



# Net radiative forcing and air quality responses to regional CO emission reductions

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**Abstract.** Carbon monoxide (CO) emissions influence global and regional air quality and global climate change by affecting atmospheric oxidants and secondary species. We simulate the influence of halving anthropogenic CO emissions globally and individually from 10 regions on surface and tropospheric ozone, methane, and aerosol concentrations using a global chemical transport model (MOZART-4 for the year 2005). Net radiative forcing (RF) is then estimated using the GFDL (Geophysical Fluid Dynamics Laboratory) standalone radiative transfer model. We estimate that halving global CO emissions decreases global annual average concentrations of surface ozone by 0.45 ppbv, tropospheric methane by 73 ppbv, and global annual net RF by  $36.1 \text{ mW m}^{-2}$ , nearly equal to the sum of changes from the 10 regional reductions. Global annual net RF per unit change in emissions and the 100 yr global warming potential ( $\text{GWP}_{100}$ ) are estimated as  $-0.124 \text{ mW m}^{-2} (\text{Tg CO})^{-1}$  and 1.34, respectively, for the global CO reduction, and ranging from  $-0.115$  to  $-0.131 \text{ mW m}^{-2} (\text{Tg CO})^{-1}$  and 1.26 to 1.44 across 10 regions, with the greatest sensitivities for regions in the tropics. The net RF distributions show widespread cooling corresponding to the  $\text{O}_3$  and  $\text{CH}_4$  decreases, and localized positive and negative net RFs due to changes in aerosols. The strongest annual net RF impacts occur within the tropics ( $28^\circ \text{S}$ – $28^\circ \text{N}$ ) followed by the northern midlatitudes ( $28^\circ \text{N}$ – $60^\circ \text{N}$ ), independent of reduction region, while the greatest changes in surface CO and ozone concentrations occur within the reduction region. Some regional reductions strongly influence the air quality in other

regions, such as East Asia, which has an impact on US surface ozone that is 93 % of that from North America. Changes in the transport of CO and downwind ozone production clearly exceed the direct export of ozone from each reduction region. The small variation in CO GWPs among world regions suggests that future international climate agreements could adopt a globally uniform metric for CO with little error, or could use different GWPs for each continent. Doing so may increase the incentive to reduce CO through coordinated policies addressing climate and air quality.

## 1 Introduction

Carbon monoxide (CO) is emitted from the incomplete combustion of carbon fuels, and contributes indirectly to climate change through its influence on tropospheric ozone ( $\text{O}_3$ ) and atmospheric oxidants (e.g., hydroxyl radical (OH), hydrogen peroxide ( $\text{H}_2\text{O}_2$ ), and  $\text{O}_3$ ), which in turn affect the abundance of methane ( $\text{CH}_4$ ) and aerosols (Pham et al., 1995; Unger et al., 2006; Shindell et al., 2009). CO emission reductions impact both climate and air quality by increasing tropospheric OH concentrations, which lead to decreases in global  $\text{CH}_4$  and thus, long-term  $\text{O}_3$  (Prather et al., 1996; Wild et al., 2001; Fiore et al., 2002; Naik et al., 2005), as  $\text{CH}_4$  is a longer-lived precursor to tropospheric  $\text{O}_3$  (West et al., 2007, 2009a; Fiore et al., 2009). Here, we assess the net climate impact of reducing anthropogenic CO emissions globally and from 10 world regions individually, to inform future policies that may

address air quality and climate jointly. We omit reductions in co-emitted species (e.g., black carbon (BC), and organic carbon (OC)) that would be affected by measures to reduce CO emissions, to examine the sensitivity of air quality and RF to CO emissions alone, and to derive CO climate metrics. Future studies may model emission control measures that address multiple species (e.g., Shindell et al., 2012), or combine these results with those for co-emitted pollutants to determine the net effect of emission control measures.

Tropospheric O<sub>3</sub> and CH<sub>4</sub>, both greenhouse gases, have contributed abundance-based anthropogenic radiative forcings (RF) of 0.35 (−0.1, +0.3) W m<sup>−2</sup> and 0.48 ± 0.05 W m<sup>−2</sup>, respectively, the largest greenhouse gas forcings behind CO<sub>2</sub> (Forster et al., 2007). CO and volatile organic compounds (VOC) emissions provide important contributions toward these forcings, estimated as 0.21 ± 0.10 W m<sup>−2</sup> due to tropospheric O<sub>3</sub> and CH<sub>4</sub> changes (Shindell et al., 2005; Forster et al., 2007), and 0.25 ± 0.04 W m<sup>−2</sup> (from 1750 to 2000) when the effects on sulfate and nitrate aerosols and CO<sub>2</sub> are included (Shindell et al., 2009).

In addition to being near-term climate forcers, products of CO reactions (O<sub>3</sub> and aerosols) are important air pollutants. CO emissions not only affect O<sub>3</sub> concentrations locally, but also intercontinentally (Akimoto, 2003; TF HTAP, 2010), given tropospheric ozone's mean lifetime of 22 days (Stevenson et al., 2006) and CO's lifetime of 1 to 3 months, both of which exceed typical intercontinental transport times (5 to 10 days) (Fiore et al., 2009; West et al., 2009a). Because of its lifetime, the transport of CO makes an important contribution to long-range O<sub>3</sub> (Heald et al., 2003). However, recent studies have identified large uncertainties in regional CO emissions inventories (Duncan et al., 2007), compared to satellite data (Heald et al., 2004; Pétron et al., 2004; Pfister et al., 2004, 2005; Kopacz et al., 2009), e.g., underestimating tropical biomass burning emissions and northern midlatitude seasonal variation in bottom-up inventories (Kopacz et al., 2010). CO emissions also affect atmospheric aerosols including sulfate (SO<sub>4</sub><sup>2−</sup>) by influencing the oxidation of sulfur dioxide (SO<sub>2</sub>) by OH in the gas phase, or by H<sub>2</sub>O<sub>2</sub> or O<sub>3</sub> in the aqueous phase. OH increases from CO reductions lead to increased gas-phase SO<sub>4</sub><sup>2−</sup> formation, and hence, climate cooling, while H<sub>2</sub>O<sub>2</sub> and O<sub>3</sub> decreases lead to decreased aqueous-phase SO<sub>4</sub><sup>2−</sup> formation (locally to intercontinentally) and climate warming (Unger et al., 2006; Leibensperger et al., 2011). In addition, CO influences the abundance of ammonium nitrate (NH<sub>4</sub>NO<sub>3</sub>) and secondary organic aerosols (SOA) via oxidant changes (Bauer et al., 2007; Hoyle et al., 2009).

For more than a decade, many have suggested that short-lived climate forcers and their precursors, like CO, be considered in international climate agreements, in addition to national air quality programs (Fuglestedt et al., 1999; Rypdal et al., 2005, 2009; Naik et al., 2005; Fry et al., 2012), and it is among these short-lived climate forcers for which reduc-

ing emissions can slow the near-term rate of climate change (Jackson, 2009; Shindell et al., 2012). One reason why CO has not been included in a climate mitigation strategy is that its RF varies by region of emissions, given its relatively short lifetime in the troposphere (Berntsen et al., 2005). Global warming potentials (GWP) have been estimated for global CO emissions, with values ranging from 1.0 to 3.0 for a 100 yr time horizon (GWP<sub>100</sub>) (Fuglestedt et al., 1996; Johnson and Derwent, 1996; Daniel and Solomon, 1998; Collins et al., 2002), based on O<sub>3</sub> production and feedbacks on CH<sub>4</sub>. Few studies have estimated GWPs for CO emissions from particular world regions. Berntsen et al. (2005) estimated GWPs for CO emissions from Europe and Southeast Asia, finding that the GWP for Asian CO emissions was 25 % higher than that for European emissions. Fry et al. (2012) also calculated CO GWP estimates for four world regions using an ensemble of global models, showing a small range (GWP<sub>20</sub>: 4.6 ± 1.3 to 5.3 ± 1.2; GWP<sub>100</sub>: 1.5 ± 0.4 to 1.7 ± 0.5) with coefficients of variation (CV = standard deviation/mean) of 0.065 for GWP<sub>20</sub> and 0.059 for GWP<sub>100</sub>, where the European reduction produced a lower GWP than North America, East Asia, and South Asia reductions. Further research on the sensitivity of net RF and CO GWPs to the region of CO emissions, including regions within the tropics and Southern Hemisphere (SH), may inform future policies that address climate change over the next 30 yr, in coordination with longer-term CO<sub>2</sub> mitigation (Daniel and Solomon, 1998; Shindell et al., 2012).

In this paper, we evaluate the effects of 50 % anthropogenic CO emission reductions from 10 regions individually, and globally, on stratospheric-adjusted net RF, tropospheric burdens (O<sub>3</sub>, CH<sub>4</sub>, and aerosols), and surface O<sub>3</sub> air quality to inform future coordinated actions addressing air quality and climate. We simulate the influence of CO emission reductions on tropospheric chemical composition using a global chemical transport model (CTM) and then apply a standalone radiative transfer model (RTM) to estimate the RF from changes in O<sub>3</sub>, CH<sub>4</sub>, and the direct effect of aerosols. We present the variability in CO RF and GWPs from 10 regions, while previous studies only evaluated CO emissions perturbations from a few regions (Berntsen et al., 2005; Fiore et al., 2009; TF HTAP, 2010; Fry et al., 2012). The global annually averaged net RF estimates given here are indicators of global mean surface temperature changes, but do not account for regional climate changes from spatially nonuniform forcings (Shindell et al., 2009).

## 2 Methods

### 2.1 Chemical transport modeling

Using the Model for OZone And Related chemical Tracers, version 4 (MOZART-4) (Emmons et al., 2010), we simulate anthropogenic CO emission reductions from 10 regions

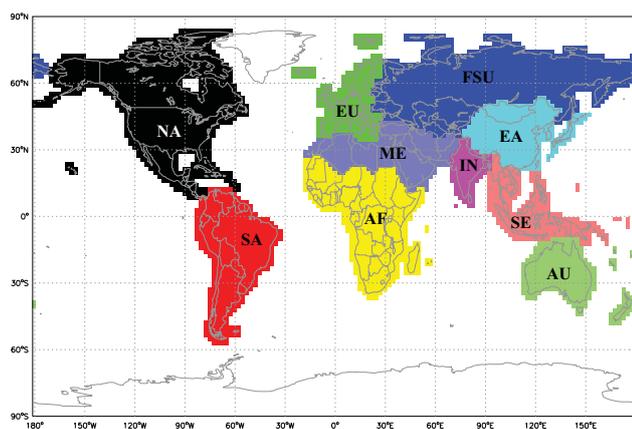


Fig. 1. Definition of 10 reduction regions.

(North America (NA), South America (SA), Europe (EU), Former Soviet Union (FSU), Africa (AF), India (IN), East Asia (EA), Southeast Asia (SE), Australia and New Zealand (AU), and Middle East and Northern Africa (ME)) (Fig. 1) and globally (sum of emissions from 10 regions only), relative to a base simulation. We use the Coupled Model Intercomparison Project phase 5 (CMIP5) Representative Concentration Pathway 8.5 (RCP8.5) emissions inventory for the year 2005 (Riahi et al., 2007, 2011) and global meteorology from the Goddard Earth Observing System Model, version 5 (GEOS-5) for the years 2004 to 2006 (Rienecker et al., 2008) as inputs to MOZART-4.

