



# Impact of biomass burning on haze pollution in the Yangtze River delta, China: a case study in summer 2011

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**Abstract.** Open biomass burning is an important source of air pollution in China and globally. Joint observations of air pollution were conducted in five cities (Shanghai, Hangzhou, Ningbo, Suzhou and Nanjing) of the Yangtze River delta, and a heavy haze episode with visibility 2.9–9.8 km was observed from 28 May to 6 June 2011. The contribution of biomass burning was quantified using both ambient monitoring data and the WRF/CMAQ (Weather Research and Forecasting (WRF) and Community Multiscale Air Quality (CMAQ)) model simulation. It was found that the average and maximum daily PM<sub>2.5</sub> concentrations during the episode were 82 and 144  $\mu\text{g m}^{-3}$ , respectively. Weather pattern analysis indicated that stagnation enhanced the accumulation of air pollutants, while the following precipitation event scavenged the pollution. Mixing depth during the stagnant period was 240–399 m. Estimation based on observation data and CMAQ model simulation indicated that biomass open burning contributed 37 % of PM<sub>2.5</sub>, 70 % of organic carbon and 61 % of elemental carbon. Satellite-detected fire spots, back-trajectory analysis and air quality model simulation were integrated to identify the locations where the biomass was burned and the pollutants transport. The results suggested that the impact of biomass open burning is regional, due to the substantial inter-province transport of air pollutants.

PM<sub>2.5</sub> exposure level could be reduced 47 % for the YRD region if complete biomass burning is forbidden and significant health benefit is expected. These findings could improve the understanding of heavy haze pollution, and suggest the need to ban open biomass burning during post-harvest seasons.

## 1 Introduction

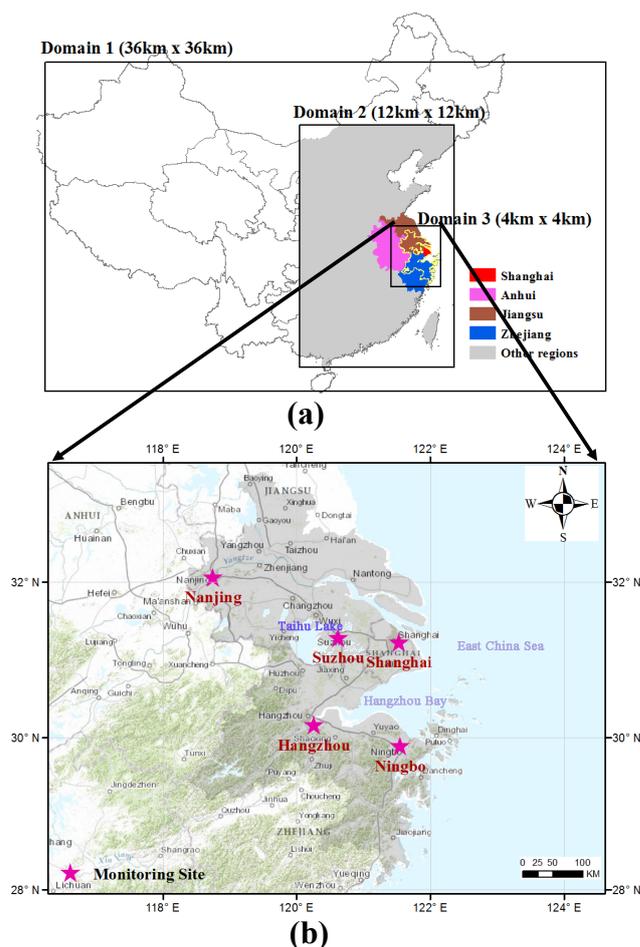
Emissions from biomass open burning have significant regional and global impacts on human health, visibility, and climate (Crutzen and Andreae, 1990; Penner et al., 1992; Watson, 2002). In eastern China, large amounts of crop residues are burned in the field during the post-harvest seasons (i.e., May–June and October–November) (Streets et al., 2003; Yan et al., 2006). The open burning of biomass could cause severe regional air pollution and haze issue in the Pearl River delta (PRD), the Yangtze River delta (YRD) and Beijing–Tianjin–Hebei areas of China (Wang et al., 2007; Li et al., 2010; Z. Zhang et al., 2010; Zhu et al., 2010; Yin et al., 2011; K. Huang et al., 2012; Cheng et al., 2013).

The YRD, including seven cities of northern Zhejiang Province, the Shanghai municipality and eight cities of southern Jiangsu Province (as shown in Fig. 1b), is

one of the city clusters in eastern China with the area of 110 915 km<sup>2</sup> and the population of 108.6 million (<http://china-trade-research.hktdc.com/business-news/article/Fast-Facts/Yangtze-River-Delta-Profile/ff/en/1/1X000000/1X06BW0C.htm>). Heavy industries including petro-chemistry, iron and steel production, and automobile manufacturing drive the YRD economy. In the meanwhile, the YRD is also a large producer of agricultural products, including wheat, rice, corn and cole flowers, resulting in large amounts of crop residue being openly burned (Zhu et al., 2012). Previous studies about biomass burning in the YRD mainly focus on either Nanjing (Zhang et al., 2011; Gao et al., 2012; Su et al., 2012; Zhu et al., 2012) or Shanghai (K. Huang et al., 2012; Zhang et al., 2011). Since biomass burning is distributed over a large area of the YRD rural region, its emissions can be transported over long distances under synoptic weather influence (Cheng et al., 2011), implying the necessity for regional joint observation and analysis to investigate pollutant transport and accumulation.

Biomass burning usually occurs in the forms of prescribed burning or residential wood heating in developed countries. For the prescribed burning, the concentration contribution is estimated to vary at 0.3–5.1  $\mu\text{g m}^{-3}$  2.8–43 % of the monthly ambient PM<sub>2.5</sub> (particles with aerodynamic diameters no more than 2.5  $\mu\text{m}$ ) load in Australia and the United States (Reisen et al., 2013; Tian et al., 2009). The contribution of residential wood heaters is at the range of 3.2–9.8  $\mu\text{g m}^{-3}$  27–77 % of the seasonal PM<sub>2.5</sub> load in winter of southeastern United States and Australia (Reisen et al., 2013; X. Zhang et al., 2010). In the winter in Portugal, the contributions of residential wood heaters to seasonal organic carbon (OC) and elemental carbon (EC) reaches 12.3 and 1.8  $\mu\text{g m}^{-3}$ , accounting for 64 and 11 %, respectively (Gelencsér, 2007). The biomass burning contribution to seasonal ambient PM<sub>2.5</sub> mass is much higher in China, that is, 12–27  $\mu\text{g m}^{-3}$  (15–24 %) in Beijing (Cheng et al., 2013; Song et al., 2007; Wang et al., 2009), 5.4–25.4  $\mu\text{g m}^{-3}$  (4–19 %) in Guangzhou (Wang et al., 2007), and 8–64  $\mu\text{g m}^{-3}$  (below 70 %) in Southeast Asia and south China (Fu et al., 2012). For the YRD region, contribution of biomass burning to the ambient PM<sub>2.5</sub> concentrations are seldom quantified and reported, especially for a heavy haze episode. Such information is vital for development of further pollution control strategies.

In this study, joint observations of air pollution were conducted in five cities (Shanghai, Hangzhou, Ningbo, Suzhou and Nanjing) of the YRD. A heavy haze episode with pretty low visibility was observed from 28 May to 6 June 2011. The impacts of meteorological conditions were analyzed. The contribution of biomass burning to PM<sub>2.5</sub> mass and carbon concentrations were quantified using the method of source markers and air quality model simulations.



**Fig. 1.** Model domain and location of measurement sites. **(a)** Three nested domain grids for WRF/CMAQ modeling. **(b)** Location of field monitoring sites. The yellow border in **(a)** and gray area in **(b)** constitute the YRD region. The five regions indicated by different colors in panel **(a)** were used for WRF/CMAQ sensitivity analyses, with biomass burning emissions set to zero in each region to determine their effects on concentrations of PM<sub>2.5</sub> and carbon species.

