



Supplement of

Rethinking the global secondary organic aerosol (SOA) budget: stronger production, faster removal, shorter lifetime

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Sect. S1: Isoprene-specific SOM scheme

The statistical oxidation model [Cappa and Wilson, 2012] was developed to simulate the reactions associated with oxidation multi-generational (functionalization and fragmentation) of volatile organic compounds (VOCs) within a medium-complexity framework (when compared to models that don't treat ageing explicitly, such as the 2product model, or to fully-explicit models, such as GECKO-A or MCM). The original [Cappa and Wilson, 2012] and updated [Zhang et al., 2014] SOM framework assumes that the reactivity of all "product" species can be described based only on the number of carbon $(n_{\rm C})$ and oxygen $(n_{\rm O})$ atoms making up that SOM species. The dependence of the SOM rate coefficients on $n_{\rm C}$ and $n_{\rm O}$ was determined based on an assessment of the output from the GECKO-A model for multi-component simulations run based on mixture of organic compounds that is representative of Mexico City [Zhang et al., 2014]. For species containing multiple double bonds, such as isoprene, the original SOM framework may not properly reflect the enhanced reactivity of some of the earlygeneration product species due to the presence of a residual double bond. Here, we focus on isoprene.

The products formed from isoprene photooxidation depend importantly on whether the intermediate peroxy radicals react with NO or with HO₂ or RO₂ or whether the molecule isomerizes. Generally speaking, one can distinguish between "low-NOx" conditions (where reactions with HO₂ dominate) or "high-NO_x" conditions (where reactions with NO dominate). Considering first low-NO_x conditions, as an example, one key product from oxidation of isoprene by OH radicals conditions is the double-bond containing isoprene hydroxy hydroperoxide (ISOPOOH, C₅H₁₀O₃) [Surratt et al., 2010]. ISOPOOH reacts rapidly with OH radicals, with room-temperature rate coefficients of $k_{OH} = 7.5 \times 10^{-11} \text{ cm}^3$ molecule⁻¹ s⁻¹ for the (1,2)- isomer and $k_{OH} = 11.8 \times 10^{-11} \text{ cm}^3$ molecule⁻¹ s⁻¹ for the (4,3)isomer [St. Clair et al., 2015]. These are comparable with the isoprene rate coefficient for reaction with OH, which is $k_{OH} = 10 \times 10^{-11} \text{ cm}^3$ molecule⁻¹ s⁻¹, but much larger than the original SOM k_{OH} for the C₅O₃ species ($k_{OH,SOM}$ = 0.72 x 10⁻¹¹ cm³ molecule⁻¹ s⁻¹). Other key product species formed from multi-generational isoprene photooxidation, such as isoprene epoxydiols (IEPOX), react with rate coefficients more similar to those used with the original SOM. For example, estimates of the k_{OH} for IEPOX range from 0.84 x 10⁻¹¹ to 3.5 x 10^{-11} cm³ molecule⁻¹ s⁻¹ [*Jacobs et al.,* 2013; *Bates et al.,* 2014], which can be compared with the SOM prediction for C_5O_3 ($k_{OH,SOM} = 0.72 \times 10^{-11} \text{ cm}^3$ molecule⁻¹ s⁻¹). Altogether, this suggests that for VOC precursors such as isoprene the original SOM can substantially underestimate the reactivity of some of the early-generation product species in particular, when low-NO_x conditions prevail. Turning to high-NO_x conditions, key first-generation product species are methacrolein (MVK, C_4O_1) and methyl vinyl ketone (MVK, C_4O_1). Both of these react with OH with rate coefficients around 2-3 x 10⁻¹¹ cm³ molecule⁻¹ s⁻¹ [*Paulot et al.,* 2009], which can be compared to the SOM rate coefficient for C_4O_1 of 0.96 x 10⁻¹¹ cm³ molecule⁻¹ s⁻¹. This suggests that although the SOM rate coefficient may be too low for these species, the discrepancy is not nearly as large as is possible for low-NO_x conditions, and further these key first generation products react much more slowly with OH than does isoprene.