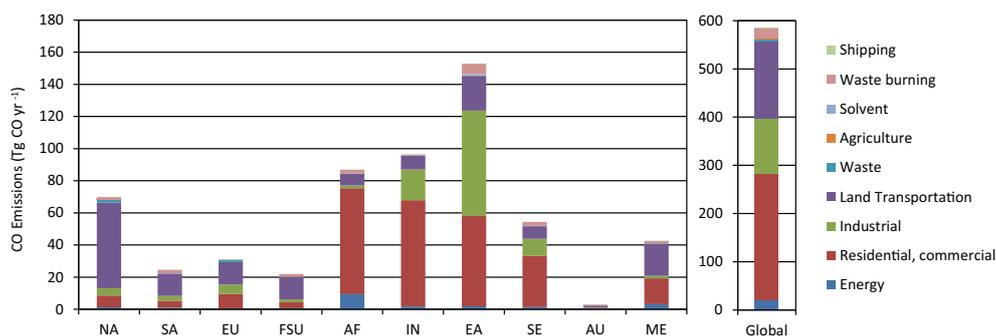
The RCP8.5 volatile organic compound (VOC) species are re-specified to MOZART-4 VOC categories by matching like species. Monthly temporal variation is added to all emissions species from anthropogenic sources, in each grid cell, by scaling to the monthly profile of emission species in the REanalysis of the TROpospheric chemical composition over the past 40 yr (RETRO) global emissions dataset (Schultz et al., 2007), while shipping, aircraft, and biomass burning emissions already have monthly temporal variation. Biogenic emissions of isoprene and monoterpenes are calculated online in MOZART-4 using the Model of Emissions of Gases and Aerosols from Nature (MEGAN) (Guenther et al., 2006), based on the methods of Pfister et al. (2008); global annual isoprene and monoterpene emissions are  $738 \text{ Tg yr}^{-1}$  and  $107 \text{ Tg yr}^{-1}$ . All other natural emissions are taken from the Precursors of Ozone and their Effects in the Troposphere (POET) emissions inventory for the year 2000 (Olivier et al., 2003; Granier et al., 2005; Emmons et al., 2010). The global annual mean lightning  $\text{NO}_x$  and soil  $\text{NO}_x$  emissions (for 2005) are estimated as  $2.4 \text{ Tg N yr}^{-1}$  and  $8.0 \text{ Tg N yr}^{-1}$ , respectively, which are within the range of other modeling studies (Schumann and Huntrieser, 2007; Hudman et al., 2012).

The global and regional reduction simulations are run from 1 July 2004 through 31 December 2005 at  $1.9^\circ \times 2.5^\circ$  (lati-

tude  $\times$  longitude) horizontal resolution and 56 vertical levels. The base simulation is run through 31 December 2006 to allow further comparisons with observations. Although the perturbation simulations are 1.5 yr in length, we account for the influence of CO emissions on  $\text{CH}_4$  (via OH), and thus long-term changes in  $\text{O}_3$  on the decadal timescale of  $\text{CH}_4$  perturbation lifetime, using methods from previous studies (Prather et al., 2001; West et al., 2007; Fiore et al., 2009; Fry et al., 2012). We set global  $\text{CH}_4$  to a uniform mixing ratio in the base and perturbation simulations of 1783 parts per billion by volume (ppbv) (WMO, 2006). We then simulate an additional  $\text{CH}_4$  control simulation, which reduces global  $\text{CH}_4$  by 20% to 1426.4 ppbv. Using the results from the base and  $\text{CH}_4$  control simulations, we estimate  $\text{CH}_4$  lifetime against loss by tropospheric OH ( $\tau_{\text{OH}}$ , 11.24 yr), total  $\text{CH}_4$  lifetime based on  $\tau_{\text{OH}}$  and  $\text{CH}_4$  loss to soils and the stratosphere ( $\tau_{\text{total}}$ , 9.66 yr), and methane's feedback factor (F, 1.29) by the methods of Prather et al. (2001) and more recently, Stevenson et al. (2013), finding that our  $\tau_{\text{OH}}$  agrees with a recent estimate of  $\tau_{\text{OH}}$  based on observations ( $11.2 \pm 1.3$  yr) (Prather et al., 2012), and is within the range of other models: 8.2 to 11.7 yr (Stevenson et al., 2006),  $10.2 \pm 1.7$  yr (Fiore et al., 2009), and  $9.8 \pm 1.6$  yr (Voulgarakis et al., 2013). Using the offline formulation of West et al. (2007) and our estimated parameters ( $\tau_{\text{OH}}$ ,  $\tau_{\text{total}}$ , and F), we estimate the steady-state tropospheric  $\text{CH}_4$  change for each perturbation. We then calculate long-term  $\text{O}_3$  responses by scaling the change in  $\text{O}_3$  from the  $\text{CH}_4$  control simulation ( $\text{CH}_4$  control simulation minus base) in each grid cell by the ratio of global  $\text{CH}_4$  burden change calculated for each perturbation to that of the  $\text{CH}_4$  control simulation. Long-term  $\text{O}_3$  responses are added to the short-term  $\text{O}_3$  responses simulated directly for each CO emission reduction (described below) to yield  $\text{O}_3$  concentrations at steady state (West et al., 2007; Fiore et al., 2009; Fry et al., 2012).

Because MOZART-4 does not have complete stratospheric chemistry (Emmons et al., 2010), three-dimensional monthly mean stratospheric  $\text{O}_3$  concentrations from the AC&C/SPARC (Stratospheric Processes And their Role in Climate)  $\text{O}_3$  database prepared for CMIP5 (available at: <http://pcmdi-cmip.llnl.gov/cmip5/forcing.html>) (Cionni et al., 2011) are merged with the calculated steady-state (short-term + long-term) tropospheric  $\text{O}_3$  concentrations for each simulation including the base case. Søvde et al. (2011) estimated that  $\sim 15\%$  of the RF of  $\text{O}_3$  precursors is due to lower stratosphere  $\text{O}_3$  changes, using a single model with both standard and updated chemistry. Since we do not account for lower stratospheric  $\text{O}_3$  changes, our RF estimates may underestimate the full effect of CO emissions.

MOZART-4 simulates the tropospheric aerosols  $\text{SO}_4^{2-}$ , BC, OC, primary and secondary organics,  $\text{NH}_4\text{NO}_3$ , and sea salt aerosols (Lamarque et al., 2005; Emmons et al., 2010). Here we quantify the changes in  $\text{SO}_4^{2-}$ ,  $\text{NH}_4\text{NO}_3$ , and SOA, as these aerosols are most directly influenced by anthropogenic CO emissions via changes in oxidants.



**Fig. 2.** Annual average anthropogenic CO emissions ( $\text{Tg CO yr}^{-1}$ ) by region and sector for the base simulation, from the RCP8.5 emissions inventory for the year 2005.

$\text{SO}_4^{2-}$  aerosols in MOZART-4 are produced from  $\text{SO}_2$  and dimethylsulfide (DMS) emissions through gas and aqueous-phase oxidation (Barth et al., 2000), while  $\text{NH}_4\text{NO}_3$  aerosols are calculated from the oxidation of nitrogen oxides ( $\text{NO}_x$ ) to nitric acid ( $\text{HNO}_3$ ), and subsequent reaction with  $\text{NH}_3$  emissions (Metzger et al., 2002). SOA is formed through the gas-phase oxidation of monoterpenes by OH,  $\text{O}_3$ , and nitrate ( $\text{NO}_3$ ), and the gas-phase oxidation of toluene by OH (Chung and Seinfeld, 2002).

Each perturbation simulation reduces anthropogenic CO emissions by 50 % in one region (or globally), while leaving all other emissions unchanged. Figure 2 shows the total anthropogenic CO emissions by region and sector for the base simulation. Anthropogenic CO emissions include all source categories in Fig. 2, but exclude biomass burning (except for the agriculture and waste burning categories), such as forest fires and grassland fires, which are also large sources of CO. We exclude biomass burning as actions to address biomass burning differ from the other sectors addressed here, and would reduce a suite of emissions simultaneously (Naik et al., 2007). Using the global, three-dimensional results from each perturbation, global and regional changes in air quality ( $\text{O}_3$  and aerosols) at the surface (within the first vertical level) and across the troposphere (region with  $\text{O}_3$  levels less than 150 ppbv) are quantified, relative to the base simulation, including the influence of each regional reduction on  $\text{O}_3$  long-range transport.

## 2.2 MOZART-4 evaluation

Previous MOZART-4 simulations, with comparable model formulations but different inputs, have reproduced  $\text{O}_3$  and CO observations well (e.g., Pfister et al., 2005, 2006, 2008; Lapina et al., 2006; Horowitz et al., 2007; and Emmons et al., 2010). Table 1 summarizes the total anthropogenic CO emissions and annual average surface  $\text{O}_3$ ,  $\text{SO}_4^{2-}$ , and CO concentrations regionally and globally for the base simulation. The base simulation produces an average bias of 4.5 ppbv  $\text{O}_3$  across all sites compared to the Clean Air Status and Trends Network (CASTNET) (Fig. S1), and 0.8 ppbv  $\text{O}_3$

compared to the European Monitoring and Evaluation Programme (EMEP) network (Fig. S2). MOZART-4 performs the least well during the summer months (June to August) in the US, with biases of nearly 20 ppbv in the Great Lakes, northeast US, and southeast US regions. Simulated annual average surface  $\text{SO}_4^{2-}$  concentrations are mostly within a factor of two of observations from the Interagency Monitoring of Protected Visual Environments (IMPROVE) and EMEP monitoring networks for 2005 (Fig. S3). The base simulated monthly mean surface CO concentrations also generally agree with the seasonal cycle of NOAA CMDL (Climate Monitoring and Diagnostics Laboratory) surface CO measurements, but overestimate CMDL measurements in the SH (Fig. S4), as was also found by Emmons et al. (2010).

Simulated monthly 2005 and 2006 vertical  $\text{O}_3$  profiles are comparable to 1995 to 2009 monthly mean and median ozonesonde climatology (Tilmes et al., 2012) (Fig. S5), with the greatest agreement at 800 and 500 millibar (mb) altitudes (Fig. S6). Larger differences exist between the base simulated  $\text{O}_3$  and ozonesonde climatology at 200 mb, which may reflect the model's upper boundary conditions and constraints (Emmons et al., 2010).

Our base 2005 simulated OH burdens are lower than Spivakovsky et al. (2000), but fairly comparable to those of Lawrence (1996), Lawrence et al. (1999), von Kuhlmann (2001), and Emmons et al. (2010) (Table S1). Low modeled OH concentrations may contribute in part to the surface CO overestimates of CMDL measurements in the SH. The percentage loss of tropospheric  $\text{CH}_4$  by reaction with OH in the base simulation is comparable to Fiore et al. (2008) (Table S2), yet slightly lower in the lower troposphere (surface to 750 hPa), and slightly higher in the mid- and upper troposphere (750 to 250 hPa).

