which primary OA is defined; (2) recommending that, for policy applications, secondary OA follow the historical definition (i.e., it should add mass to the particulate phase, not just be a product of a chemical reaction); and (3) providing a map of the classifications from the detailed framework to these broader definitions (Table 7).

Low-volatility emissions may be controlled with technologies targeting particle-phase capture and are well described as primary OA. At the other end of the spectrum, many VOCs are clearly precursors for secondary OA. Intermediate-volatility and semivolatile species may partition to either the particle or gas-phase depending on the temperature and OA concentration of their surroundings. Given that in Table 2 we have already distinguished SV-OA from IV-OA with a boundary of saturation concentration ($C^{*}$) equal to 320 µg m$^{-3}$, we propose defining primary OA as material emitted in the particle phase at an OA concentration ($C_{OA}$) equal to or below 320 µg m$^{-3}$ and $T = 298$ K. In other words,
primary OA will consist of compounds partitioning principally to the condensed phase at \(320 \mu g m^{-3}\) and 298 K. This boundary is somewhat arbitrary but has advantages. It preserves consistency between the traditional conceptual model and the detailed framework proposed here. It is high enough in saturation concentration to capture the vast majority of species partitioning to the particle phase at urban and near-source scales. At a \(C_{OA}\) of \(320 \mu g m^{-3}\), 76 and 97% of organic mass with \(C^* = 100\) and \(10 \mu g m^{-3}\) will partition to the particle phase, respectively, according to absorbptive partitioning theory (Donahue et al., 2006). On the other hand, 76 and 97% of organic mass with \(C^* = 1000\) and \(10000 \mu g m^{-3}\) will partition to the gas phase, respectively. Use of this proposed boundary achieves an adequate separation consistent with the classifications proposed in this work. The \(320 \mu g m^{-3}\) cutoff is also high enough to be well characterized by current dilution sampling techniques that have difficulty with measurements at low, ambient-like, loadings. This standardization applies directly to other methods of emissions characterization as well. Observations in traffic tunnels and regression analyses performed at ambient concentrations commonly estimate organic aerosol and vapor emissions. Just as for the dilution methods, these estimates must simultaneously report OA concentration and temperature so that an appropriate volatility can be inferred.

With this standard in place, primary OA can be represented as the sum of all of the OA terms with the suffixes -elv, -lv, and -sv; these are species emitted in the particle phase or formed from the reaction of precursors emitted in the particle phase at \(320 \mu g m^{-3}\) and 298 K. Secondary OA then comprises species emitted at higher volatility or formed from oxidation of precursors emitted at higher volatility (suffixes -iv and -v). Table 7 explicitly maps terms from the detailed framework to the simplified primary/secondary OA model. There is minimal contribution from intermediate and high-volatility organic compounds to primary particles. Thus, the terms IV-POA and V-POA are absent. In short, although the static conceptual model can be a useful representation for the near-source, short-timescale influence of emissions processes, it is fundamentally flawed from a detailed science point of view and should be avoided in applications requiring physical accuracy.

### 5 Conclusions

We have proposed a naming system for classifying atmospheric organic particle and gas compounds. This system is consistent with general classifications used in many field and laboratory studies, as well as developing model frameworks. Specifically, it is applicable to the current conceptual model of quite dynamic mechanisms by which these particles form and age. By introducing a standardized rule for communicating phase state via subscript, the scheme emphasizes the importance of phase transitions and dynamic interactions observed by the scientific community while maintaining consistency with the terms used to communicate to the policy community. We have chosen a classification system that segregates compounds based on their effective saturation concentration at 298 K. The use of alphabetic prefixes (e.g., ELV-, LV-, SV-, etc.) is standardized in terms of \(C^*\). We have also added a lowercase suffix to track the volatility of each species when it was emitted. This suffix can be applied to any root term describing the chemical nature of OA (e.g., POA, bbOA, aSOA, etc.) and bridges the gap between the traditional, static view of the POA / SOA system and the more recent, dynamic view which treats evaporation upon dilution and aging of both primary and secondary material.

Because of the observed semivolatile behavior of POA from many emissions sources, it is important to standardize the conditions at which particles will be defined to be primary. We propose this to be at 298 K and an OA concentration of \(320 \mu g m^{-3}\). This concentration is a suitable compromise between the low loadings seen at ambient conditions and the higher loadings often encountered when performing source measurements. It also agrees nicely with the proposed division between semivolatile and intermediate-volatility OA. This framework provides a standard for communicating detailed phase, volatility, source, and chemical information to experts and non-experts alike, and will be useful as the field continues to evolve.
Acknowledgements. This research was supported by the European Research Council Project ATMOPACS (Atmospheric Organic Particulate Matter, Air Quality and Climate Change Studies) (grant agreement 267099), the Marie Curie Actions Incoming Fellowship Programme, and the US EPA STAR program.

Edited by: K. Tsigaridis

References


Atmos. Chem. Phys., 14, 5825–5839, 2014 www.atmos-chem-phys.net/14/5825/2014/