Although the above discussion demonstrates that the chemistry governing isoprene oxidation is highly complex, it seems nonetheless useful to consider as an alternative method an isoprene-specific SOM scheme that attempts to account for this enhanced reactivity of some product species compared to the original SOM. The development of such a scheme in the SOM framework is complicated by isoprene product compounds (such as ISOPOOH and IEPOX) having the same $n_{\rm C}$ and $n_{\rm O}$ but very different rate coefficients for reaction with OH (and in SOM, all species with the same $n_{\rm C}$ and $n_{\rm O}$ are assumed to behave identically). Nevertheless, as a first effort towards an isoprenespecific SOM mechanism, an alternate SOM has been developed in which the original SOM k_{OH} relationship with (n_C, n_O) has been modified for the subset of species with n_C = 5 and $1 \le n_0 \le 4$. Specifically, it is assumed that k_{OH} for all of these species (C₅O₁, C₅O₂, C_5O_3 and C_5O_4) are all the same as isoprene (C_5O_0), namely $k_{OH} = 10 \times 10^{-11} \text{ cm}^3$ molecule⁻¹ s⁻¹. Although certainly not a perfect representation of the complexity of isoprene oxidation, this modification nonetheless allows for faster reaction of a subset of products that correspond reasonably to "first generation." This alternate SOM formulation is likely to be most applicable to reactions occurring under low-NO_x conditions, since this is when the largest product k_{OH} values are obtained. The alternate SOM model has been fit to laboratory chamber data on isoprene SOA formation for experiments conducted under either low-NO_x or high-NO_x conditions [Chhabra et al., 2011; Zhang et al., 2014] to determine an alternative set of SOM parameters. The fits were conducted assuming that vapor wall losses influenced the experiment with a firstorder loss coefficient of $k_{wall} = 1 \times 10^{-4} \text{ s}^{-1}$ (as was done for all other species, discussed in the main text). The resulting fits using alternate SOM are shown in Figure S1, along with the fits that resulted from the original SOM.



Figure S1. Observations of SOA formation (gray points) and the resulting SOM fits to the observations (solid lines) for the original SOM (red) and the modified SOM (blue), and where the fits were performed under the assumption that $k_{wall} = 1 \times 10^{-4} \text{ s}^{-1}$. SOM simulation results based on these fits are also shown for the same reaction conditions (i.e. initial VOC concentration, OH concentration), but where k_{wall} is now set to zero (dashed lines) to illustrate the influence that vapor wall losses had on the model fits. Observations and results are shown for low NO_x (left panel) and high NO_x (right panel) conditions, with more experimental details available in *Zhang et al.* [2014] and *Chhabra et al.* [2011].

The SOM parameters for this alternate fit are shown in Tables S1 and S2 along with the original SOM fits. It is evident that both model formulations (original or alternate SOM) fit the observations well. Using the fit parameters determined from this fitting exercise, simulations were then run where all conditions were the same as the experimental

conditions but now where the vapor wall loss rate coefficient was set to zero. This is meant to reflect what might happen in the atmosphere when the loss rate of vapors is decreased substantially relative to that in the chamber. For both the original and alternate SOM formulations, the amount of SOA simulated when $k_{wall} = 0$ is substantially increased relative to when $k_{wall} = 1 \times 10^{-4} \text{ s}^{-1}$, indicating the importance of accounting for vapor wall losses when fitting chamber observations. There are, however, notable differences between the two formulations that depend on the NO_x condition. For the low-NO_x case, the alternate formulation leads to less SOA than does the original formulation. For the high-NO_x case, the alternate formulation leads to more SOA than does the original formulation.