## 2.3 Radiative transfer modeling

The NOAA Geophysical Fluid Dynamics Laboratory (GFDL) RTM is used to estimate the stratospheric-adjusted net RF due to changes in tropospheric steady-state  $\text{O}_3$ ,  $\text{CH}_4$ , and  $\text{SO}_4^{2-}$  aerosols (direct effect). The GFDL RTM

**Table 1.** For the base simulation, total anthropogenic CO emissions by region, and regional (or global) annual average area-weighted surface O<sub>3</sub>, SO<sub>4</sub><sup>2-</sup>, and CO concentrations.

| Reduction region | Total anthropogenic CO emissions (Tgyr <sup>-1</sup> ) | Annual average surface O <sub>3</sub> (ppbv) | Annual average surface SO <sub>4</sub> <sup>2-</sup> (μg m <sup>-3</sup> ) | Annual average surface CO (ppbv) |
|------------------|--|--|--|----------------------------------|
| NA               | 70.0   | 35.3   | 1.6  | 151.0                            |
| SA               | 24.5   | 24.8   | 0.7  | 147.4                            |
| EU               | 31.2   | 36.5   | 3.0  | 166.0                            |
| FSU              | 21.9   | 33.2   | 1.4  | 166.3                            |
| AF               | 86.9   | 30.0   | 0.9  | 172.9                            |
| IN               | 96.4   | 41.2   | 2.9  | 279.4                            |
| EA               | 152.9  | 42.4   | 4.3  | 235.0                            |
| SE               | 54.3   | 28.1   | 1.6  | 174.5                            |
| AU               | 2.9  | 22.8   | 0.5  | 101.9                            |
| ME               | 42.6   | 40.4   | 2.5  | 147.5                            |
| Global           | 584.7  | 26.2   | 1.0  | 122.7                            |

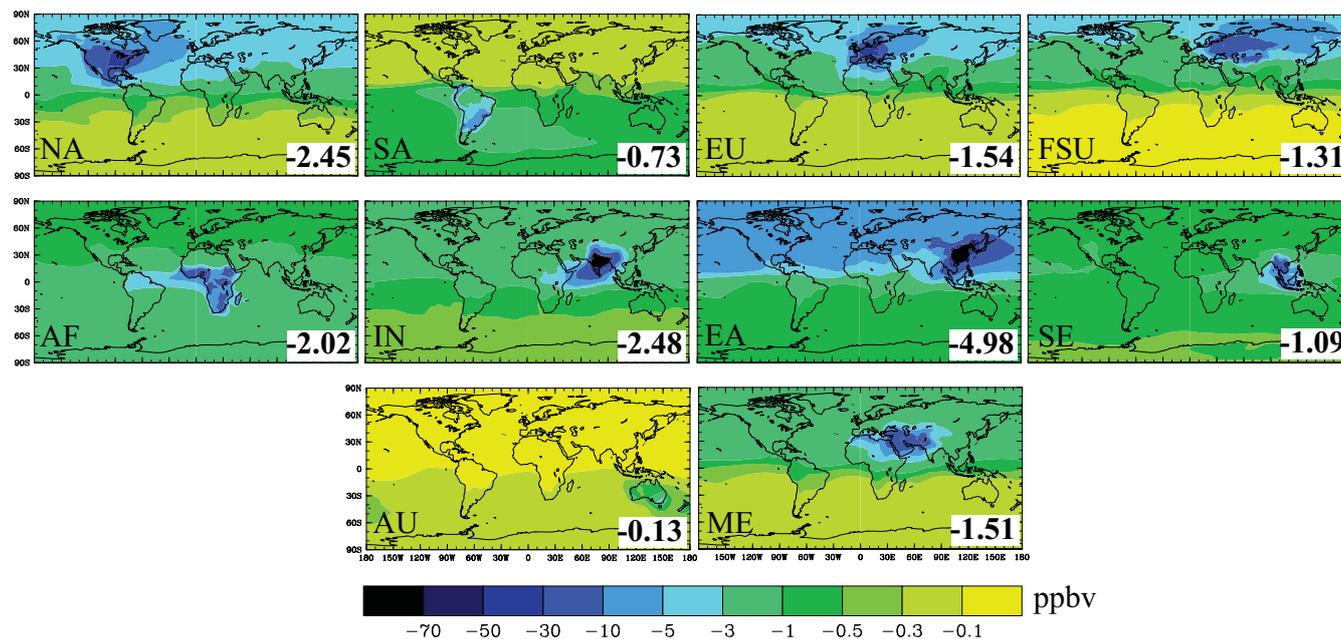
**Table 2.** Source–receptor matrix of annual average surface CO concentration changes (ppbv), for the regional reduction simulations, with the United States (US) also defined as a receptor in addition to the 10 regions. The largest changes for each source reduction region are in bold.

| Source | Receptor |              |              |              |              |              |              |              |              |              |              |
|--------|----------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|
|        | NA       | SA           | EU           | FSU          | AF           | IN           | EA           | SE           | AU           | ME           | US           |
| NA     | -12.5    | -0.69        | -4.56        | -4.07        | -1.34        | -1.75        | -3.27        | -1.17        | -0.32        | -3.36        | <b>-19.3</b> |
| SA     | -0.19    | <b>-3.81</b> | -0.13        | -0.13        | -0.56        | -0.32        | -0.17        | -0.45        | -0.83        | -0.18        | -0.15        |
| EU     | -2.32    | -0.31        | <b>-18.3</b> | -4.96        | -0.98        | -0.85        | -2.56        | -0.63        | -0.13        | -3.90        | -2.16        |
| FSU    | -2.05    | -0.18        | -3.66        | <b>-9.70</b> | -0.47        | -0.69        | -2.96        | -0.49        | -0.08        | -2.03        | -1.88        |
| AF     | -1.05    | -2.47        | -0.87        | -0.87        | <b>-10.2</b> | -1.84        | -1.11        | -1.47        | -1.58        | -1.42        | -0.95        |
| IN     | -1.93    | -1.03        | -1.82        | -2.00        | -2.21        | <b>-75.5</b> | -4.23        | -3.50        | -0.57        | -2.76        | -1.97        |
| EA     | -7.08    | -1.29        | -6.53        | -7.68        | -2.36        | -4.79        | <b>-50.0</b> | -8.36        | -0.73        | -5.08        | -7.52        |
| SE     | -0.82    | -0.72        | -0.74        | -0.73        | -0.90        | -1.90        | -1.48        | <b>-8.96</b> | -0.73        | -0.83        | -0.83        |
| AU     | -0.01    | -0.11        | -0.01        | -0.01        | -0.07        | -0.04        | -0.01        | -0.10        | <b>-0.81</b> | -0.02        | -0.01        |
| ME     | -1.65    | -0.49        | -2.33        | -2.95        | -1.69        | -5.91        | -2.51        | -0.94        | -0.22        | <b>-13.7</b> | -1.66        |

is a module of the GFDL coupled atmosphere-ocean model (AM2) and simulates solar and infrared radiative transfer (GFDL GAMDT, 2004; Naik et al., 2005, 2007). This RTM has been applied in studies of long-lived greenhouse gases (Schwarzkopf and Ramaswamy, 1999) and short-lived forcing agents (Naik et al., 2005, 2007; West et al., 2007; Fiore et al., 2008; Saikawa et al., 2009; Fry et al., 2012). Here the RTM is employed as in Fry et al. (2012) at 144 × 90 × 24 levels, and with updated well-mixed greenhouse gas concentrations including CO<sub>2</sub> and nitrous oxide (N<sub>2</sub>O) (Meinshausen et al., 2011) and CMIP5 solar forcing data ([http://www.geo.fu-berlin.de/en/met/ag/strat/forschung/SOLARIS/Input\\_data/CMIP5\\_solar\\_irradiance.html](http://www.geo.fu-berlin.de/en/met/ag/strat/forschung/SOLARIS/Input_data/CMIP5_solar_irradiance.html)). The RTM simulations do not include the indirect effects of aerosols on clouds or the internal mixing of aerosols. Aerosol indirect effects are highly uncertain, and may account for considerable RF contributions beyond the direct effect of aerosols (Forster et al., 2007). Changes in the RF

contributions from nitrate aerosols, stratospheric O<sub>3</sub>, water vapor, the carbon cycle via O<sub>3</sub> and nitrogen deposition, and CO<sub>2</sub> (from changes in CH<sub>4</sub> and CO oxidation) are also excluded. CO oxidizes to CO<sub>2</sub> in the atmosphere, with a minor influence on the net RF of CO (Shindell et al., 2009). Since this carbon is likely already accounted for in inventories of CO<sub>2</sub>, we do not estimate CO<sub>2</sub> forcing here (Daniel and Solomon, 1998).

Tropospheric O<sub>3</sub>, CH<sub>4</sub>, SO<sub>4</sub><sup>2-</sup>, BC, and OC concentrations from the MOZART-4 base and perturbed simulations are used as inputs to the RTM simulations, along with meteorological fields from GFDL's atmosphere model (AM2) and land model (LM2), sampled one day per month at mid-month for the year 2005, to represent monthly mean conditions (Naik et al., 2005). BC and OC concentrations are not evaluated further as changes in these species between the base and perturbed simulations are negligible, but we include them as inputs to the RTM simulations. The RTM currently



**Fig. 3.** Global distribution of annual average surface CO concentration changes (ppbv) for each of the regional reduction simulations relative to the base. The global annual average surface CO concentration changes (ppbv) for each simulation are noted in the lower right of each panel.

does not calculate the RF of SOA and  $\text{NH}_4\text{NO}_3$  aerosols. The net RF is calculated as the difference between the perturbed and base cases' simulated monthly mean net radiation fluxes (net shortwave minus net longwave), in each grid cell and month, at the tropopause after allowing stratospheric temperatures to readjust to radiative equilibrium (Naik et al., 2007; Saikawa et al., 2009; Fry et al., 2012).

### 3 Global and regional air quality responses

#### 3.1 Surface CO concentrations

We first analyze the magnitude and distribution of annual average surface CO concentrations for each 50 % reduction in anthropogenic CO emissions, relative to the base. Figure 3 shows that the largest decreases in surface CO occur within each reduction region, with lesser decreases intercontinentally. The foreign region that most influences the US is EA, which contributes 39 % of the change in US surface CO that results from the NA reduction (Table 2). Responses normalized by emission change are listed in Table S3. Given that modeled OH concentrations are lower than a previous study (Spivakovsky et al., 2000), simulated surface CO concentrations may be slightly overestimated, such as in the SH when compared to CMDL measurements.

#### 3.2 Responses of methane and ozone

##### 3.2.1 Tropospheric methane

Changes in global tropospheric steady-state  $\text{CH}_4$  abundance for each perturbation relative to the base are calculated using the tropospheric  $\text{CH}_4$  loss flux diagnosed from the model (West et al., 2007; Fiore et al., 2009; Fry et al., 2012) (Table 3). The EA reduction produces the greatest change in global  $\text{CH}_4$  ( $-19.4$  ppbv), followed by IN ( $-11.5$  ppbv) and AF ( $-10.9$  ppbv) reductions. Upon normalizing by the change in CO emissions, global  $\text{CH}_4$  varies little among regions ( $\text{CV} = 0.054$ ), suggesting that the sensitivity of global  $\text{CH}_4$  to CO emissions is nearly independent of emission region (Fig. S7, Table S9). Fry et al. (2012) also found that  $\text{CH}_4$  sensitivity to CO emission changes varies little ( $0.22$  to  $0.24$  ppbv  $\text{CH}_4$  ( $\text{Tg CO}^{-1}$ )), in contrast to the more regionally-variable effects of  $\text{NO}_x$  and NMVOC (non-methane volatile organic compound) emissions on  $\text{CH}_4$ .