## 2 Materials and methods

### 2.1 Field observations

Five sampling sites were located in Ningbo and Hangzhou of Zhejiang Province, and Shanghai, Suzhou and Nanjing of Jiangsu Province to represent urban residential and commercial areas (Fig. 1b). These sites were 100–300 km apart to characterize urban-to-regional scale zones of influence (Chow et al., 2002). Site details were given in Table S1 and discussed in the Supplement. Data used here included the continuous hourly PM<sub>2.5</sub> and PM<sub>10</sub> (particles with aerodynamic diameters no more than 10  $\mu\text{m}$ ) mass concentrations measured by tapered element oscillating microbalance (TEOM) at 50 °C, meteorological parameters including

relative humidity (RH), temperature, wind speed/direction, and visual range (forward light scattering) for all five sites. Furthermore, daily average concentrations of PM<sub>2.5</sub> species were obtained by filter sampling and chemical analysis in the laboratory at the sites of Shanghai, Suzhou and Nanjing.

The TEOM lost some of the volatile particulate matter (PM) at 50 °C, but comparisons with collocated filters showed that this loss was less than 10–20% of the gravimetric mass (Chow et al., 2008). The Belfort and Vaisala forward scattering devices used for visual range measurement correlated well with the human observations at nearby meteorological stations, with  $R^2 = 0.73\text{--}0.87$  and regression slopes of 0.91–1.03. Daily, 22 h (14:00 to 12:00 LST on the following day) PM<sub>2.5</sub> Teflon-membrane and quartz-fiber filter samples were also taken. The mass concentrations of PM<sub>2.5</sub> and its metal elements, ions and carbonaceous matter were analyzed in the lab, and the detail information was given in Table S2 and text in the Supplement. Organic matter (OM) was estimated by  $1.55 \times \text{OC}$  to account for unmeasured hydrogen (H) and oxygen (O) according to HR-ToF-AMS (high-resolution time-of-flight aerosol mass spectrometer) and SP2 measurements in Shanghai (X.-F. Huang et al., 2012). Crustal material was calculated by the weighted summary of five major crustal elements, Al, Si, Ca, Fe, and Ti (Lowenthal and Kumar, 2003). The trace species consist of the elements measured by X-ray fluorescence (XRF) with the removal of crustal elements (Yang et al., 2011). Non-soil potassium (K<sup>+</sup>), which was calculated as water-soluble K<sup>+</sup> minus the part of crustal part which was  $0.6^*[\text{Fe}]$  (Hand, 2011), could be regarded as being from biomass burning (Wang et al., 2007).

## 2.2 Regional meteorology and fire emissions

Mixing depths and precipitation data were obtained from the Global Data Assimilation System (GDAS) model (Rolph, 2013), which was run at 00:00, 06:00, 12:00, and 18:00 UTC and gave the analysis file of current time as well as the forecast file for 3 h later. The UTC time was converted to LST time by adding 8 h for Beijing time in China. Mixing depths correspond to each time, while precipitation was cumulative for 3 h before the indicated time. Mixing depths of GDAS have been verified by comparison with the vertical lidar observation and agreed well in Shanghai (K. Huang et al., 2012). The Hybrid Single-Particle Lagrangian Integrated Trajectory (HYSPLIT) model (Draxler and Rolph, 2013; Rolph, 2013) was run in the back-trajectory mode at 100 m a.g.l. (above ground level) starting at 12:00 LST of 31 May and 4 June, and every 3 h repeated thereafter, for the running time of previous 24 h.

Active fire locations and brightness were obtained from the Fire Information for Resource Management System (FIRMS) derived from the Moderate Resolution Imaging Spectroradiometer (MODIS) (Davies et al., 2009). Daily 500 hPa height and surface weather patterns analysis chart

over East Asia were obtained from the Korea Meteorological Administration.

## 2.3 Receptor modeling for source apportionment

The tracer solution to the chemical mass balance (CMB) receptor model (Watson et al., 2008) was used to estimate the contributions of biomass burning to PM<sub>2.5</sub> mass concentrations. Biomass burning markers include water-soluble K<sup>+</sup> (Cheng et al., 2013; Duan et al., 2004), levoglucosan (Sullivan et al., 2008; Wang et al., 2007) and black carbon (BC) absorption concentration differences between 330 and 88 nm (Y. Wang et al., 2011). In this study, non-soil water-soluble K<sup>+</sup> was used as the marker of biomass burning as it is the only marker quantified. The ratios of  $[\text{PM}_{2.5}]/[\text{non-soil K}^+]$ ,  $[\text{OC}]/[\text{non-soil K}^+]$  and  $[\text{EC}]/[\text{non-soil K}^+]$  for biomass burning source profiles were decided according to literature results. Then these ratios were multiplied by the ambient non-soil K<sup>+</sup> levels determined from each PM<sub>2.5</sub> filter sample to determine the contribution of biomass burning. It shall be noticed that the results of this method only included the primary PM<sub>2.5</sub> or OC contribution emitted directly by biomass burning, and did not cover the secondary PM<sub>2.5</sub> or OC contribution oxidized from the gaseous pollutant emitted by biomass burning.

## 2.4 WRF/CMAQ model

The Weather Research and Forecasting (WRF) model (version 3.3.1) and Community Multiscale Air Quality (CMAQ) model (version 5.0), which are widely used over the world (Knipping et al., 2006; Wang et al., 2010; Fu et al., 2012), were used to simulate the pollution episode. The CMAQ modeling domains were shown in Fig. 1a, with the outer domain of 36 km × 36 km for China, the medium domain of 12 km × 12 km for eastern China and the inner domain of 4 km × 4 km for the YRD area. Twenty-four vertical layers were included from the height of the surface to 100 mbar (about 16 km), of which thirteen layers are included under the boundary layer height of 2 km. The first guess fields of WRF model were from the analysis data of the National Center for Environmental Prediction (NCEP), as well as the automated data processing (ADP) data used for four-dimensional data assimilation. The updated 2005 carbon bond gas-phase mechanism (CB05) (Whitten et al., 2010) and the AERO6 aerosol module with updates of primary organic aerosol (POA) aging (Simon and Bhawe, 2012) and secondary organic aerosol (SOA) yield parameterization were used in CMAQ model. The detailed information about WRF and CMAQ model configuration and parameters were given in Fu et al. (2014). The anthropogenic emissions inventory was based on the local energy consumption statistics, and measured emission factors for both China (domains 1 and 2) (S. Wang et al., 2011) and the YRD region (domain 3) (Fu et al., 2013). Biomass burning emissions were temporally and

spatially allocated according to the detected time and brightness of fire points derived from FIRMS (Davies et al., 2009). Natural biogenic VOCs emissions were generated from the MEGAN model (Guenther et al., 2006).

The contribution of biomass burning to  $\text{PM}_{2.5}$  and its species concentrations was estimated using sensitivity analyses (Fu et al., 2012). The base case included emissions of all sources from all of the five sub-regions and was followed by additional five runs in which biomass burning emissions for each sub-region were dropped to zero in sequence (as shown in Fig. 1a). The difference between the base case  $\text{PM}_{2.5}/\text{OC}/\text{EC}$  and each of the next five cases provides the contribution from that region to each receptor. The difference summary of all sub-regions was regarded as the total contribution of biomass burning. The receptors here only referred to the five grid cells where monitoring sites were located.