Additional simulations were run (similar to those in the main text for other species) to determine the long-time VBS product yields that describe the SOA formation from isoprene oxidation. Specifically, simulations were run for 36 h where [isoprene] = 1 ppt, [seed] = 10 μ g m⁻³, [OH] = 2 x 10⁶ molecule⁻¹ cm⁻³, and where the seed is assumed to be absorbing and instantaneous equilibrium partitioning was assumed. At the end of these 36 h, the SOM products in both the gas and particle phases were binned according to saturation concentration (in μg m⁻³) into logarithmically spaced bins ranging from log C^{*} of -2 to 3. All species with log $C^* < -2$ were grouped into the log $C^* = -2$ bin. The product mass yields for products in each bin were calculated by dividing the total mass concentration of all species in that bin by the amount of reacted isoprene. The SOA mass yield (calculated as new SOA formed divided by isoprene reacted) differed substantially between the simulations using the original and alternate formulations. For both low- and high-NO_x the SOA mass yield was much larger for the original formulation. For the low-NO_x case, this is primarily due to the difference in the predicted yield of species that fall into the log $C^* = 1$ bin. For the high-NO_x case the difference was primarily due to the larger yield of species in both the log $C^* = 0$ and 1 bins. This result indicates that structural assumptions regarding the SOM model can have a large impact on the simulated VBS mass yields and total SOA yield predicted by SOM.

Table S1. The derived SOM parameters for isoprene under low-NO_x and high-NO_x conditions derived from the original SOM formulation and for the alternate case in which some of the products are assumed to be more reactive towards OH radicals. The SOM fits used here were derived assuming that vapor wall losses influenced the observations, with $k_{wall} = 1 \times 10^{-4} \text{ s}^{-1}$.

| SOM Parameter ^a | Low-NO _x | | High-NO _x | |
|----------------------------|---------------------|-----------|----------------------|-----------|
| | Original | Alternate | Original | Alternate |
| m _{frag} | 0.01 | 0.01 | 0.322 | 0.502 |
| DLVP | 2.23 | 2.25 | 2.23 | 1.92 |
| P1 | 0.0003 | 0.789 | 0.679 | 0.994 |
| P2 | 0.146 | 8E-05 | 0.321 | 4E-05 |
| P3 | 0.826 | 0.183 | 0.0005 | 0.006 |
| P4 | 0.028 | 0.028 | 0.0002 | 0.0002 |

See *Cappa and Wilson* [2012] for detailed descriptions of these parameters. In brief, m_{frag} characterizes the fragmentation probability with $P_{\text{frag}} = (O:C)^{\text{mfrag}}$, ΔLVP characterizes the decrease in volatility per oxygen atom added and P1-P4 indicate the probability of functionalization leading to addition of 1-4 oxygen atoms.

Table S2. Derived VBS mass yields for isoprene under low-NO_x and high-NO_x conditions derived from the original SOM formulation and for the alternate case in which some of the products are assumed to be more reactive towards OH radicals. The SOM fits used here were derived assuming that vapor wall losses influenced the observations, with $k_{wall} = 1 \times 10^{-4} \text{ s}^{-1}$.

| | Low-NO _x | | High-NO _x | |
|--|---------------------|-----------|----------------------|-----------|
| log C [*] | Original | Alternate | Original | Alternate |
| -2 | 0.011 | 0.012 | 0.013 | 0.001 |
| -1 | 0.014 | 0.013 | 0.008 | 0.000 |
| 0 | 0.042 | 0.001 | 0.079 | 0.027 |
| 1 | 0.333 | 0.100 | 0.083 | 0.021 |
| 2 | 0.216 | 0.078 | 0.059 | 0.044 |
| 3 | 0.348 | 0.097 | 0.178 | 0.185 |
| SOA yield with 10 µg m ⁻³ seed | 0.252 | 0.083 | 0.141 | 0.042 |

Table S3. Derived VBS mass yields for isoprene under low-NO_x and high-NO_x conditions derived from the original SOM formulation and for the alternate case in which some of the products are assumed to be more reactive towards OH radicals. The SOM fits used here were derived assuming that vapor wall losses did not influence the observations, with $k_{wall} = 0 \text{ s}^{-1}$.

| | Low-NO _x | | High-NO _x | |
|--|---------------------|----------|----------------------|----------|
| log C [*] | Original | Alternat | Original | Alternat |
| | | е | | е |
| -2 | 0.002 | 0.002 | 0.000 | 0.000 |
| -1 | 0.001 | 0.027 | 0.000 | 0.002 |
| 0 | 0.044 | 0.000 | 0.008 | 0.000 |
| 1 | 0.010 | 0.019 | 0.007 | 0.021 |
| 2 | 0.000 | 0.023 | 0.025 | 0.026 |
| 3 | 0.054 | 0.003 | 0.018 | 0.007 |
| SOA yield with 10 mg m ⁻³ seed | 0.049 | 0.041 | 0.013 | 0.015 |

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Sect. S2: Comparison of the default [*Jo et al.,* 2013] and the updated VBS for toluene and α -pinene oxidation.