##### 3.2.2 Surface and tropospheric ozone

Global  $\text{CH}_4$  changes are used to calculate long-term tropospheric  $\text{O}_3$  changes, which vary little among regions, that are then added to short-term changes to give steady-state  $\text{O}_3$  responses (Table 4). Steady-state global  $\text{O}_3$  changes are 40 to 83 % greater than short-term changes, suggesting that the long-term influence of CO via  $\text{CH}_4$  is relevant for air quality (West et al., 2007). Both short-term and steady-state global surface  $\text{O}_3$  responses are approximately proportional to the

**Table 3.** For the global and regional reduction simulations relative to the base, global annual mean burden changes in tropospheric and upper tropospheric (UT) steady-state O<sub>3</sub>, tropospheric CH<sub>4</sub>, SO<sub>4</sub><sup>2-</sup>, NH<sub>4</sub>NO<sub>3</sub>, and SOA. The total global annual average tropospheric O<sub>3</sub> (at steady state), SO<sub>4</sub><sup>2-</sup>, NH<sub>4</sub>NO<sub>3</sub>, and SOA burdens in the base simulation are 352 Tg O<sub>3</sub>, 1788 Gg SO<sub>4</sub><sup>2-</sup>, 457 Gg NH<sub>4</sub>NO<sub>3</sub>, and 237 Gg SOA.

| Reduction region        | ΔO <sub>3</sub> (Tg) | ΔUT O <sub>3</sub> (Tg) | ΔCH <sub>4</sub> (ppbv) | ΔSO <sub>4</sub> <sup>2-</sup> (Gg) | ΔNH <sub>4</sub> NO <sub>3</sub> (Gg) | ΔSOA (Gg) |
|-------------------------|----------------------|-------------------------|-------------------------|-------------------------------------|---------------------------------------|-----------|
| NA                      | -0.64                | -0.37                   | -9.1                    | -0.54                               | 0.24                                  | -0.11     |
| SA                      | -0.21                | -0.14                   | -3.2                    | -0.05                               | -0.003                                | -0.02     |
| EU                      | -0.27                | -0.15                   | -4.1                    | -0.37                               | 0.19                                  | -0.07     |
| FSU                     | -0.19                | -0.11                   | -2.9                    | -0.22                               | 0.12                                  | -0.05     |
| AF                      | -0.80                | -0.51                   | -10.9                   | 0.03                                | -0.09                                 | -0.06     |
| IN                      | -0.93                | -0.61                   | -11.5                   | 0.48                                | -0.29                                 | -0.17     |
| EA                      | -1.38                | -0.84                   | -19.4                   | -1.25                               | 0.22                                  | -0.43     |
| SE                      | -0.56                | -0.38                   | -6.6                    | -0.11                               | -0.04                                 | -0.12     |
| AU                      | -0.02                | -0.01                   | -0.4                    | -0.01                               | 0.001                                 | -0.001    |
| ME                      | -0.38                | -0.23                   | -5.6                    | 0.37                                | 0.003                                 | -0.09     |
| Global                  | -5.39                | -3.35                   | -73.0                   | -1.82                               | 0.39                                  | -1.11     |
| CH <sub>4</sub> control | -8.76                | -2.30                   | -356.6                  | -3.05                               | 0.78                                  | 0.26      |

**Table 4.** For the global and regional reduction simulations, global annual mean changes in short-term surface O<sub>3</sub>, steady-state surface O<sub>3</sub>, steady-state surface O<sub>3</sub> per unit change in CO emissions, and long-term surface O<sub>3</sub> per unit change in CO emissions.

| Reduction region | Δ Short-term surface O <sub>3</sub> (pptv) | Δ Steady-state surface O <sub>3</sub> (pptv) | Δ Steady-state surface O <sub>3</sub> per Tg CO emissions (pptv (Tg CO yr <sup>-1</sup> ) <sup>-1</sup> ) | Δ Long-term surface O <sub>3</sub> per Tg CO emissions (pptv (Tg CO yr <sup>-1</sup> ) <sup>-1</sup> ) |
|------------------|--|--|---|--|
| NA               | -42.8                                      | -63.0  | -1.8  | -0.58  |
| SA               | -8.7                                       | -15.9  | -1.3  | -0.59  |
| EU               | -22.1                                      | -31.2  | -2.0  | -0.58  |
| FSU              | -16.0                                      | -22.4  | -2.1  | -0.58  |
| AF               | -31.3                                      | -55.4  | -1.3  | -0.55  |
| IN               | -40.8                                      | -66.4  | -1.4  | -0.53  |
| EA               | -77.8                                      | -120.8                                       | -1.6  | -0.56  |
| SE               | -19.9                                      | -34.5  | -1.3  | -0.54  |
| AU               | -1.2                                       | -2.2   | -1.5  | -0.68  |
| ME               | -24.1                                      | -36.5  | -1.7  | -0.58  |
| Global           | -287.8                                     | -450.1                                       | -1.5  | -0.56  |

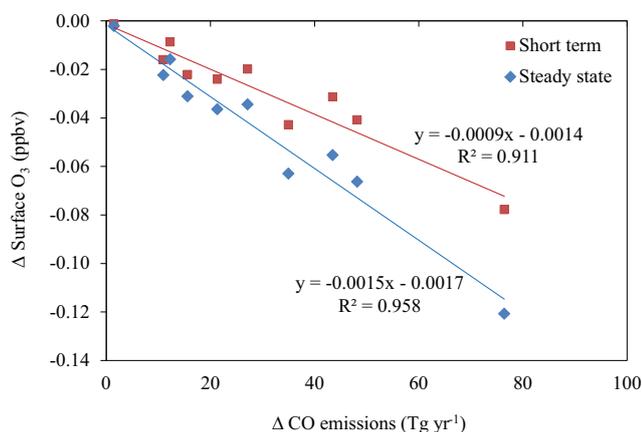
level of CO emissions change (Fig. 4). Values in Table 4 could be scaled to other emissions changes, allowing long-term effects to be included in future global or regional modeling exercises (e.g., West et al., 2009b for NO<sub>x</sub>).

Surface O<sub>3</sub> responses are greatest within the hemisphere of reduction; since inter-hemispheric transport takes about 1 yr, little mixing occurs across hemispheres (Jacob, 1999; West et al., 2009a). The long-term O<sub>3</sub> component (via CH<sub>4</sub>), however, impacts air quality globally. The distributions of steady-state surface O<sub>3</sub> changes are shown in Fig. 5, and are quantified as annual average changes within all 10 regions and the US (Table 5). The greatest steady-state surface O<sub>3</sub> decreases occur within the reduction region, with smaller decreases hemispherically, except for the AU reduction, which has little effect (< 2.1 pptv) on foreign regions (Table 5). Similar trends are seen in regional steady-state surface O<sub>3</sub> responses normalized per unit change in CO emissions (Table S4).

The sum of global annual mean steady-state surface O<sub>3</sub> changes from the 10 regional reductions (-448 pptv) is nearly equivalent to that of the global CO reduction (-450 pptv). However, steady-state surface O<sub>3</sub> (normalized per unit change in CO emissions) is most sensitive to FSU and EU reductions (-2.1 and -2.0 pptv/Tg CO yr<sup>-1</sup>, respectively) (Table 4). The largest CO emitters (EA, IN, AF, and NA) (Table 1) produce the greatest impacts within the reduction region or between regions. EA is the foreign region that most influences the US, with an influence on surface O<sub>3</sub> that is nearly as large (93 %) as the influence from NA CO emissions. Fiore et al. (2009) also found that East Asia CO emissions can influence surface O<sub>3</sub> in North America by as much as 50 % of the response from domestic emissions. Reducing NA CO emissions strongly impacts EU and ME surface O<sub>3</sub>, with an influence that is 77 and 75 %, respectively, of domestic impacts. In some cases, foreign CO emission reductions

**Table 5.** Source–receptor matrix of annual average steady-state changes in surface O<sub>3</sub> concentrations (ppbv), for the regional reduction simulations, with the United States (US) also defined as a receptor in addition to the 10 regions. The largest changes for each source reduction region are in bold.

| Source | Receptor |       |        |        |       |        |        |        |       |        |        |
|--------|----------|-------|--------|--------|-------|--------|--------|--------|-------|--------|--------|
|        | NA       | SA    | EU     | FSU    | AF    | IN     | EA     | SE     | AU    | ME     | US     |
| NA     | −178.2   | −26.0 | −131.1 | −97.3  | −46.8 | −71.5  | −102.4 | −35.1  | −20.7 | −119.6 | −253.3 |
| SA     | −14.4    | −25.3 | −14.2  | −11.9  | −16.4 | −17.5  | −15.6  | −12.9  | −15.2 | −17.1  | −15.4  |
| EU     | −48.4    | −11.3 | −170.8 | −68.9  | −24.7 | −32.9  | −57.9  | −16.4  | −9.1  | −91.5  | −56.0  |
| FSU    | −36.9    | −7.6  | −58.3  | −75.1  | −14.5 | −25.8  | −53.3  | −12.1  | −6.3  | −50.9  | −43.5  |
| AF     | −59.8    | −52.1 | −59.6  | −49.9  | −97.2 | −77.2  | −66.4  | −46.0  | −41.5 | −77.7  | −64.1  |
| IN     | −85.0    | −37.6 | −89.0  | −76.8  | −66.9 | −454.6 | −129.2 | −67.2  | −30.7 | −114.5 | −96.0  |
| EA     | −197.0   | −53.4 | −209.2 | −178.2 | −90.5 | −158.6 | −437.7 | −118.9 | −45.7 | −202.0 | −235.7 |
| SE     | −41.5    | −24.3 | −42.6  | −35.6  | −34.5 | −57.0  | −52.3  | −57.9  | −24.0 | −50.0  | −46.4  |
| AU     | −1.6     | −1.9  | −1.6   | −1.4   | −1.9  | −2.1   | −1.8   | −2.0   | −4.8  | −2.0   | −1.7   |
| ME     | −49.7    | −17.1 | −63.4  | −59.0  | −38.4 | −105.9 | −69.1  | −25.0  | −13.4 | −159.3 | −57.5  |



**Fig. 4.** Short-term and steady-state surface ozone changes as a function of CO emissions change for each of the regional reductions relative to the base.

have a greater impact on O<sub>3</sub> than a region's own domestic reduction, such as EA which reduces surface O<sub>3</sub> in EU by 22 % more than the EU reduction (Table 5). As in Fiore et al. (2009), for NH sources and receptors, the greatest transport and intercontinental influences of each region on other regions are mainly from March to June (Fig. S10).

The distributions of steady-state tropospheric total column O<sub>3</sub> changes (Fig. 6) are similar to surface O<sub>3</sub> responses (Fig. 5), yet more widespread hemispherically to globally. The troposphere is defined for O<sub>3</sub> less than 150 ppbv, and the upper troposphere (UT) from 500 hPa to the tropopause. We distinguish between full troposphere and UT O<sub>3</sub> burdens, since O<sub>3</sub> in the UT has a higher RF efficiency per molecule (Lacis et al., 1990; Wang et al., 1993; Forster and Shine, 1997). The EA reduction has the strongest impact on total column O<sub>3</sub> across the NH, while the AU CO reduction has the least impact on total column O<sub>3</sub> globally. Tropospheric total column O<sub>3</sub> changes are less than 2 % in all locations,