### 3 Results and discussion

#### 3.1 Characteristics of particulate matter pollution

Figure 2 shows hourly  $\text{PM}_{10}$  and  $\text{PM}_{2.5}$  mass concentrations from the TEOM during the biomass burning episode. During this episode, daily average  $\text{PM}_{10}$  concentration of all sites is  $124\ \mu\text{g m}^{-3}$ , ranging from 88 (Shanghai) to  $151\ \mu\text{g m}^{-3}$  (Nanjing), while the daily average  $\text{PM}_{2.5}$  concentration is  $82\ \mu\text{g m}^{-3}$ , ranging from 67 (Shanghai) to  $98\ \mu\text{g m}^{-3}$  (Nanjing). During the entire year (from 1 May 2011 to 30 April 2012), the daily average concentration of the five sites is  $86\ \mu\text{g m}^{-3}$  for  $\text{PM}_{10}$  and  $50\ \mu\text{g m}^{-3}$  for  $\text{PM}_{2.5}$ . The average  $\text{PM}_{10}$  and  $\text{PM}_{2.5}$  concentrations of the episode are 44 and 76% higher than the average of the entire year. In addition, the  $\text{PM}_{2.5}/\text{PM}_{10}$  mass ratio was 66% during the episode, 58% higher than the annual average. The maximum daily average concentrations are  $209\ \mu\text{g m}^{-3}$  for  $\text{PM}_{10}$  and  $144\ \mu\text{g m}^{-3}$  for  $\text{PM}_{2.5}$ , indicating that  $\text{PM}_{2.5}$  is the major cause of this haze event. The peak daily concentrations occur on 31 May for Hangzhou ( $\text{PM}_{10}$ :  $300\ \mu\text{g m}^{-3}$ ;  $\text{PM}_{2.5}$ :  $220\ \mu\text{g m}^{-3}$ ), followed by 1 June for Ningbo ( $\text{PM}_{10}$ :  $238\ \mu\text{g m}^{-3}$ ;  $\text{PM}_{2.5}$ :  $182\ \mu\text{g m}^{-3}$ ) and Shanghai ( $\text{PM}_{10}$ :  $208\ \mu\text{g m}^{-3}$ ;  $\text{PM}_{2.5}$ :  $182\ \mu\text{g m}^{-3}$ ), then 2 June for Suzhou ( $\text{PM}_{10}$ :  $271\ \mu\text{g m}^{-3}$ ;  $\text{PM}_{2.5}$ :  $180\ \mu\text{g m}^{-3}$ ), and finally 3 June for Nanjing ( $\text{PM}_{10}$ :  $292\ \mu\text{g m}^{-3}$ ;  $\text{PM}_{2.5}$ :  $217\ \mu\text{g m}^{-3}$ ), which is consistent with the crop harvest and biomass burning sequence from south to north. Compared with China ambient air quality standards (CAAQS) of  $75\ \mu\text{g m}^{-3}$  for daily  $\text{PM}_{2.5}$  (Ministry of Environmental Protection of China, 2012), the average and maximum daily concentrations of the episode are 1.1 and 1.9 times for  $\text{PM}_{2.5}$ . The particulate matter concentration level of the episode is comparable with observed results of other biomass burning events in the YRD area. K. Huang et al. (2012) observed a pollution episode from 28 May to 3 June 2009 (almost same as the time period of this study) and measured the  $\text{PM}_{2.5}$  and

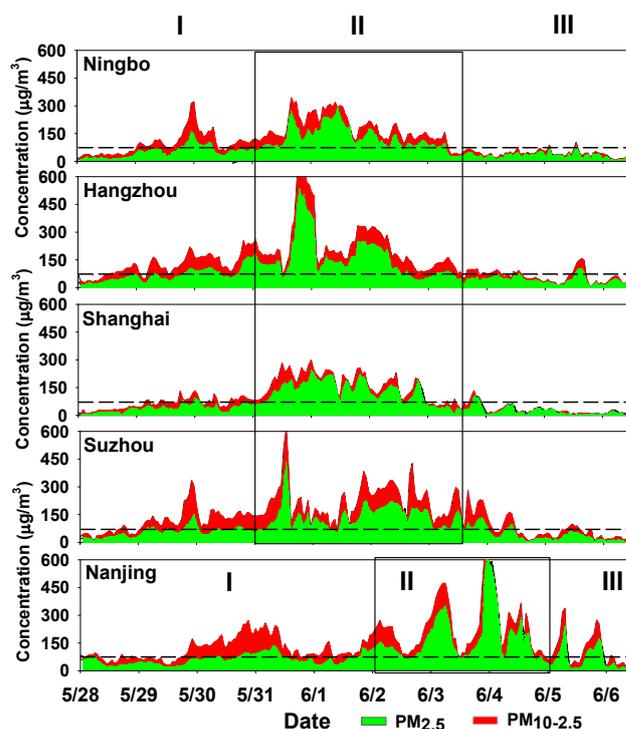
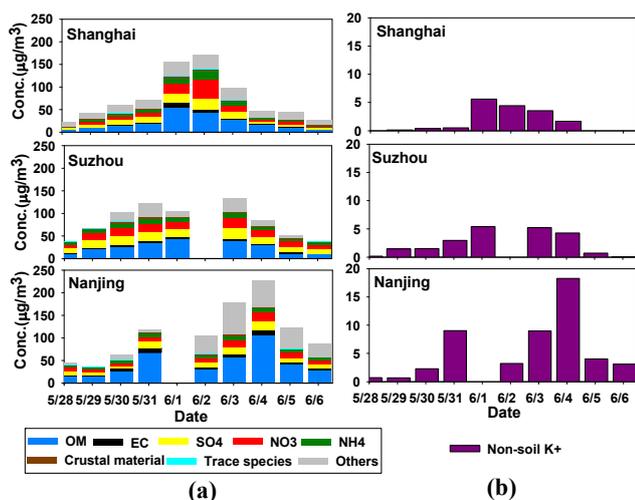


Fig. 2. Evolution of TEOM  $\text{PM}_{2.5}$  (green) and  $\text{PM}_{10-2.5}$  (red) mass concentrations during the monitoring period. The black lines show different phases described in the text. The horizontal long dash line represents the level of  $75\ \mu\text{g m}^{-3}$  (the China's national standard).

$\text{PM}_{10}$  average concentrations of  $84\ \mu\text{g m}^{-3}$  and  $136\ \mu\text{g m}^{-3}$ , respectively, in Shanghai. During the autumn biomass burning season (14–27 October 2009), Gao et al. (2012) measured the daily average and maximum  $\text{PM}_{2.5}$  concentrations in Nanjing, which were  $200\ \mu\text{g m}^{-3}$  and  $318\ \mu\text{g m}^{-3}$ , respectively. Yin et al. (2011) summarized the official air pollution index (API) of six events in Nanjing during 2006–2009 and found that the corresponding daily maximum  $\text{PM}_{10}$  concentrations were  $338\ \mu\text{g m}^{-3}$  on 31 May 2006,  $375\ \mu\text{g m}^{-3}$  on 5 June 2007,  $218\ \mu\text{g m}^{-3}$  on 2 June 2008,  $350\ \mu\text{g m}^{-3}$  on 28 October 2008 and  $435\ \mu\text{g m}^{-3}$  on 8 November 2009. Although the crop residues burned in the summer harvest season (mainly straw of wheat and cole flowers) are different from those in autumn (mainly stalks of rice and corn), the PM concentration levels of the two harvest seasons have no substantial differences.