Figure S2: Distribution of the organic mass in particle (orange) and vapor (gray) phases generated from the oxidation of 1 ppbv of toluene (left) and α -pinene (right). Calculations are performed with 1 µg m⁻³ of organic aerosol seed that is used for gas-particle partitioning.





^(*)Values based on the Statistical Oxidation Model (SOM) estimates [*Cappa et al.*, 2013]; ^(**)Yields based on *Ng et al.* [2007]; ^(***)Yields from *Loza et al.* [2012]; ^(****)Yields from *Chan et al.* [2009]; ^(****)Yields based on *Chhabra et al.* [2011].

Figure S3: Comparison of historic (red) and wall corrected SOA yields (blue) reported by *Zhang et al.* [2014, Table 1] under low- and high-NO_X conditions. The average biases in SOA yields due to vapor wall losses for various VOCs are also given around the mean value (blue boxes).

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Sect. S4: Contribution of various sources to SOA production in the lower troposphere.



Figure S4: Relative contribution (%) of various sources to predicted SOA concentrations in the lower troposphere (ground to 5km) in the NY_DPH simulation (with the updated treatment of SOA production and removal).

Sect. S5: Description of EMEP stations and aircraft campaigns

| Site | Country | Latitude (°N) | Longitude (°E) | Height (m, asl) |
|---------------|-------------|---------------|----------------|-----------------|
| Illmitz | Austria | 47.77 | 16.77 | 117 |
| Univ. of Gent | Belgium | 51.05 | 3.72 | 0 |
| Kosetice | Czech Rep. | 49.58 | 15.08 | 534 |
| Waldhof | Germany | 52.80 | 10.76 | 74 |
| Virolahti | Finland | 60.53 | 27.69 | 4 |
| Edingburgh | Scotland | 55.95 | 3.22 | 0 |
| Mace Head | Ireland | 53.17 | 9.5 | 15 |
| Belogna | Italy | 44.48 | 11.33 | 0 |
| Kollumerwaard | Netherlands | 53.33 | 6.28 | 1 |
| Braganca | Portugal | 41.82 | 6.77 | 690 |
| Aspvreten | Sweeden | 58.80 | 17.38 | 20 |

Table S4: Sampling sites of the EMEP OC campaign in Europe used in this study. All sites are representative of the urban background locations.

Table S5: Aircraft measurements of organic aerosols used in this study. Data and their detailed description can be found at <u>https://sites.google.com/site/amsglobaldatabase/</u> and in *Heald et al.* [2011]. SEAC4RS data are accessible at http://www-air.larc.nasa.gov.

| Campaign | Location | Period | Region |
|---------------|---|---------------------------------------|---------------------|
| ITOP | Azores (mid-latitudes) | 12 Jul 3 Aug. 2004 | Remote |
| IMPEX | N. America / E. Pacific (mid-latitudes) | 17 Apr 15 May 2006 | Remote +aged |
| VOCALS- UK | Eastern S. Pacific (tropical) | 27 Oct 13 Nov. 2008 | Remote |
| ADRIEX | N. Italy / Adriatic (mid-latitudes) | 27 Aug. – 6 Sep. 2004 | Pollution |
| TexAQ | Texas region (mid-latitudes) | 11 Sep. – 13 Oct. 2006 | Pollution |
| EUCAARI | N. Europe (mid-latitudes) | 6 - 22 May 2008 | Pollution |
| SEAC4RS | SE. US (mid-latitudes) | 6 Aug. – 23 Sep. 2013 | Pollution /Fires |
| ARCTAS | Artic / N. Europe (high latitudes) | 1-20 Apr. 2008; 18 Jun13 Jul. 2008 | Fires |