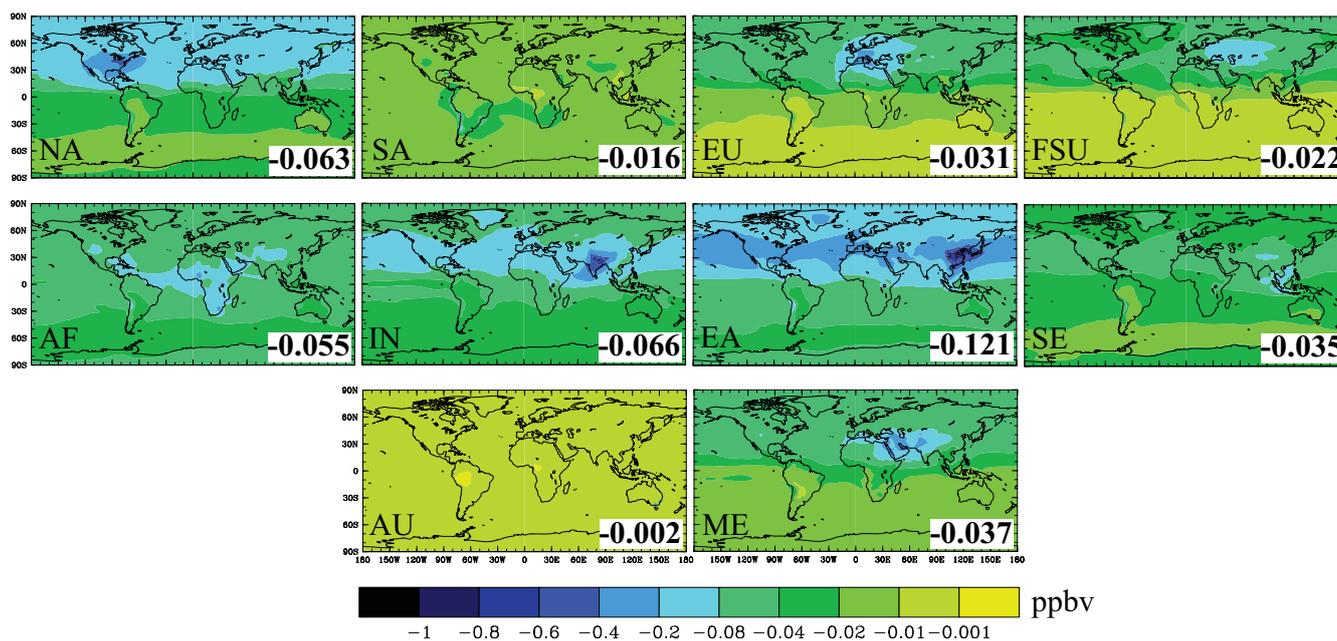
for each perturbation (Fig. S11). Normalized global annual mean full and UT steady-state O<sub>3</sub> burden changes (Table S5) are greatest for SE, IN, and AF reductions, due to the stronger photochemistry and more active vertical convection in the tropics.

### 3.3 Response of aerosols

Tropospheric annual mean burden changes in SO<sub>4</sub><sup>2−</sup>, NH<sub>4</sub>NO<sub>3</sub>, and SOA are presented in Table 3. The IN, ME, and AF reductions increase the global SO<sub>4</sub><sup>2−</sup> burden, while all other perturbations decrease global SO<sub>4</sub><sup>2−</sup>. Global NH<sub>4</sub>NO<sub>3</sub> burden also increases and decreases across the regional perturbations, but the global SOA burden decreases in all cases. The sums of global SO<sub>4</sub><sup>2−</sup>, NH<sub>4</sub>NO<sub>3</sub>, and SOA burden changes for all 10 regional reductions are 0–8 % less than those of the global CO reduction, suggesting some dependence on regional conditions and chemistry. Increases in OH are expected to increase the global annual average SO<sub>4</sub><sup>2−</sup>, NH<sub>4</sub>NO<sub>3</sub>, and SOA burdens, while decreases in O<sub>3</sub> (and H<sub>2</sub>O<sub>2</sub> for SO<sub>2</sub> oxidation) are expected to decrease the global annual average SO<sub>4</sub><sup>2−</sup> and SOA burdens.

Most regional perturbations show stronger increases in tropospheric OH within the source region, and smaller, widespread increases in the tropics (30° S to 30° N) (Fig. S12). Tropospheric total column H<sub>2</sub>O<sub>2</sub> changes are opposite in sign, with the greatest decreases within the reduction region and extending longitudinally (Fig. S13).

In Fig. 7, annual average tropospheric total column SO<sub>4</sub><sup>2−</sup> changes result from several SO<sub>2</sub> oxidation pathways, where CO's lifetime is long enough that the resulting SO<sub>4</sub><sup>2−</sup> patterns are fairly independent of reduction region. In the northern midlatitudes, SO<sub>4</sub><sup>2−</sup> decreases likely relate to the prevalence of clouds and decreased aqueous-phase SO<sub>2</sub> oxidation (in clouds) by O<sub>3</sub> and H<sub>2</sub>O<sub>2</sub>. Near the equator and in drier regions (i.e., near ME and AF), gas-phase SO<sub>2</sub> oxidation by OH dominates, leading to increases in SO<sub>4</sub><sup>2−</sup>. The greatest



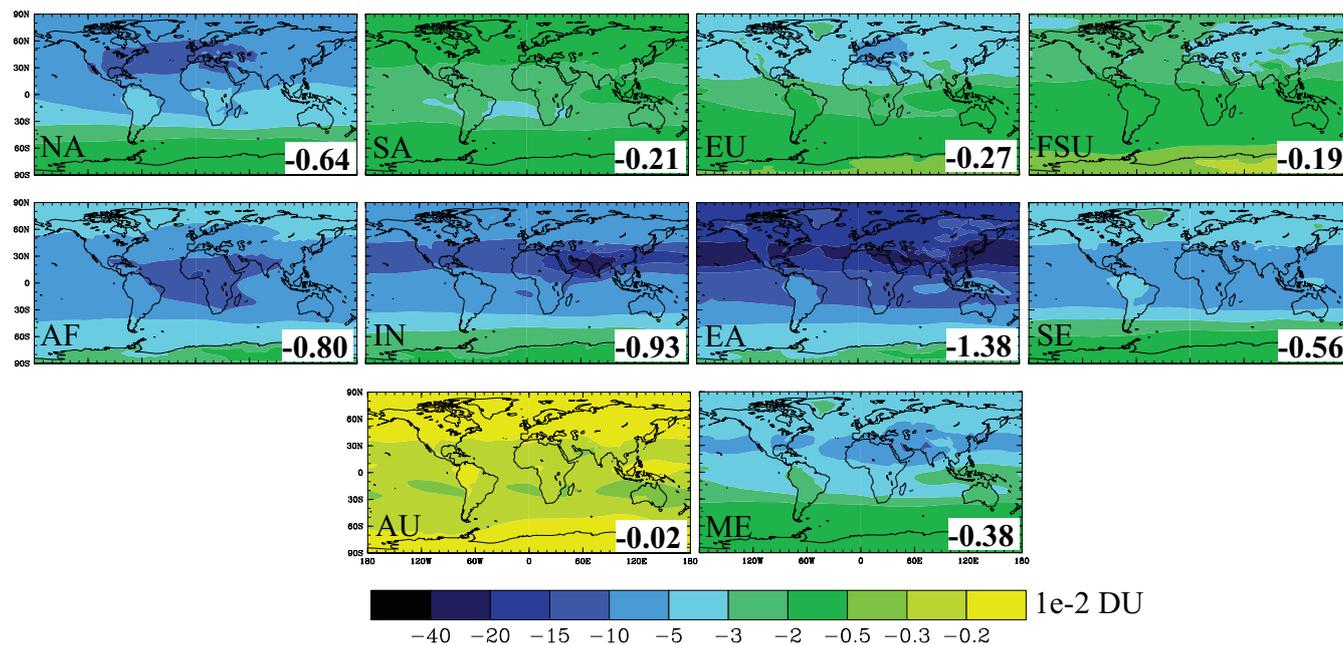
**Fig. 5.** Global distribution of annual average steady-state surface O<sub>3</sub> concentration changes (ppbv) for each of the regional reduction simulations relative to the base. The global annual average steady-state surface O<sub>3</sub> concentration changes (ppbv) for each simulation are noted in the lower right of each panel.

**Table 6.** For each regional reduction, changes in global annual average (short-term) tropospheric CO burden ( $B_{CO}$ ), and  $B_{CO}$  per unit change in CO emissions ( $E_{CO}$ ). Also shown are CO lifetime calculated as  $\Delta B_{CO}/(\Delta E_{CO} + \Delta P_{CO})$ , the fractions of  $B_{CO}$  change outside each reduction region and in the UT, and the changes in net CO export ( $X_{CO}$ ) from the reduction region, global CO production ( $P_{CO}$ ), and  $P_{CO}$  outside the reduction region. The total global annual average CO burden in the base simulation is 462.6 Tg CO.

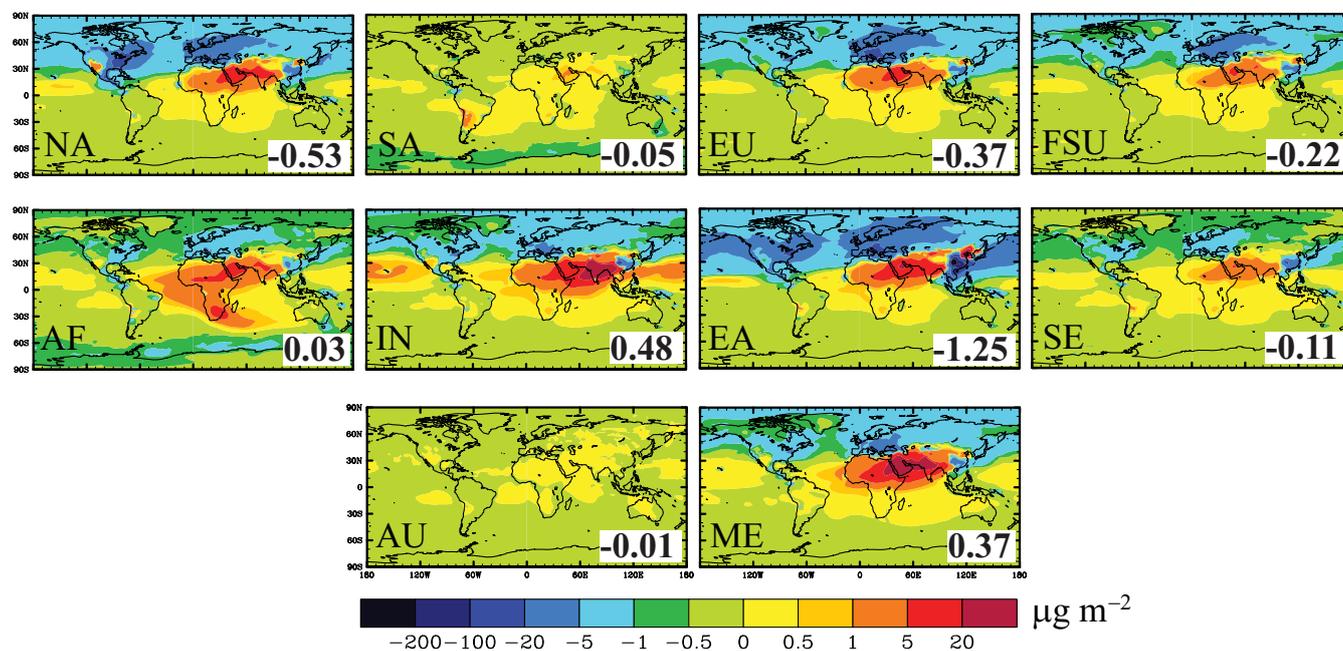
| Reduction region | $\Delta B_{CO}$ short-term (Tg CO) | $\Delta B_{CO}/\Delta E_{CO}$ (days) | CO Lifetime (days) | Fraction of $\Delta B_{CO}$ outside region | Fraction of $\Delta B_{CO}$ in UT | $\Delta X_{CO}$ from region (Tg yr <sup>-1</sup> ) | $\Delta P_{CO}$ global (Tg yr <sup>-1</sup> ) | $\Delta P_{CO}$ outside region (Tg yr <sup>-1</sup> ) |
|------------------|------------------------------------|--------------------------------------|--------------------|--|-----------------------------------|--|---|---|
| NA               | -6.7                               | 70.3                                 | 78.2               | 0.82                                       | 0.37                              | -27.4  | 3.5   | 2.7   |
| SA               | -2.5                               | 74.0                                 | 82.2               | 0.88                                       | 0.41                              | -10.4  | 1.2   | 1.0   |
| EU               | -3.4                               | 79.3                                 | 88.2               | 0.92                                       | 0.30                              | -13.7  | 1.6   | 1.4   |
| FSU              | -2.5                               | 83.7                                 | 93.1               | 0.79                                       | 0.29                              | -9.1   | 1.1   | 0.95  |
| AF               | -7.9                               | 66.2                                 | 73.4               | 0.83                                       | 0.44                              | -33.6  | 4.3   | 3.3   |
| IN               | -8.0                               | 60.5                                 | 66.7               | 0.92                                       | 0.45                              | -40.2  | 4.5   | 3.8   |
| EA               | -14.7                              | 70.1                                 | 77.7               | 0.90                                       | 0.39                              | -64.6  | 7.5   | 6.5   |
| SE               | -4.9                               | 66.4                                 | 73.4               | 0.89                                       | 0.50                              | -23.4  | 2.6   | 2.2   |
| AU               | -0.3                               | 84.2                                 | 94.1               | 0.92                                       | 0.35                              | -1.3   | 0.2   | 0.14  |
| ME               | -4.0                               | 68.2                                 | 75.8               | 0.90                                       | 0.36                              | -17.8  | 2.1   | 1.8   |