The daily average concentrations of  $\text{PM}_{2.5}$  species during the episode, which are from the laboratorial analytical result from the sampling filters, are reconstructed and shown in Fig. 3. The gap between the sum of reconstructed  $\text{PM}_{2.5}$  species and gravimetric mass, which is marked as “others” in Fig. 3, is 11% for the average of the three sites. Organic matter (OM) is the highest value component, accounting for 40.1% of  $\text{PM}_{2.5}$  mass. During the episode, daily average and maximum concentrations of OM are 21 and  $56\ \mu\text{g m}^{-3}$



**Fig. 3.** (a) Daily average concentrations of  $\text{PM}_{2.5}$  with chemical components. (b) Concentrations of non-soil soluble potassium ( $\text{K}^+$ ) in  $\text{PM}_{2.5}$ . Organic matter (OM) =  $1.55\text{OC}$ , crustal material =  $2.2\text{Al} + 2.49\text{Si} + 1.63\text{Ca} + 2.42\text{Fe} + 1.94\text{Ti}$ , trace species =  $\text{As} + \text{Br} + \text{Cr} + \text{Cu} + \text{Mn} + \text{Ni} + \text{Pb} + \text{Rb} + \text{Se} + \text{Sr} + \text{Zn}$ , non-soil  $\text{K}^+ = \text{K}^+ - 0.6\text{Fe}$ , others =  $\text{PM}_{2.5}$  mass - (OM + EC +  $\text{SO}_4$  +  $\text{NO}_3$  +  $\text{NH}_4$  + crustal material + trace species + non-soil  $\text{K}^+$ ). No data available for Hangzhou and Ningbo.

for Shanghai, 25 and  $44 \mu\text{g m}^{-3}$  for Suzhou, and 39 and  $82 \mu\text{g m}^{-3}$  for Nanjing. Inorganic ions like sulfate and nitrate are also important  $\text{PM}_{2.5}$  components. The daily average concentrations are in a range of  $10\text{--}16 \mu\text{g m}^{-3}$  for sulfate, and  $10\text{--}15 \mu\text{g m}^{-3}$  for nitrate. The maximum daily concentrations reach  $24 \mu\text{g m}^{-3}$  for sulfate and  $42 \mu\text{g m}^{-3}$  for nitrate. Increase in OM, sulfate and nitrate indicates that meteorological conditions might have enhanced the formation of secondary aerosols through accumulating and increasing the concentrations of gaseous precursors like  $\text{SO}_2$ ,  $\text{NO}_x$  and VOCs, and their oxidation rates (Fu et al., 2008). As a marker of biomass burning, the daily average and maximum concentrations of non-soil  $\text{K}^+$  are 1.6 and  $5.6 \mu\text{g m}^{-3}$  for Shanghai, 2.4 and  $5.4 \mu\text{g m}^{-3}$  for Suzhou, 4.9 and  $13.6 \mu\text{g m}^{-3}$  for Nanjing. The increase of non-soil  $\text{K}^+$  concentrations indicates the contribution of biomass burning.

The heavy and widespread haze exhibits regional characteristics observed by all the three sites at the same episode. The episode can be divided into three phases: Phase (I) pre-pollution phase (28 May 00:00–30 May, 23:00), Phase (II) pollution phase (31 May 00:00–3 June, 12:00) and Phase (III) post-pollution phase (3 June 12:00–6 June, 12:00). For Nanjing site, Phase II commences between 2 June at 00:00 and 5 June at 00:00, one day later than that of other sites. The average concentrations of  $\text{PM}_{10}$ ,  $\text{PM}_{2.5}$  and major species, and the visual range for each phase are summarized in Table 1. The average PM concentrations increase 1.9–4-fold from Phases I to Phase II among the five sites. Maximum hourly concentrations for the five sites during the episode, all

occur in Phase II, reaching as high as  $614 \mu\text{g m}^{-3}$  for  $\text{PM}_{2.5}$  and  $660 \mu\text{g m}^{-3}$  for  $\text{PM}_{10}$ . From Phase I to Phase II, the daily average concentrations among the five sites increase 1.8–3.6-fold for OM and 1–3-fold for EC. Maximum daily OM concentration reach as high as  $44\text{--}105 \mu\text{g m}^{-3}$  for the three sites, accounting for 35–43 % of the  $\text{PM}_{2.5}$  mass. The increase in OM is the major cause of  $\text{PM}_{2.5}$  increase. Maximum daily non-soil  $\text{K}^+$  concentration among the three sites reaches  $5.4\text{--}18.3 \mu\text{g m}^{-3}$  in Phase II, 3.5–15 times that in Phase I. The concentrations of other water-soluble ions also increase in Phase II. Sulfate increases 1.2–2.5-fold with a maximum daily concentration of  $19\text{--}20 \mu\text{g m}^{-3}$  for the three sites. Nitrate increases 1.3–4.3-fold and the maximum daily concentration is  $19\text{--}42 \mu\text{g m}^{-3}$  for the five sites during Phase II. In order to investigate the sources of components increase, we compare the modeling species concentration distance between the base scenario with biomass burning and the scenario without any biomass burning for Phase II. It is found that after the injection of biomass burning emission, the concentration of OM and EC increase 2.2–6.6-fold and 1.0–3.7-fold, respectively, while that of sulfate and nitrate only increase 2.0–4.2 % and 19–38 %, respectively. The modeling results illustrates that the high concentration of OM and EC in Phase II are mainly from biomass burning. Nitrate is partly from biomass burning. The increase of sulfate shall be due to the accumulation of anthropogenic emissions under stagnant meteorological conditions rather than biomass burning emission.

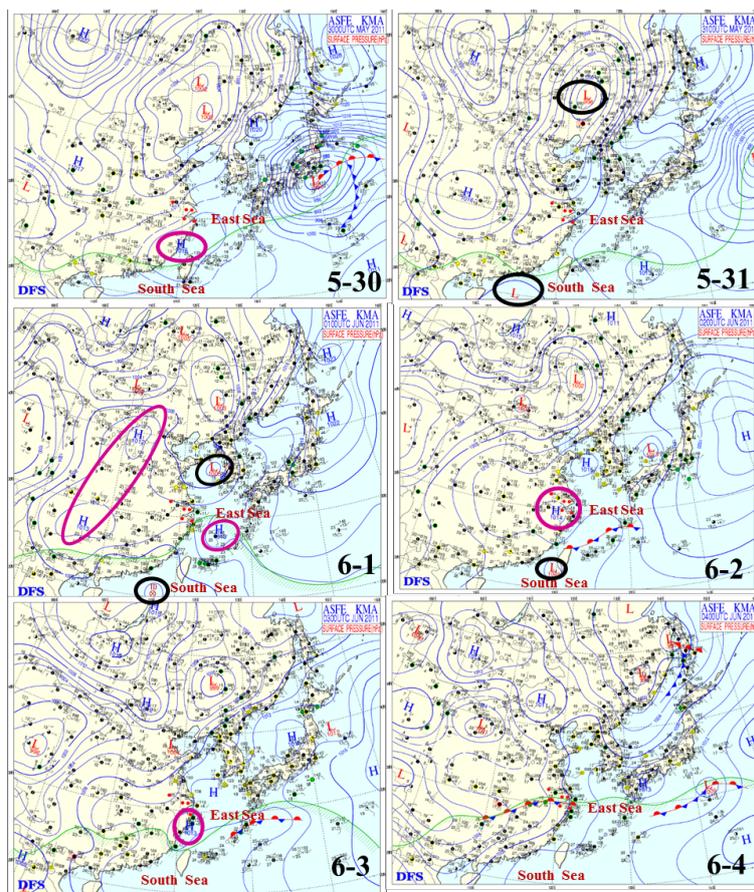
### 3.2 Pollution formation and transport

Synoptic weather maps at the surface are given in Fig. 4. The maps show that from 31 May through 3 June, a tropical depression is formed from a low pressure center in the South China Sea, and another low pressure center in northern China is moving south on 31 May. Combined with the influence of three high pressure centers located in the western Pacific Ocean, northern China and southern China, uniform pressure prevails over most of eastern China. Then the high pressure center in South China moves east and the stagnant weather system under the control of this high pressure lasts until 2 June. At the same time the tropical depression is weakened to a low pressure center moving northeast and disappeared on 3 June. The uniform pressure on 1 June is responsible for the transport of air pollutants while the high pressure on 2 June enhances the accumulation of pollutants. The weather system in Nanjing, being the furthest west inland, changes one day earlier than other cities as the weather system moves from west to east. From noon of 3 June, a western wind short-wave trough appears around the Shanghai area, and there is precipitation during 4–6 June that acts as a cleaning agent, although the thick cloud cover might have reduced mixing depth. The synoptic weather is conducive to pollutant accumulation during Phase II, and clean-out in Phase III.

**Table 1.** PM mass concentration, visual range and meteorological parameters for three phases of the pollution episode.

Index	Phase*	Sampling sites				
		Ningbo	Hangzhou	Shanghai	Suzhou	Nanjing
PM mass ( $\mu\text{g m}^{-3}$ )	I	PM <sub>10</sub> :91, PM <sub>2.5</sub> :51	PM <sub>10</sub> :115, PM <sub>2.5</sub> :64	PM <sub>10</sub> :60, PM <sub>2.5</sub> :37	PM <sub>10</sub> :109, PM <sub>2.5</sub> :55	PM <sub>10</sub> : 114, PM <sub>2.5</sub> :60
	II	PM <sub>10</sub> :176, PM <sub>2.5</sub> :125	PM <sub>10</sub> :225, PM <sub>2.5</sub> :157	PM <sub>10</sub> :160, PM <sub>2.5</sub> :128	PM <sub>10</sub> :220, PM <sub>2.5</sub> :139	PM <sub>10</sub> : 240, PM <sub>2.5</sub> :180
	III	PM <sub>10</sub> :41, PM <sub>2.5</sub> :32	PM <sub>10</sub> :58, PM <sub>2.5</sub> :41	PM <sub>10</sub> :28, PM <sub>2.5</sub> :25	PM <sub>10</sub> :73, PM <sub>2.5</sub> :40	PM <sub>10</sub> : 99, PM <sub>2.5</sub> :64
PM <sub>2.5</sub> species ( $\mu\text{g m}^{-3}$ )	I	N/A	N/A	K <sup>+</sup> :0.3, OM:12, EC:2	K <sup>+</sup> :1.5, OM:23, EC:4	K <sup>+</sup> : 3.2, OM:31, EC:5
	II	N/A	N/A	K <sup>+</sup> :4.5, OM:43, EC:6	K <sup>+</sup> :5.3, OM:42, EC:4	K <sup>+</sup> :14, OM:82, EC:10
	III	N/A	N/A	K <sup>+</sup> :0.6, OM:10, EC:2	K <sup>+</sup> :1.7, OM:16, EC:3	K <sup>+</sup> : 3.5, OM:35, EC:4
Visual range (km)	I	13.9	6.2	13.5	8.5	11.0
	II	10.0	5.0	3.7	3.8	5.4
	III	10.4	4.9	8.7	4.9	4.2
RH (%)	I	58	59	56	56	50
	II	65	65	61	61	50
	III	84	96	79	78	77
Mixing depth (m)	I	458	505	461	541	489
	II	240	391	295	399	582
	III	248	283	319	405	627
Wind speed ( $\text{ms}^{-1}$ )	I	1.6	1.6	1.3	1.3	1.5
	II	0.9	2.5	1.1	1.4	1.4
	III	0.9	1.2	1.4	1.4	1.9

\* Pre-pollution phase (28 May 00:00–30 May 23:00, marked I), pollution phase (31 May 00:00 to 3 June 12:00, marked II) and post-pollution phase (3 June 12:00 to 6 June 12:00, marked III). For Nanjing site, pre-pollution phase (28 May 00:00–1 June 23:00, marked I), pollution phase (2 June 00:00 to 4 June 23:00, marked II) and post-pollution phase (5 June 00:00 to 6 June 12:00, marked III).

**Fig. 4.** Surface weather patterns over eastern China from 30 May to 4 June 2011. Black circle represents the low pressure center, pink circle represents the high pressure center, and red dot denotes the observation site.

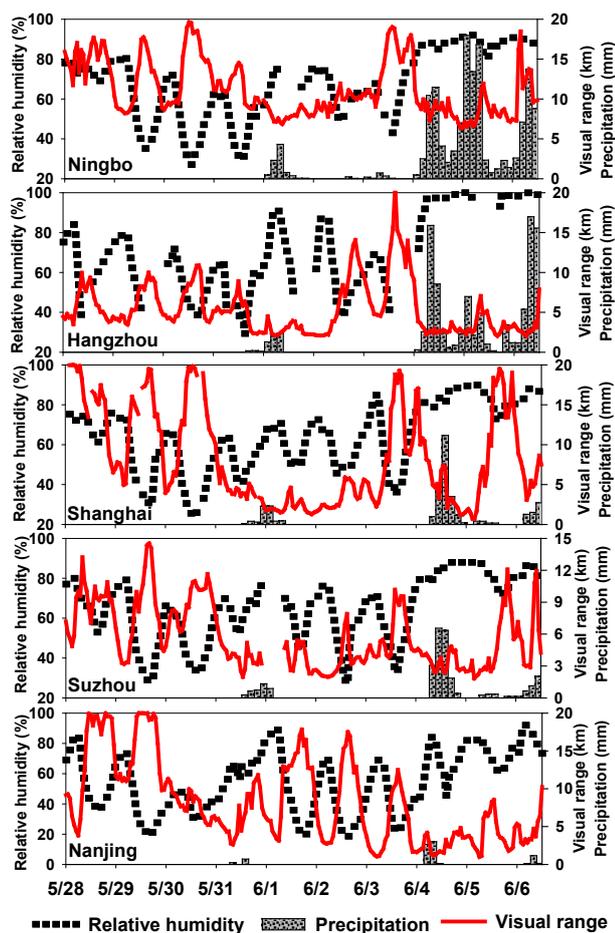


Fig. 5. Relative humidity (black dots), visual range (red line) and precipitation (shaded bar) at each site from 28 May to 6 June 2011.

The temporal variation of relative humidity, visual range, wind speed, precipitation and mixing depth (shown in Fig. 5 and 6) differ among Phases I, II, and III, as shown in Table 1. The major meteorological parameters of the three phases are summarized as follows:

- Phase I (Pre-pollution): there is no precipitation during this period. Average visual range is 6.2–13.9 km with an RH of 50–61 %. Mixing depth is in the range of 458–505 m and wind speed varies between 1.3 and 1.6  $\text{ms}^{-1}$ . The variation in wind speed is consistent with the trend in mixing depth.
- Phase II (pollution): the precipitation is only 2–5 mm, with RH increased by 5–7 % except for the Nanjing site, with no change in RH as compared to Phase I. The visual range is 3.7–10 km, about 1.2–9.8 km lower than that of Phase I (shown in Fig. 5). The mixing depth is 240–399 m, 114–218 m lower than that of Phase I. The average mixing depth of Nanjing site during Phase II is 582 m, which is 93 m higher than that of Phase I, indicating the meteorological condition is

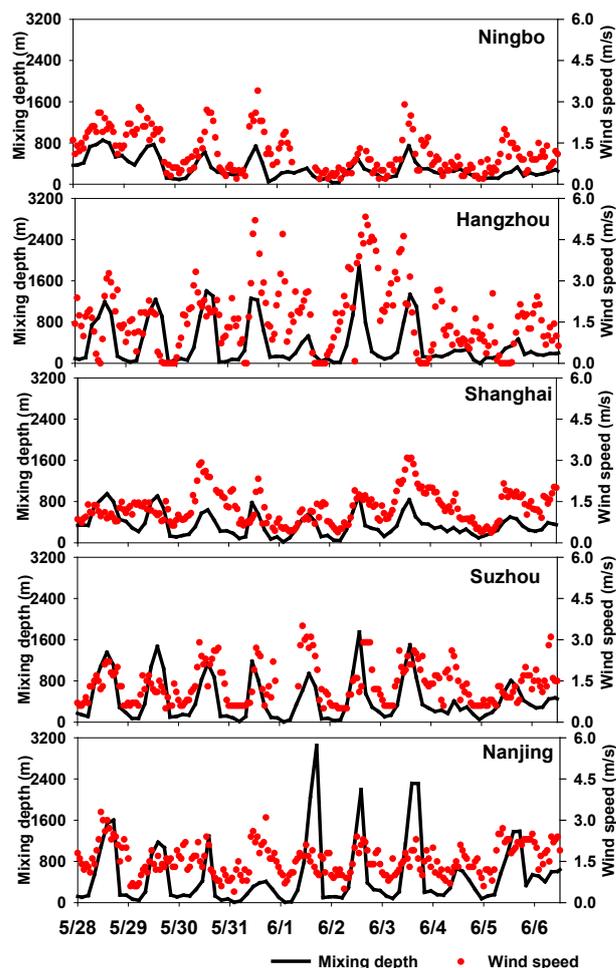
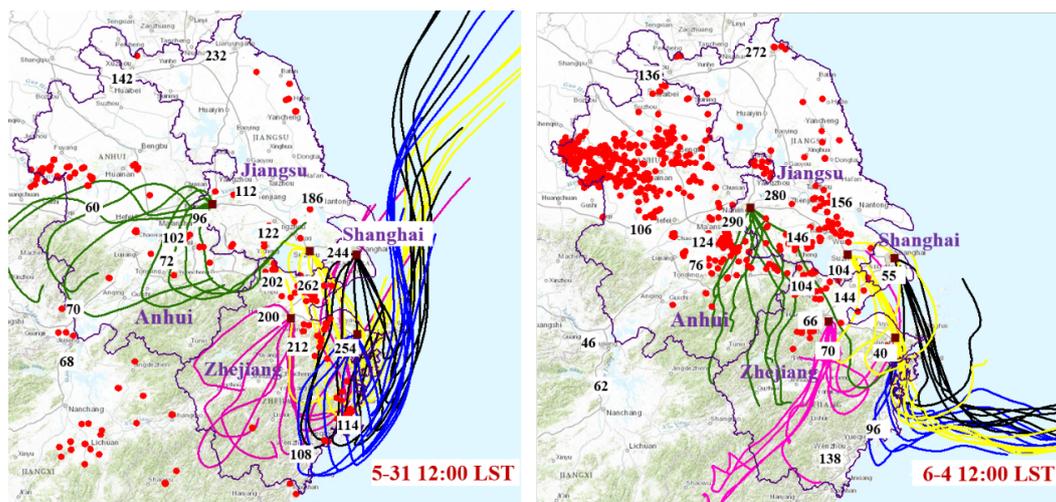