total column SO<sub>4</sub><sup>2-</sup> percentage decreases (i.e., for NA, EU, and EA reductions) and greatest SO<sub>4</sub><sup>2-</sup> percentage increases (i.e., for AF, IN, EA, and ME reductions) over the reduction region are 2 % or less. Intercontinental to hemispheric effects are generally 0.1 % or less, for all regional reductions (Fig. S14). Global annual average tropospheric NH<sub>4</sub>NO<sub>3</sub> and SOA changes are likewise small: -21 and 61 %, respectively, of tropospheric SO<sub>4</sub><sup>2-</sup> changes, for the global CO reduction. Our regional annual average surface PM<sub>2.5</sub> changes (0.059 μg m<sup>-3</sup> or less) are only slightly smaller than those

estimated by Leibensperger et al. (2011) (~0.1 μg m<sup>-3</sup> from Asian NO<sub>x</sub> and CO on northern Europe and eastern China, and ~0.25 μg m<sup>-3</sup> from US NO<sub>x</sub> and CO on northern Europe and eastern China) who zeroed-out anthropogenic emissions, while we halve them here. CO generally does not have a strong influence on PM<sub>2.5</sub> air quality in our simulations.



**Fig. 6.** Global distribution of annual average changes in tropospheric total column  $O_3$  at steady state ( $1 \times 10^{-2}$  DU) for each of the regional reduction simulations relative to the base. The global annual average steady-state tropospheric  $O_3$  changes ( $1 \times 10^{-2}$  DU) for each simulation are noted in the lower right of each panel.



**Fig. 7.** Global distribution of annual average changes in tropospheric total column  $SO_4^{2-}$  ( $\mu\text{g m}^{-2}$ ) for each of the regional reduction simulations relative to the base. The global annual average tropospheric  $SO_4^{2-}$  changes ( $\mu\text{g m}^{-2}$ ) for each simulation are noted in the lower right of each panel.

#### 4 Changes in production and export of CO and ozone

SA, EU, FSU, and AU reductions produce the greatest changes in global CO burden per unit change in CO emis-

sions, and also result in the longest CO lifetimes (82 to 94 days) (Table 6, Table S9). More than 79% of CO burden changes occur outside of the reduction region for all perturbations, and between 41 and 50% of CO burden changes

take place in the UT for SE, SA, AF, and IN reductions, consistent with the regions that have the greatest impact on O<sub>3</sub> production in the UT (Table 7). We find decreases in CO export from the reduction region in all cases, and increases in global CO production. These increases in CO production (~2% for the global CO reduction) result from tropospheric OH increases that cause faster oxidation of CH<sub>4</sub> and NMVOCs (Shindell et al., 2006). However, the global CO loss frequency (or inverse lifetime) (Prather et al., 2012) increases by ~3.4% for the global CO reduction, indicating that as CO is reduced, increases in OH lead to further CO loss. Therefore in this study, the CO perturbation lifetime ( $\Delta B_{CO}/\Delta E_{CO}$ ) is slightly greater than the CO atmospheric lifetime ( $B_{CO}/(E_{CO_{anthro}} + E_{CO_{natural}} + P_{CO})$ ) (feedback factor of ~1.06), which suggests that perturbing CO emissions can have an overall amplifying effect. Although we do not account for the long-term effects on CH<sub>4</sub> directly in our 1.5 yr simulations, these can be calculated offline from the changes in CH<sub>4</sub> lifetime as was done for O<sub>3</sub>. Global CH<sub>4</sub> decreases also lead to decreases in CO production at steady state, and furthermore, increases in OH that cause increases in CO loss and production. These are summarized in Table S9. The long-term changes further amplify the CO signal leading to a total increase in CO loss frequency of ~4.5%, and a total feedback factor ( $\Delta B_{CO}/B_{CO})/(\Delta E_{CO}/(E_{CO} + P_{CO}))$  of 1.19.

O<sub>3</sub> production and export changes are also calculated for each regional reduction to determine whether the transport of O<sub>3</sub> or CO is more important for long-range O<sub>3</sub>. Table 7 shows that the change in short-term tropospheric O<sub>3</sub> burden per unit change in CO emissions (Table S9 shows steady-state burden changes) is most sensitive to SE, IN, and AF reductions, which agrees with previous studies showing greater sensitivity of O<sub>3</sub> (especially in the mid- to upper troposphere) to emissions from the tropics, compared to more temperate regions (Fuglestedt et al., 1999; Berntsen et al., 2005; Naik et al., 2005; West et al., 2009b). In the tropics, stronger photochemistry enhances O<sub>3</sub> and more active convection increases the transport of O<sub>3</sub> and CO to the UT, where O<sub>3</sub> lifetimes are longer (Naik et al., 2005; West et al., 2009b). Table 7 also shows that SE, SA, AF, and IN reductions produce the highest fractions of change in global O<sub>3</sub> production in the UT (above 500 hPa; between 50 and 59%), suggesting that these regions also produce the greatest changes in the vertical convection of CO to the UT. As in West et al. (2009a), the higher water vapor concentrations and convective mixing in the tropics, which would lead to shorter O<sub>3</sub> lifetimes than at higher latitudes (Lawrence et al., 2003), appear to be less important, as CO reductions near the tropics have the greatest influence on global O<sub>3</sub> burden and production.

For all of the regional reductions, more than 70% of the changes in global O<sub>3</sub> burden and global O<sub>3</sub> production occur outside the reduction region, with changes in O<sub>3</sub> production ( $\Delta P$ ) outside the reduction region greatly exceeding changes in O<sub>3</sub> export ( $\Delta X$ ) (Table 7). This demonstrates that the downwind production of O<sub>3</sub> from CO is more important

for long-range O<sub>3</sub> transport than the direct formation and export of O<sub>3</sub> from CO within each source region. This differs from the case of NO<sub>x</sub> for which changes in O<sub>3</sub> export exceed changes in O<sub>3</sub> production downwind (West et al., 2009a), reflecting the longer lifetime of CO compared to NO<sub>x</sub>.

## 5 Radiative forcing and global warming potentials

The stratospheric-adjusted net RF impacts for the combined effect of tropospheric O<sub>3</sub>, CH<sub>4</sub>, and SO<sub>4</sub><sup>2-</sup> concentration changes are shown in Fig. 8 and Table 8. Annual average net RF distributions show widespread cooling (negative net RFs) across the NH and SH, for all of the regional (and global) CO reductions (Fig. 8), due to global decreases in CH<sub>4</sub> (and long-term O<sub>3</sub>) and regional to hemispheric decreases in short-term O<sub>3</sub>. Localized to regional cooling and warming patterns, especially from the NA, EU, FSU, and EA reductions, correspond to localized increases and decreases in SO<sub>4</sub><sup>2-</sup> aerosols (Figs. 7 and 8). While changes in NH<sub>4</sub>NO<sub>3</sub> and SOA are not accounted for by the RTM, regional SOA decreases (lesser in magnitude than SO<sub>4</sub><sup>2-</sup> changes) likely provide slight regional warming, while regional NH<sub>4</sub>NO<sub>3</sub> increases and decreases (also less than SO<sub>4</sub><sup>2-</sup> changes) likely add small regional cooling and warming effects. On the global scale, tropospheric changes in NH<sub>4</sub>NO<sub>3</sub> and SOA, like SO<sub>4</sub><sup>2-</sup>, are expected to contribute little to the global net RF. The large-scale influences of CH<sub>4</sub> and O<sub>3</sub> are consistent with the longwave radiation distributions (Fig. S17), while the local influences of SO<sub>4</sub><sup>2-</sup> are reflected in the shortwave radiation distributions (Fig. S18). The strongest annual average net RFs occur within the 28° S to 28° N latitudinal band in all cases (Table 8), despite the wide range of reduction regions. This finding is explained by the hotter surface temperatures in the tropics, which result in greater outgoing longwave radiation absorption by greenhouse gases. However, longwave forcings are not as strong directly over the equator, since water vapor is abundant and competes with O<sub>3</sub> absorption in this region (Fig. S17).

Across all 10 regional reductions, the global annual average net RF per unit emissions is  $-0.12 \pm 0.0055 \text{ mW m}^{-2} (\text{Tg CO yr}^{-1})^{-1}$  (mean  $\pm 1$  standard deviation; CV = 0.045), suggesting little variability. Global annual net RF (normalized per unit change in CO emissions), however, is more sensitive to regions close to the equator (ME, SE, and IN). This is consistent with the regions that produce the greatest changes in tropospheric O<sub>3</sub> burden per unit change in CO emissions, but the response for ME emissions is larger than expected given its O<sub>3</sub> burden change (Tables 7, S9), due to the hotter and drier conditions of this region. Monthly global net RF estimates also vary from ~56% less to ~34% greater than the annual mean, with the greatest RFs from June to September (Fig. S20). By doubling the global annual average net RF of the 50% global CO reduction ( $-0.0361 \text{ W m}^{-2}$ ) and scaling for biomass burning emissions

**Table 7.** Changes in global annual average (short-term) tropospheric O<sub>3</sub> burden (B<sub>O<sub>3</sub></sub>), O<sub>3</sub> production (P<sub>O<sub>3</sub></sub>), and net O<sub>3</sub> export (X<sub>O<sub>3</sub></sub>) from each regional reduction, normalized per change in CO emissions (E<sub>CO</sub>), and the fractions of these above each reduction region and in the upper troposphere (UT). Regional O<sub>3</sub> lifetimes are also shown. For the base simulation, the total global annual average O<sub>3</sub> burden is 352.2 Tg O<sub>3</sub>, and the chemical production and loss rates are 4782.5 Tg yr<sup>-1</sup> and 3975.0 Tg yr<sup>-1</sup>.