Fig. 6. Mixing depths (black lines) and wind speeds (red dots) at each monitoring site from 28 May to 6 June 2011.

actually better for Nanjing site. Minimum 3 h mixing depth is as low as 5–30 m for five sites. For Shanghai, Nanjing and Ningbo, the wind speeds are 0.2, 0.1 and 0.7  $\text{ms}^{-1}$  lower than those of Phase I, respectively. For Suzhou and Hangzhou they are 0.1 and 0.9  $\text{ms}^{-1}$  higher than those of Phase I. Ambient RH shows typical diurnal variation (shown in Fig. 5), usually with the peak value at midnight and valley value at noon due to sunshine. However, the visibility is affected both by the PM pollution level and RH value. For all the sites except for Nanjing, the PM pollution in Phase II was accumulated without notable diurnal variation, resulting in the visibility under low value continuously. For the Nanjing site, the PM pollution in Phase II also shows diurnal change, the same as that of RH variation. Hence the visibility in Nanjing site also varied diurnally during Phase II.

- Phase III (post-pollution): precipitation is 10–18 mm during this phase, much higher than Phases I and II,



**Fig. 7.** HYSPLIT 24 h back-trajectories at 100 m a.g.l. originating at each monitoring site (black squares) calculated every 3 h beginning at 12:00 LST and ending at 09:00 LST the previous day. Red dots represent the satellite-detected fires (FIRMS, Davies et al., 2009). Numbers are the daily average  $\text{PM}_{10}$  mass concentrations from air quality monitoring ([http://datacenter.mep.gov.cn/report/air\\_daily/air\\_dairy.jsp](http://datacenter.mep.gov.cn/report/air_daily/air_dairy.jsp)). Back-trajectory colors are Black – Shanghai, Blue – Ningbo, Pink – Hangzhou, Yellow – Suzhou, Green – Nanjing.

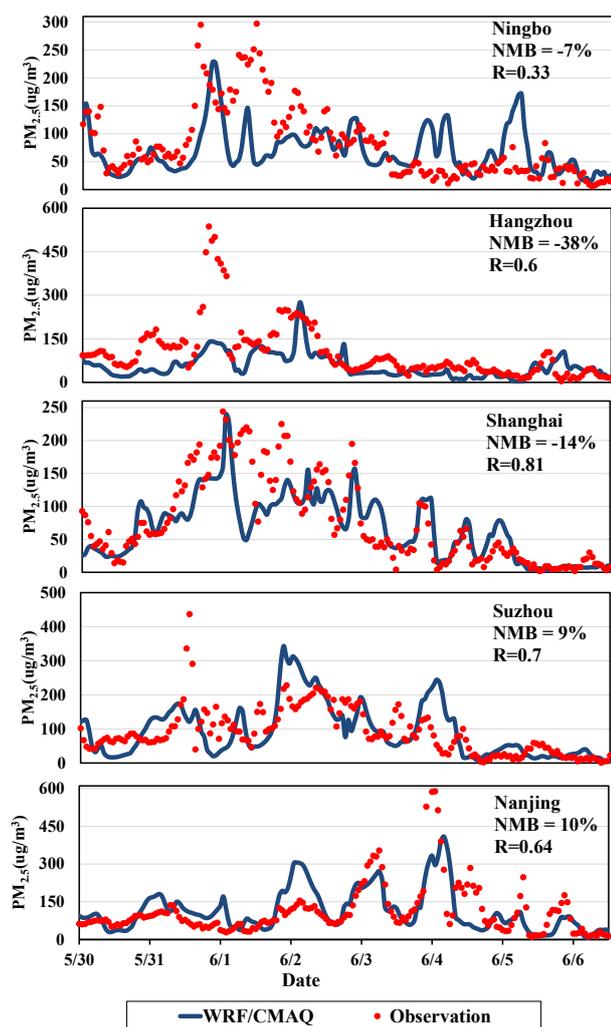
except for Nanjing, with precipitation less than 5 mm. Average RH is as high as 77–96 %. Although the PM concentration is quite low, fogs occur in Nanjing and medium-heavy rain events occur in other sites, which reduce the visual range (Winkler, 1988; Elias et al., 2009).

Back trajectories along with fire locations and  $\text{PM}_{10}$  concentrations of two typical days are shown in Fig. 7. MODIS cannot detect fires due to high cloud cover on 1 June and 5 June (<http://modis-atmos.gsfc.nasa.gov/IMAGES>), hence 4 June is selected to represent Phase II for Nanjing while 31 May for the other four sites. On 31 May, fires are mainly located near Hangzhou Bay in northern Zhejiang Province, the southern border of the Shanghai municipality, and southern Jiangsu Province around Tai Lake, only limited fires are found in the area close to Nanjing. For Shanghai, Hangzhou, Ningbo and Suzhou, the main air flow is from the south, mixing with the pollutants from fires along the path. As a result, the main hot spots of  $\text{PM}_{10}$  pollution concentrated in the area of Shanghai and northern Zhejiang Province with daily average concentration over  $200 \mu\text{g m}^{-3}$ . The situation changes on 4 June. Compared with that on 31 May, most fire spots are located in the north (i.e., central Anhui Province and southern Jiangsu Province). The air flow is from the south for the five sites. As a result, high  $\text{PM}_{10}$  concentrations occur in Jiangsu Province. The daily  $\text{PM}_{2.5}$  concentrations in Nanjing are between 150 and  $290 \mu\text{g m}^{-3}$ , followed by Suzhou ( $104 \mu\text{g m}^{-3}$ ). In contrast, the concentrations at the other three sites are all less than  $70 \mu\text{g m}^{-3}$ , and not affected by the biomass burning. With individual monitoring site, previous studies only reported the possible locations of the biomass burning that affected the air quality in Nan-

jing. Zhu et al. (2012) found that the pollution of Nanjing was caused by the transport from the north-central area of Jiangsu Province and northeastern area of Anhui Province on 29 October 2008. Gao et al. (2012) concluded that the source area was in the central area of Jiangsu Province during 14–27 October 2009. Su et al. (2012) found Nanjing was affected by both Jiangsu Province and Anhui Province on 2 November 2010. Our findings for the biomass burning regions that affected Nanjing agree with the above studies, indicating that the crop locations might not have changed in recent years.

### 3.3 Contributions of biomass burning to particulate pollution

The emission source profiles are crucial for the calculation of receptor modeling such as CMB. Table 2 summarizes the mass ratios of  $\text{PM}_{2.5}$  to  $\text{K}^+$ , OC to  $\text{K}^+$  and EC to  $\text{K}^+$  for biomass burning source profiles in the literature. The measured ratios from different profiles vary from 4.1 to 175.4 for  $\text{PM}_{2.5}/\text{K}^+$  ratio, from 0.8 to 121.1 for the OC/ $\text{K}^+$  ratio and from 0.5 to 5.3 for the EC/ $\text{K}^+$  ratio. Fuel is one of the dominant factors causing the large variations. However, even with the same burning fuel such as wheat straw, the ratios are still with large ranges, that is, the  $\text{PM}_{2.5}/\text{K}^+$  ratio varied from 10.1 in China to 4.1 in the United States, and the OC/ $\text{K}^+$  ratio varied from 3.9 in China to 0.8 in the United States. This variability potentially reflects differences in combustion conditions and sampling methods. Cheng et al. (2013) found that the ratio of OC to levoglucosan (another biomass burning marker) also varied between 4.0 and 46.9 due to similar reasons. For the summer harvest period of this study, wheat straw constitutes most of the agricultural residues in the YRD region (Yin et al., 2011), and the closest



**Fig. 8.** Comparison of CMAQ simulations (blue lines) and TEOM-measured (red dots) hourly  $\text{PM}_{2.5}$  mass concentrations. NMB means normalized mean bias.  $R$  means the correlation coefficient.

approximation to these biomass burnings are the measurements by Li et al. (2007), which were conducted in nearby Shandong Province. The mass ratios of  $\text{PM}_{2.5}/\text{K}^+$ ,  $\text{OC}/\text{K}^+$  and  $\text{EC}/\text{K}^+$  used in this study are thus 10.1, 3.9 and 0.8, respectively.

For the WRF/CMAQ model, an important prerequisite is that the model simulation could reproduce the pollution episode well at the base case. First the meteorological parameter of WRF model are compared with the observation data set of National climate data center (NCDC) of the US. The average biases between the two data sets are acceptable with  $0.44 \text{ ms}^{-1}$  for wind speed,  $1.03^\circ$  for wind direction,  $-0.55 \text{ K}$  for temperature and  $0.26 \text{ gkg}^{-1}$  for relative humidity. Then the modeled and measured hourly  $\text{PM}_{2.5}$  (TEOM) at each of the five sites are compared in Fig. 8, indicating that CMAQ model gives the same temporal trends and pollution levels as measurements. The normalized mean biases (NMB) are

$-7\%$  for Ningbo,  $-38\%$  for Hangzhou,  $-14\%$  for Shanghai,  $-9\%$  for Suzhou and  $10\%$  for Nanjing, mostly due to pollution peak bias during Phase II. Several outliers from the modeling results are found for the sites of Hangzhou and Ningbo, resulting the correlation coefficient ( $R$ ) below 0.6. The simulated pollution peak on 1 June in Hangzhou is much lower than the observed value, which results in the model underestimating the measured values by 38%. For Ningbo, although the NMB is only  $-7\%$ , the observed accumulated peak at 1 June is not fully reproduced. The better simulation performance during Phases I and III, which are less effected by biomass burning, illustrates that the non-biomass burning anthropogenic emission inventory and its distribution is reasonable and acceptable. Conversely, the outliers during the Phase II indicates that some uncertainties of the biomass burning emission amount and its spatial distribution still exists, especially for the time with thick cloud cover which will affect the quality of satellite information.

The contribution of biomass burning to mass concentrations of  $\text{PM}_{2.5}$ , OC and EC based on the CMAQ model and ambient measurements are compared in Table 3. Over all, the model estimates of biomass burning contribution to  $\text{PM}_{2.5}$  concentrations are comparable with the measurement results, while the modeling results for OC and EC are higher than the measurement results. One of the reasons is that the CMAQ model can include the contribution of primary gaseous precursors of biomass burning to secondary aerosols in  $\text{PM}_{2.5}$ . Another reason is that the air quality transport model and receptor model use different source apportionment methods, as well as different inputs. The following discussions are based on the modeling results. Among the five sites, Nanjing is most affected by biomass burning during the episode, followed by Suzhou, Shanghai, Ningbo, and Hangzhou. For the Nanjing site, the contribution of biomass burning is 48% ( $64.5 \mu\text{g m}^{-3}$ ) for  $\text{PM}_{2.5}$ , 83% ( $29.4 \mu\text{g m}^{-3}$ ) for OC, and 61% ( $5.6 \mu\text{g m}^{-3}$ ) for EC; for the Suzhou site, biomass burning contributes 43% ( $49.2 \mu\text{g m}^{-3}$ ) of  $\text{PM}_{2.5}$ , 86% ( $28.2 \mu\text{g m}^{-3}$ ) of OC, and 78% ( $5.8 \mu\text{g m}^{-3}$ ) of EC; for the Shanghai site, 35% ( $28.1 \mu\text{g m}^{-3}$ ) of  $\text{PM}_{2.5}$ , 69% ( $15.2 \mu\text{g m}^{-3}$ ) of OC, and 68% ( $3.1 \mu\text{g m}^{-3}$ ) of EC are from biomass burning; and for the Ningbo site, biomass burning contributes 41% ( $30.0 \mu\text{g m}^{-3}$ ) of  $\text{PM}_{2.5}$ , 86% ( $18.1 \mu\text{g m}^{-3}$ ) of OC, 71% ( $3.7 \mu\text{g m}^{-3}$ ) of EC. The contribution of biomass burning to  $\text{PM}_{2.5}$  concentrations in Hangzhou site is lowest, only 23%, which might be due to underestimate of modeling results as shown in Fig. 8.

Based on the WRF/CMAQ modeling results, the contribution of biomass burning in each region is further analyzed, as shown in Fig. 9. It is found that biomass burning of Jiangsu Province and Anhui Province is the major contributor to the Nanjing site, which is consistent with previous studies (Su et al., 2012; Zhu et al., 2012). Jiangsu and Anhui contribute 27% and 15% of  $\text{PM}_{2.5}$  mass concentrations in Nanjing. The widely distributed burning fields in Jiangsu and Anhui Province make Nanjing the most influenced site

**Table 2.** Mass ratio of PM<sub>2.5</sub>, OC and EC, normalized to water-soluble potassium (K<sup>+</sup>) in literature

Observation	Biomass type	Location	Mass ratio	Reference
PM <sub>2.5</sub> /K <sup>+</sup>	Wheat straw	Shandong, China	10.1*	Li et al. (2007)
		Washington, US	4.07	Hays et al. (2005)
	Rice straw	South Asia	50	Sheesley et al. (2003)
		Washington, US	175.4	Hays et al. (2005)
	Maize stover	Shandong, China	11.8	Li et al. (2007)
	Agricultural residues	California, US	14.2	SPECIATE4.3 (2009)
		Global average	9.1–30	Andreae and Merlet (2001)
OC/K <sup>+</sup>	Wheat straw	Shandong, China	3.9*	Li et al. (2007)
		Washington, US	0.8	Hays et al. (2005)
	Rice straw	South Asia	26.3	Sheesley et al. (2003)
		Washington, US	121.1	Hays et al. (2005)
	Maize stover	Shandong, China	3.9	Li et al. (2007)
	Agricultural residues	California, US	5.5	SPECIATE4.3 (2009)
		Global average	7.7–25.8	Andreae and Merlet (2001)
EC/K <sup>+</sup>	Wheat straw	Shandong, China	0.8*	Li et al. (2007)
		Washington, US	0.5	Hays et al. (2005)
	Rice straw	South Asia	1.6	Sheesley et al. (2003)
		Washington, US	2.3	Hays et al. (2005)
	Maize stover	Shandong, China	0.4	Li et al. (2007)
	Agricultural residues	California, US	1.6	SPECIATE4.3 (2009)
		Global average	1.6–5.3	Andreae and Merlet (2001)

\* The value used in this study.