| Reduction region | $\Delta B_{O_3}$ short-term (Tg O <sub>3</sub> ) | $\Delta B_{O_3}/\Delta E_{CO}$ (days) | $\Delta P_{O_3}$ (Tg yr <sup>-1</sup> ) | $\Delta P_{O_3}/\Delta E_{CO}$ (Tg O <sub>3</sub> ) | Regional O <sub>3</sub> lifetime ( $\Delta B_{O_3}/\Delta P_{O_3}$ ) (days) | Fraction of global $\Delta B_{O_3}$ above region | Fraction of global $\Delta P_{O_3}$ above region | Fraction of global $\Delta P_{O_3}$ in UT | $\Delta X_{O_3}$ from region (Tg yr <sup>-1</sup> ) | $\Delta P_{O_3}$ outside region (Tg yr <sup>-1</sup> ) |
|------------------|--|---------------------------------------|---|---|---|--|--|---|---|--|
| NA               | -0.413   | 4.31                                  | -8.19                                   | -0.234  | 18.4  | 0.14   | 0.30   | 0.37                                      | -0.91   | -5.75  |
| SA               | -0.135   | 4.02                                  | -2.48                                   | -0.202  | 19.9  | 0.07   | 0.17   | 0.50                                      | -0.12   | -2.06  |
| EU               | -0.168   | 3.93                                  | -3.63                                   | -0.233  | 16.9  | 0.05   | 0.18   | 0.30                                      | -0.34   | -2.98  |
| FSU              | -0.120   | 4.00                                  | -2.53                                   | -0.231  | 17.3  | 0.13   | 0.19   | 0.31                                      | -0.13   | -2.06  |
| AF               | -0.533   | 4.48                                  | -9.59                                   | -0.221  | 20.3  | 0.12   | 0.29   | 0.50                                      | -1.02   | -6.83  |
| IN               | -0.652   | 4.94                                  | -11.0                                   | -0.229  | 21.5  | 0.04   | 0.22   | 0.50                                      | -1.26   | -8.67  |
| EA               | -0.902   | 4.31                                  | -16.8                                   | -0.220  | 19.6  | 0.06   | 0.20   | 0.41                                      | -1.58   | -13.4  |
| SE               | -0.395   | 5.31                                  | -6.19                                   | -0.228  | 23.3  | 0.05   | 0.17   | 0.59                                      | -0.40   | -5.15  |
| AU               | -0.014   | 3.49                                  | -0.27                                   | -0.188  | 18.6  | 0.05   | 0.14   | 0.41                                      | -0.01   | -0.24  |
| ME               | -0.247   | 4.23                                  | -4.98                                   | -0.234  | 18.1  | 0.08   | 0.24   | 0.36                                      | -0.47   | -3.78  |

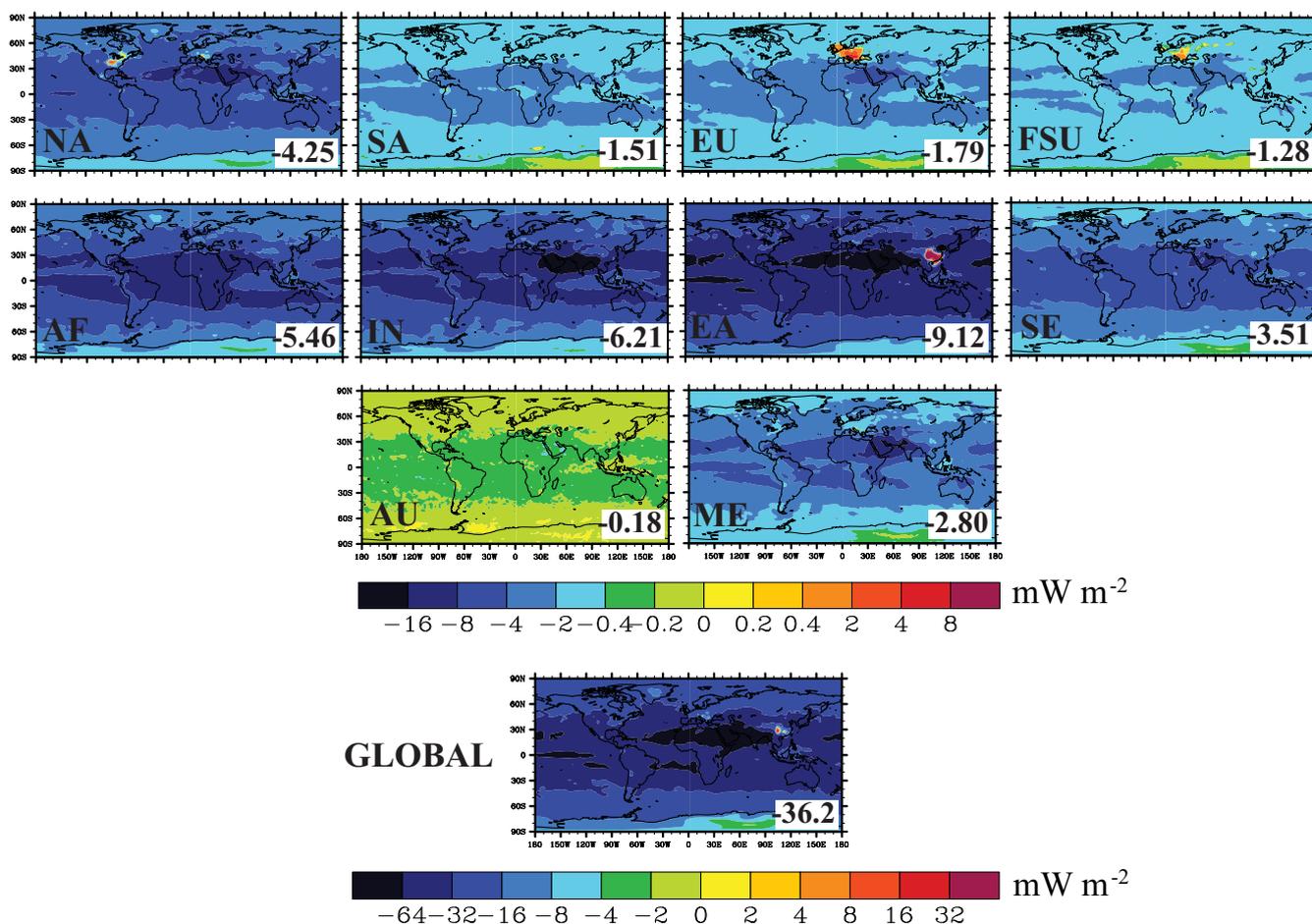
**Table 8.** Annual net RF globally and by latitude band (mW m<sup>-2</sup>) and total GWP<sub>20</sub> and GWP<sub>100</sub> estimates for the regional and global reduction simulations relative to the base simulation, due to changes in tropospheric steady-state O<sub>3</sub>, CH<sub>4</sub>, and SO<sub>4</sub><sup>2-</sup> concentrations. Global annual net shortwave radiation, net longwave radiation, and net RF per unit change in CO emissions (mW m<sup>-2</sup> (Tg CO yr<sup>-1</sup>)<sup>-1</sup>) are also shown. The 10 regions estimates represent the sum of the net RFs from all 10 regional reductions; these estimates are not directly estimated by the RTM.

| Reduction region | Global annual net RF | Global annual net shortwave radiation | Global annual net longwave radiation | Global annual net RF per Tg CO | Annual net RF 90° S–28° S | Annual net RF 28° S–28° N | Annual net RF 28° N–60° N | Annual net RF 60° N–90° N | Total GWP <sub>20</sub> | Total GWP <sub>100</sub> |
|------------------|----------------------|---------------------------------------|--------------------------------------|--------------------------------|---------------------------|---------------------------|---------------------------|---------------------------|-------------------------|--------------------------|
| NA               | -4.25                | -0.31                                 | -3.94                                | -0.122                         | -2.69                     | -6.20                     | -4.76                     | -3.32                     | 3.98                    | 1.33                     |
| SA               | -1.51                | -0.10                                 | -1.40                                | -0.123                         | -1.04                     | -2.39                     | -1.45                     | -0.88                     | 4.04                    | 1.35                     |
| EU               | -1.79                | -0.07                                 | -1.71                                | -0.114                         | -1.20                     | -2.70                     | -1.72                     | -1.36                     | 3.71                    | 1.26                     |
| FSU              | -1.28                | -0.07                                 | -1.21                                | -0.117                         | -0.85                     | -1.89                     | -1.34                     | -1.00                     | 3.78                    | 1.28                     |
| AF               | -5.46                | -0.55                                 | -4.92                                | -0.126                         | -3.61                     | -8.89                     | -5.25                     | -3.11                     | 4.18                    | 1.37                     |
| IN               | -6.21                | -0.85                                 | -5.36                                | -0.129                         | -3.60                     | -10.0                     | -6.90                     | -3.70                     | 4.34                    | 1.41                     |
| EA               | -9.12                | -0.61                                 | -8.50                                | -0.119                         | -5.80                     | -13.4                     | -10.3                     | -6.71                     | 3.91                    | 1.31                     |
| SE               | -3.51                | -0.34                                 | -3.17                                | -0.129                         | -2.21                     | -5.79                     | -3.47                     | -1.99                     | 4.34                    | 1.41                     |
| AU               | -0.18                | -0.004                                | -0.17                                | -0.121                         | -0.12                     | -0.28                     | -0.18                     | -0.11                     | 3.94                    | 1.35                     |
| ME               | -2.80                | -0.40                                 | -2.40                                | -0.131                         | -1.68                     | -4.36                     | -3.16                     | -1.81                     | 4.07                    | 1.44                     |
| GLOB             | -36.1                | -3.25                                 | -32.9                                | -0.124                         | -22.8                     | -56.0                     | -38.6                     | -24.1                     | 4.37                    | 1.34                     |
| 10 regions       | -36.1                | -3.31                                 | -32.8                                | -0.124                         | -22.8                     | -55.9                     | -38.6                     | -24.0                     | -                       | -                        |

(43.6 % of global anthropogenic CO emissions), which were excluded in the 50 % anthropogenic CO emissions reductions, the global net RF of CO is 0.128 W m<sup>-2</sup>. This is only ~11 % greater than the ACCMIP multimodel mean global net RF of CO emissions due to O<sub>3</sub> and CH<sub>4</sub> changes alone (0.115 W m<sup>-2</sup>; for 1850–2000) (Stevenson et al., 2013). It is smaller than the RF of CO + NMVOC emissions in previous studies: 0.21 ± 0.10 W m<sup>-2</sup> (Shindell et al., 2005; Forster et al., 2007) and 0.25 ± 0.04 W m<sup>-2</sup> (Shindell et al., 2009), and is approximately 8.2 % of the global net RF of CO<sub>2</sub> (1.56 W m<sup>-2</sup>). Among the positive forcing agents with short lifetimes (CO, CH<sub>4</sub>, NMVOCs, and BC), our estimated CO RF is ~8.2 % of their total RF (~1.57 W m<sup>-2</sup>) (Forster et al., 2007).

Following the methods of Collins et al. (2013) and Fry et al. (2012), we estimate GWPs for each regional perturbation at 20 and 100 yr time horizons (Table 8, Fig. 9). GWP<sub>H</sub> estimates are calculated as the RF integrated to a time horizon H due to an emission pulse, normalized by the change in emissions, and divided by the equivalent for CO<sub>2</sub>. Since O<sub>3</sub> RF has both short- and long-term components, we calculate

long-term O<sub>3</sub> RF by scaling the O<sub>3</sub> RF from the CH<sub>4</sub> control simulation by the ratio of long-term O<sub>3</sub> burden changes in each regional perturbation to those of the CH<sub>4</sub> control simulation. We then calculate short-term O<sub>3</sub> RF as the difference between steady-state and long-term O<sub>3</sub> RF. We assume that short-term RF components (SO<sub>4</sub><sup>2-</sup> and short-term O<sub>3</sub>) are constant over one year and then drop to zero instantaneously. Long-term components (CH<sub>4</sub> and long-term O<sub>3</sub>) respond and decay with the calculated CH<sub>4</sub> perturbation lifetime (12.48 yr). Figure 9 shows the breakdown of total GWP into short- and long-term components, and error bars representing the average uncertainty of CO GWPs (GWP<sub>20</sub>: ± 1.4 and GWP<sub>100</sub>: ± 0.5) from Fry et al. (2012) across multiple global CTMs (±1 standard deviation). However, the error bars do not account for the full uncertainty, as additional forcings, such as from CO<sub>2</sub>, are excluded, which may alter total net RF and GWP estimates. We estimate GWP<sub>20</sub> and GWP<sub>100</sub> values of 4.07 and 1.34, respectively, for the global CO reduction, and ranges of 3.71 to 4.37 (CV = 0.059) and 1.26 to 1.44 (CV = 0.043) among regions, suggesting little regional variability.



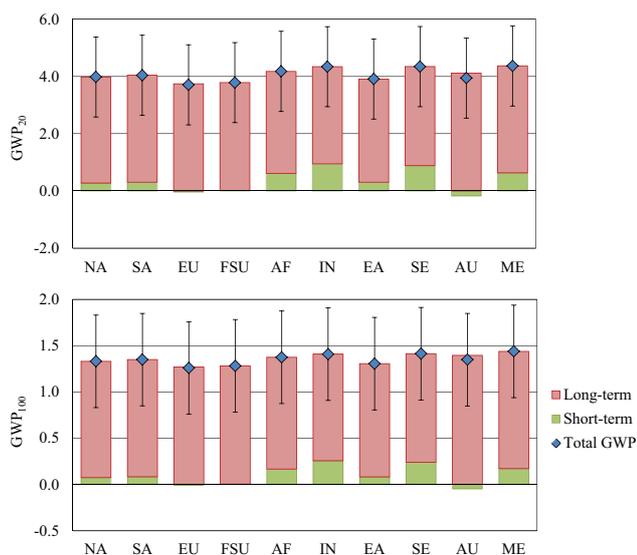
**Fig. 8.** Annual average net RF distributions ( $\text{mW m}^{-2}$ ) due to changes in tropospheric steady-state  $\text{O}_3$ ,  $\text{CH}_4$ , and  $\text{SO}_4^{2-}$  for the regional and global CO reduction simulations minus the base simulation. Global annual average net RF ( $\text{mW m}^{-2}$ ) for each simulation are noted in the lower right of each panel. Note the difference in scale between the regional and global reductions.

Our  $\text{GWP}_{100}$  estimates are comparable to those of Derwent et al. (2001) ( $\text{GWP}_{100}$  of 1.0 due to  $\text{O}_3$  changes, and 0.6 due to  $\text{CH}_4$  changes) and Daniel and Solomon (1998) ( $\text{GWP}_{100}$  of 1.0), yet smaller than the  $\text{GWP}_{100}$  estimates of Fuglestedt et al. (1996) ( $\text{GWP}_{100}$  of 3.0) and Johnson and Derwent (1996) ( $\text{GWP}_{100}$  of 2.1). Our  $\text{GWP}_{20}$  and  $\text{GWP}_{100}$  estimates are also about 65 to 70 % lower than those estimated by Berntsen et al. (2005) for Europe and East Asia, but those did not include  $\text{SO}_4^{2-}$  impacts as we do here, and 16 to 23 % lower than those estimated by Fry et al. (2012), likely due to differences among the CTMs, such as a lower sensitivity of  $\text{O}_3$  and  $\text{CH}_4$  to CO emissions in MOZART-4, but regional definitions also differ (Table S10). Although the absolute  $\text{GWP}$  estimates of Fry et al. (2012) are more robust than those presented here, reflecting an ensemble of CTMs, the present study more fully addresses the variability of  $\text{GWPs}$  over a wide range of regions encompassing the tropics and northern and southern extra-tropics.

As mentioned earlier, our  $\text{GWP}$  estimates do not include the forcing from  $\text{CO}_2$  once CO oxidizes. This reflects the accounting of carbon emissions in  $\text{CO}_2$  inventories (Fuglestedt et al., 1996; Daniel and Solomon, 1998; Collins et al., 2002). If the  $\text{CO}_2$  forcing were accounted for, the  $\text{GWP}_{100}$  and  $\text{GWP}_{20}$  estimates would each increase by 1.57 ( $44 \text{ g CO}_2 \text{ mol}^{-1}$  ( $28 \text{ g CO mol}^{-1}$ ) $^{-1}$ ).

## 6 Conclusions

Reducing CO emissions can slow near-term climate change while improving air quality from  $\text{O}_3$  and CO itself. The present-day CO RF is estimated as 8.2 % of that from  $\text{CO}_2$ , and also 8.2 % of the short-lived forcing agents that provide an opportunity to slow climate change in the coming decades. We find here that the global net RF of CO reductions varies little among the regions where it is emitted, but CO may cause changes in regional climate that were not quantified. While emission control measures would likely affect



**Fig. 9.** Global warming potentials for CO at time horizons of 20 and 100 yr ( $GWP_{20}$ ,  $GWP_{100}$ ) for each regional reduction, and the contributions from short-term ( $O_3$  and  $SO_4^{2-}$  changes) and long-term (long-term  $O_3$  and  $CH_4$ ) components. Uncertainty bars represent the average uncertainty found by Fry et al. (2012) based on the spread of atmospheric chemical models (1 standard deviation).

co-emitted species (e.g., BC, OC), this study focuses on the sensitivity of air quality and RF to the location of CO emissions, which is also relevant for determining the GWP of CO. For measures affecting multiple pollutants, the results reported here can be combined with those for co-emitted pollutants.

Halving anthropogenic CO emissions globally and from 10 regions has widespread effects on surface and tropospheric concentrations in addition to net RF. For the global CO emission reduction, global annual net RF,  $GWP_{20}$ , and  $GWP_{100}$  estimates are  $-0.124 \text{ mW m}^{-2} (\text{Tg CO})^{-1}$ , 4.07, and 1.34, respectively, with ranges of  $-0.115$  to  $-0.131 \text{ mW m}^{-2} (\text{Tg CO})^{-1}$ , 3.71 to 4.37, and 1.26 to 1.44 among the 10 regions, with regions in the tropics (ME, SE, and IN) having the greatest sensitivities. We find little variability in the net RF and GWP estimates among source regions. Our GWP estimates agree well with previous studies (Daniel and Solomon, 1998; Derwent et al., 2001), but are less than the  $GWP_{20}$  and  $GWP_{100}$  estimates of Berntsen et al. (2005) and Fry et al. (2012), likely related to differences among CTMs. The GWP values should be increased by 1.57 for fossil fuel sources to account for the  $CO_2$  generated as an oxidation product. However, care should be taken to avoid double counting, as  $CO_2$  emissions are often calculated by assuming complete oxidation of the fuel rather than being measured in the exhaust. It is always preferable for climate to emit the carbon as  $CO_2$  rather than CO.

Net RF distributions for the regional (and global) reductions show widespread cooling across the NH and SH corresponding to the patterns of regional short-term  $O_3$  and global  $CH_4$  (and long-term  $O_3$ ) decreases, and localized positive and negative net RFs due to changes in  $SO_4^{2-}$  aerosols. The strongest annual net RFs occur within the tropics ( $28^\circ \text{ S}$  to  $28^\circ \text{ N}$ ), independent of the location of CO emissions change, due to higher temperatures and greater absorption of infrared radiation.

For all regional reductions, we show that the greatest changes in surface CO and  $O_3$  concentrations are within the reduction region, with lesser decreases hemispherically. The regions with the highest anthropogenic CO emissions (EA, IN, AF, and NA) show the largest impacts on surface CO and  $O_3$  concentrations within that region and between regions. The impact of EA's reduction on US surface CO and  $O_3$  concentrations is 39 and 93 %, respectively, of that resulting from NA. The NA CO reduction also has a strong impact on EU and ME surface  $O_3$  concentrations. Anthropogenic CO emissions overall contribute  $\sim 6.1$  % (1.6 ppbv) to global annual average steady-state surface  $O_3$ , by doubling the change from the 50 % global CO reduction ( $-0.45$  ppbv) and scaling for biomass burning emissions.

All of the reductions increase tropospheric OH leading to decreases in global  $CH_4$  and hence, long-term  $O_3$ . At the same time, tropospheric  $H_2O_2$  decreases in all cases. We generally find that increases in OH contribute to increases in  $SO_4^{2-}$  through gas-phase oxidation, which is dominant in drier regions and near the equator. Decreases in  $H_2O_2$  and  $O_3$  contribute to decreases in  $SO_4^{2-}$  via aqueous-phase oxidation, which prevails mostly in the northern midlatitudes.

For all regional reductions, more than 70 % of the global  $O_3$  burden and production changes, and more than 79 % of global CO burden changes, occur outside the reduction region. In addition,  $O_3$  production changes outside the source region greatly exceed changes in  $O_3$  export from each region, suggesting that long-range  $O_3$  is influenced substantially by the transport of CO and subsequent production of  $O_3$  downwind, and less by the transport of  $O_3$  itself. Tropospheric  $O_3$  burden changes (per unit change in CO emissions) are most sensitive to SE, IN, and AF reductions, due to stronger photochemistry and more active vertical convection in the tropics compared to other regions (Naik et al., 2005; West et al., 2009a).

Limitations of this study include only accounting for  $O_3$ ,  $CH_4$ , and  $SO_4^{2-}$  changes in net RF and GWP estimates. We exclude changes in nitrate aerosols, secondary organic aerosols, stratospheric  $O_3$ , water vapor, the carbon cycle via  $O_3$  and nitrogen deposition, and  $CO_2$ , as these components are not part of the current RTM configuration. Our RTM simulations also do not include the indirect effects of aerosols on clouds or the internal mixing of aerosols, but these effects may be large. We estimate only small changes in  $NH_4NO_3$  and SOA, consistent with previous studies that show these aerosols contributing much less to CO RF than

SO<sub>4</sub><sup>2-</sup> (Shindell et al., 2009). Stratospheric O<sub>3</sub> and water vapor RFs are believed to be relatively small (Forster et al., 2007). The contribution of CO emissions to CO<sub>2</sub> RF via changes in the CO<sub>2</sub> uptake by plants is estimated as 12 and 42 % of the net RF (Fry et al., 2012), which would increase GWPs and perhaps the variability among regions, depending on regional vegetation distributions.

We do not assess climate responses as in Shindell and Faluvegi (2009), but show how CO emissions location affects the latitudinal distribution of net RF and CO GWPs. Regional CO emissions are also considered fairly uncertain (Duncan et al., 2007), according to a number of studies that have used inverse modeling or adjoint methods to constrain CO emissions by satellite data (Heald et al., 2004; Pétron et al., 2004; Pfister et al., 2004, 2005; Kopacz et al., 2009, 2010), but this uncertainty likely does not strongly influence normalized forcings and GWPs. Uncertainties in the emissions of other O<sub>3</sub> precursors and in MOZART-4's chemical and transport processes are likewise important (Berntsen et al., 2005). Future work could examine the influence of dynamic climate feedbacks on chemistry, and future changes in emissions that may alter the air quality and RF sensitivities given here for present-day emissions.

Future international climate agreements or emissions trading mechanisms could include CO among a suite of long-lived greenhouse gases, using a single GWP globally, given that the uncertainty in the global GWP for CO is greater than the range among regions estimated here. Alternatively, different GWPs could be applied to different continents. In either case, this work provides an incentive to reduce CO emissions, as part of coordinated policies addressing climate and air quality.

**Supplementary material related to this article is available online at: <http://www.atmos-chem-phys.net/13/5381/2013/acp-13-5381-2013-supplement.pdf>.**

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