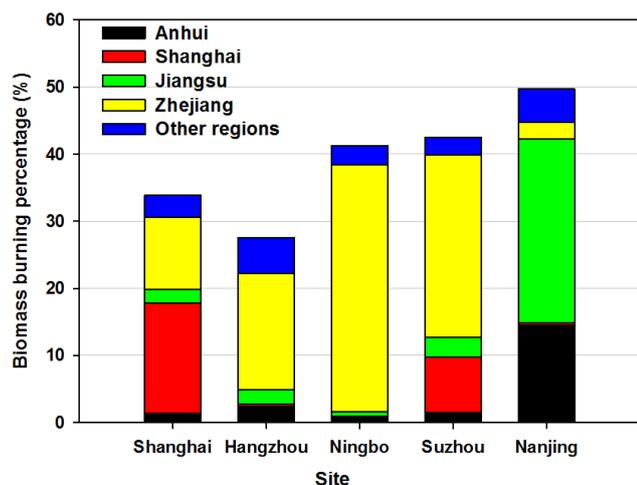
**Table 3.** Contribution of biomass burning to mass concentrations of PM<sub>2.5</sub>, OC and EC

Site	Method	PM <sub>2.5</sub> (Average±SD)		OC (Average ± SD)		EC(Average ± SD)	
		Value	Ratio <sup>b</sup>	Value	Ratio <sup>b</sup>	Value	Ratio <sup>b</sup>
		(µgm <sup>-3</sup> )	(%)	(µgm <sup>-3</sup> )	(%)	(µgm <sup>-3</sup> )	(%)
Ningbo <sup>a</sup>	WRF/CMAQ	30.0 ± 8.0	41 ± 5	18.1 ± 4.1	86 ± 5	3.7 ± 0.9	71 ± 9
Hangzhou <sup>a</sup>	WRF/CMAQ	17.6 ± 16.5	23 ± 13	7.8 ± 8.8	56 ± 28	1.5 ± 1.8	38 ± 26
Shanghai	Measurement	29.2 ± 23.4	26 ± 15	10.4 ± 8.3	48 ± 26	2.1 ± 1.7	44 ± 27
	WRF/CMAQ	28.1 ± 10.4	35 ± 5	15.2 ± 4.5	69 ± 8	3.1 ± 0.9	68 ± 9
Suzhou	Measurement	35.7 ± 21.2	30 ± 13	12.7 ± 7.5	60 ± 22	2.5 ± 1.5	56 ± 35
	WRF/CMAQ	49.2 ± 28.0	43 ± 8	28.2 ± 14.5	86 ± 7	5.8 ± 3.0	78 ± 9
Nanjing	Measurement	74.9 ± 48.4	47 ± 19	26.6 ± 17.2	71 ± 16	5.3 ± 3.4	70 ± 22
	WRF/CMAQ	64.5 ± 26.7	48 ± 8	29.4 ± 13.3	83 ± 7	5.6 ± 2.8	61 ± 13
Average	–	41.2	37	18.6	70	3.7	61

<sup>a</sup> The sites of Hangzhou and Ningbo have no measurement results due to sampling instrument absence. <sup>b</sup> For the measurement method, the ratio is calculated by the biomass burning contributed concentration normalized the measured ambient concentration; for WRF/CMAQ method, the ratio is calculated by the biomass burning contributed concentration normalized the simulated ambient concentration under the base case.

by biomass burning. Suzhou is located in the center of the YRD region and is mainly affected by the biomass burning from Zhejiang Province and Shanghai municipality. The local biomass burning of Jiangsu Province only contributes 3 % of PM<sub>2.5</sub> in Suzhou, as Suzhou is located in southern Jiangsu Province and the dominant air flow during the episode is ori-

ented from the south, where Zhejiang Province and Shanghai municipality are located. Shanghai is mainly affected by local biomass burning, which contributed 16 % of PM<sub>2.5</sub> mass concentrations. The contributions from biomass burning in Zhejiang Province are also important, accounting for 11 % of PM<sub>2.5</sub> mass. Different from other sites, Ningbo and



**Fig. 9.** Percentage contribution of biomass burning to PM<sub>2.5</sub> mass concentration. Location of each region is shown in Fig. 1. The remaining percentage represents the contribution of other emission sources.

Hangzhou are mainly affected by local biomass burning in Zhejiang Province. The local burning contributes 37 % and 17 % of PM<sub>2.5</sub> mass for Ningbo and Hangzhou, respectively.

Overall, the average percentage contribution of biomass burning is 37 % (41 μg m<sup>-3</sup>) for PM<sub>2.5</sub>, 70 % (19 μg m<sup>-3</sup>) for OC and 61 % (4 μg m<sup>-3</sup>) for EC for the five sites during the episode, indicating that biomass burning has significant impacts on PM<sub>2.5</sub> mass, especially for the carbonaceous species which can extinguish incident light efficiently. Based on the WRF/CMAQ simulation results, the average PM<sub>2.5</sub> concentration for the inner YRD domain is 72.3 μg m<sup>-3</sup> during the pollution episode. If the biomass burning is completely forbidden, the average PM<sub>2.5</sub> concentration will be reduced to 35.5 μg m<sup>-3</sup>, only 49 % of base case with biomass burning. Then we multiply the PM<sub>2.5</sub> concentration with population at each grid cell in the YRD domain to calculate the changes of population exposure. As a result, the PM<sub>2.5</sub> exposure level for the YRD domain will decrease 47 %. Significant health benefit due to particulate matter is expected through the efficient biomass burning ban for the YRD region.

Although emissions of biomass burning only account for 2.7 % of the annual anthropogenic PM<sub>2.5</sub> emissions in the YRD region (Huang et al., 2011), it is intensively emitted in a short period after harvest, which rapidly increases PM<sub>2.5</sub> concentration and decreases visibility, resulting in a threat to public health and hot spot of social attention every year (<http://www.chinanews.com/gn/2012/06-12/3958032.shtml>). Furthermore, the contribution of biomass burning from sub-regions confirms that biomass burning could indeed affect both local and regional PM<sub>2.5</sub> concentrations by atmospheric transport. Regional joint control of biomass burning shall be implemented with efforts and cooperation of all cities.

## 4 Conclusions

Open biomass burning after harvest season could result in severe air pollution and haze issues. In the haze event observed in the summer of 2011, the average and maximum daily PM<sub>2.5</sub> concentrations reached 82 μg m<sup>-3</sup> and 144 μg m<sup>-3</sup>, respectively. A sharp increase in PM<sub>2.5</sub>, K<sup>+</sup> and carbonaceous aerosol during pollution episodes confirmed the contribution of biomass burning to elevated PM concentrations. Stagnant meteorological conditions, caused by a stable high pressure system during 31 May–2 June, combined with high relative humidity and low mixing depth, enhanced the accumulation of air pollutants and caused the formation of haze.

The impacts of biomass open burning on air pollution were quantified using both air quality modeling and measurement methods. It was found that biomass burning contributed 37 % (41 μg m<sup>-3</sup>) of PM<sub>2.5</sub>, 70 % (19 μg m<sup>-3</sup>) of OC and 61 % (4 μg m<sup>-3</sup>) of EC, indicating that biomass burning had significantly affected the air quality in the YRD region. The results of source apportionment also implied that the impact of biomass open burning is regional, due to the substantial inter-province transport of air pollutants. Satellite-detected fire spots, back-trajectory analysis and air model simulation can be integrated to identify the locations where the biomass is burned and its transport path. This exercise could be helpful to improve the understanding of heavy pollution episodes.

The results of this study also indicate that the reduction of biomass burning for the YRD region requires regional-joint management and control strategies. If the biomass open burning is completely banned, the average PM<sub>2.5</sub> concentration for the YRD region would decrease 51 %, and accordingly the exposure level would decrease 47 % during the post-harvest season.

**Supplementary material related to this article is available online at <http://www.atmos-chem-phys.net/14/4573/2014/acp-14-4573-2014-supplement.pdf>.**

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